

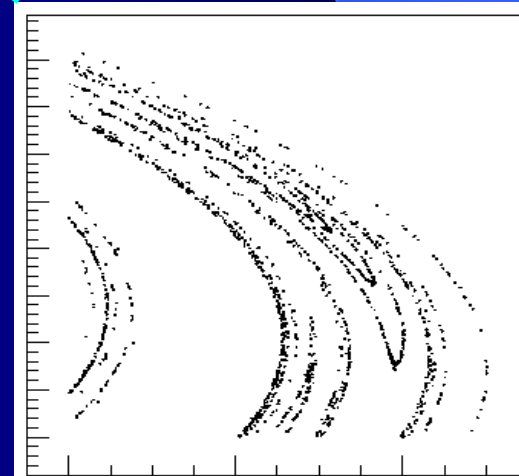
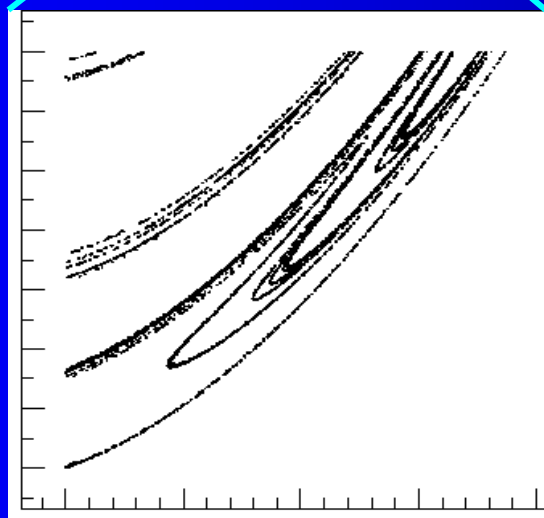
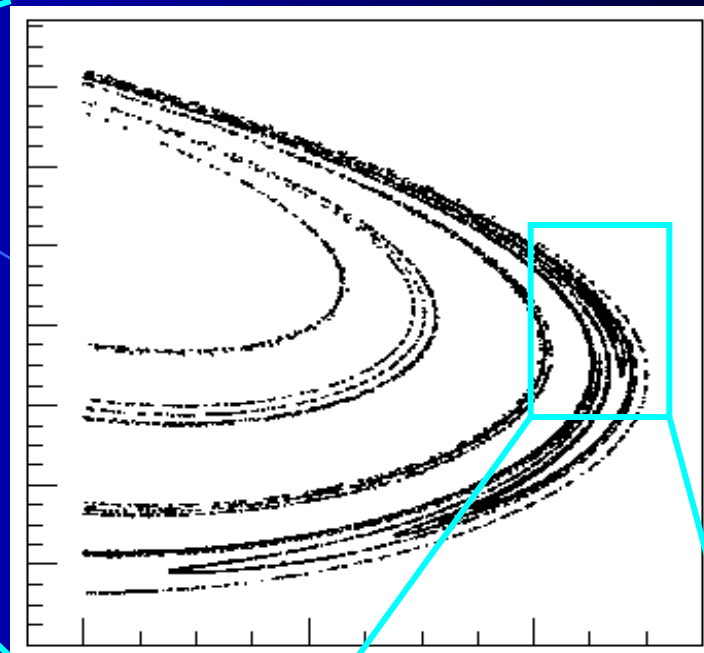
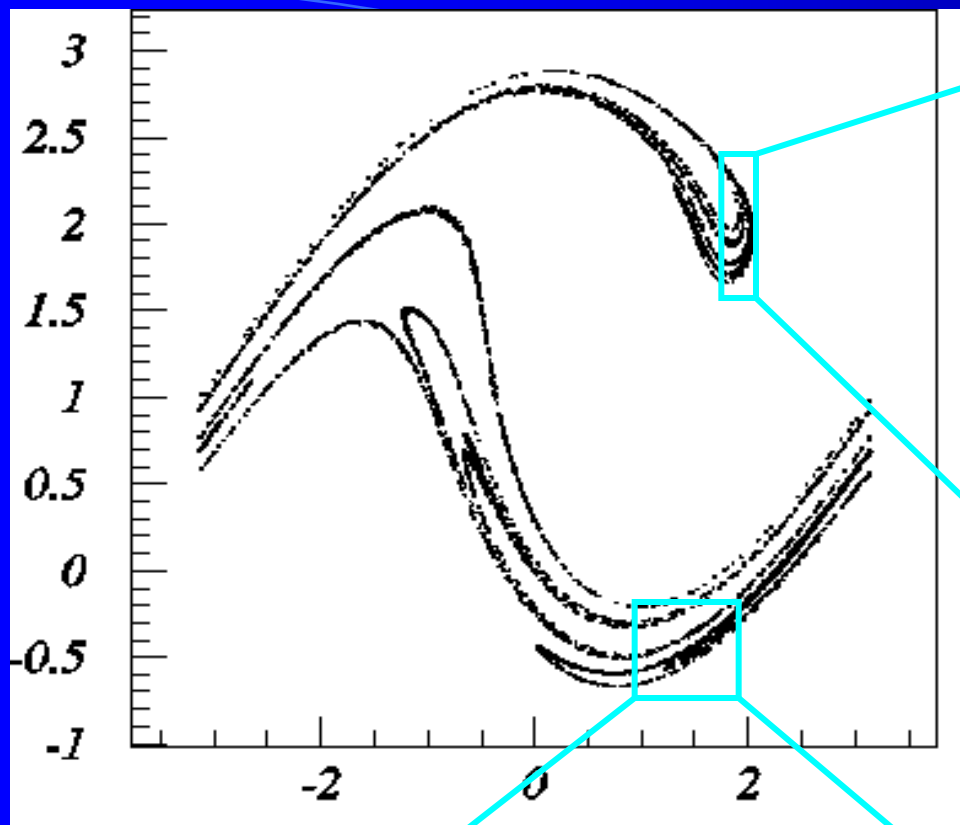
# FRACTALS and Applications

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PLVS RATIO  QVAM VIS

- Remember the fine structures of the attractors?
- How can we measure the attracting limit set?



# Fractal – “broken, fragmented, irregular”

“I coined *fractal* from the Latin adjective *fractus*. The corresponding Latin verb *frangere* means "to break" to create irregular fragments. It is therefore sensible - and how appropriate for our need ! - that, in addition to "fragmented" (as in *fraction* or *refraction*), *fractus* should also mean "irregular", both meanings being preserved in *fragment*.”



B. Mandelbrot :

The fractal Geometry of Nature, 1982

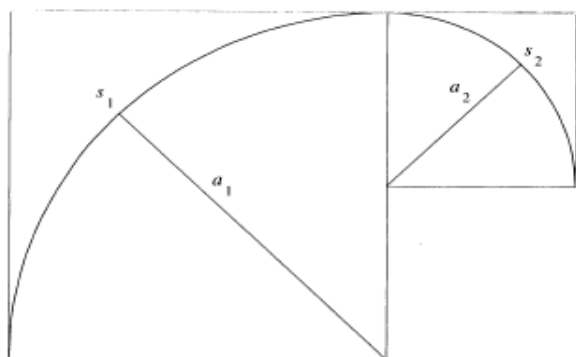
# Fractal geometry: the language of nature

- Euclid geometry: cold and dry
- Nature: complex, irregular, fragmented

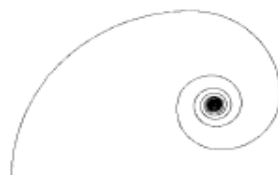
“Clouds are not spheres, mountains are not cones, coastlines are not circles, and bark is not smooth, nor does lightning travel in a straight line.”

# Notion of length

- **Fractal geometry generalizes ordinary notions of length, scale, and dimension in interesting and subtle ways.**
  - For length, classical example is coastline length of a given country or border.
    - \* Result depends on fineness of *scale* used—as scale goes down, length goes up.
    - \* Ratio of scale to length gives rise to new notions of dimension.
  - Spirals provide another excellent example countering intuition about length.
    - \* *Example:* Smooth polygonal spiral can have finite or infinite length depending on method of construction.



Construction Method



Infinite length ( $a_k = 1/k$ )

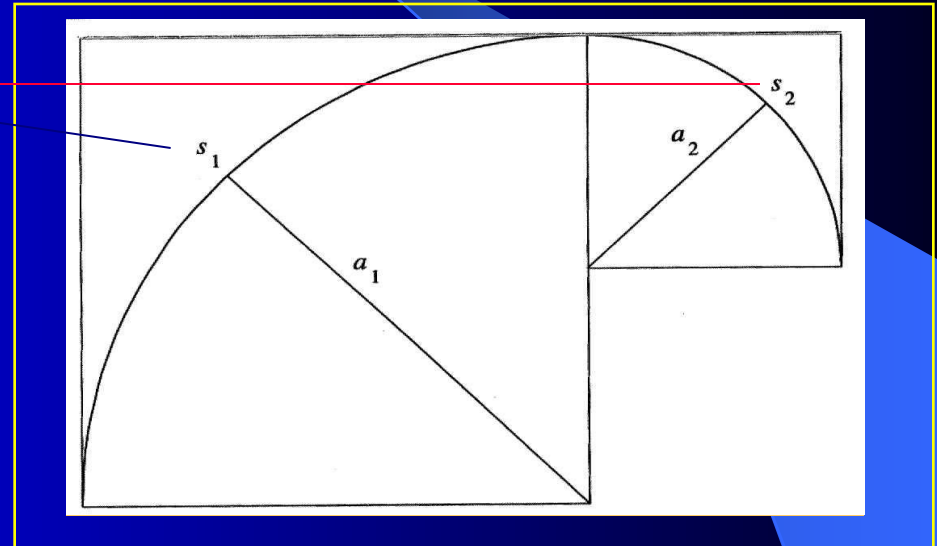


Finite length ( $a_k = 0.95^{k-1}$ )

## Spiral 1 is infinitely long but Spiral 2 isn't.

- Quarter circles of progressively decreasing radius.
- $s_1 = \pi a_1/2$
- $s_2 = \pi a_2/2$

- Length = 
$$\frac{\pi}{2} \sum_{i=1}^{\infty} a_i$$



- If  $a_i = 1, q, q^2, q^3, \dots, q^{i-1}, \dots$ , then length is finite (right one,  $q=0.95$ ).
- If  $a_i = 1, 1/2, 1/3, 1/4, \dots, 1/i, \dots$ , then length is infinite (left one).

# Definition: Self-similarity

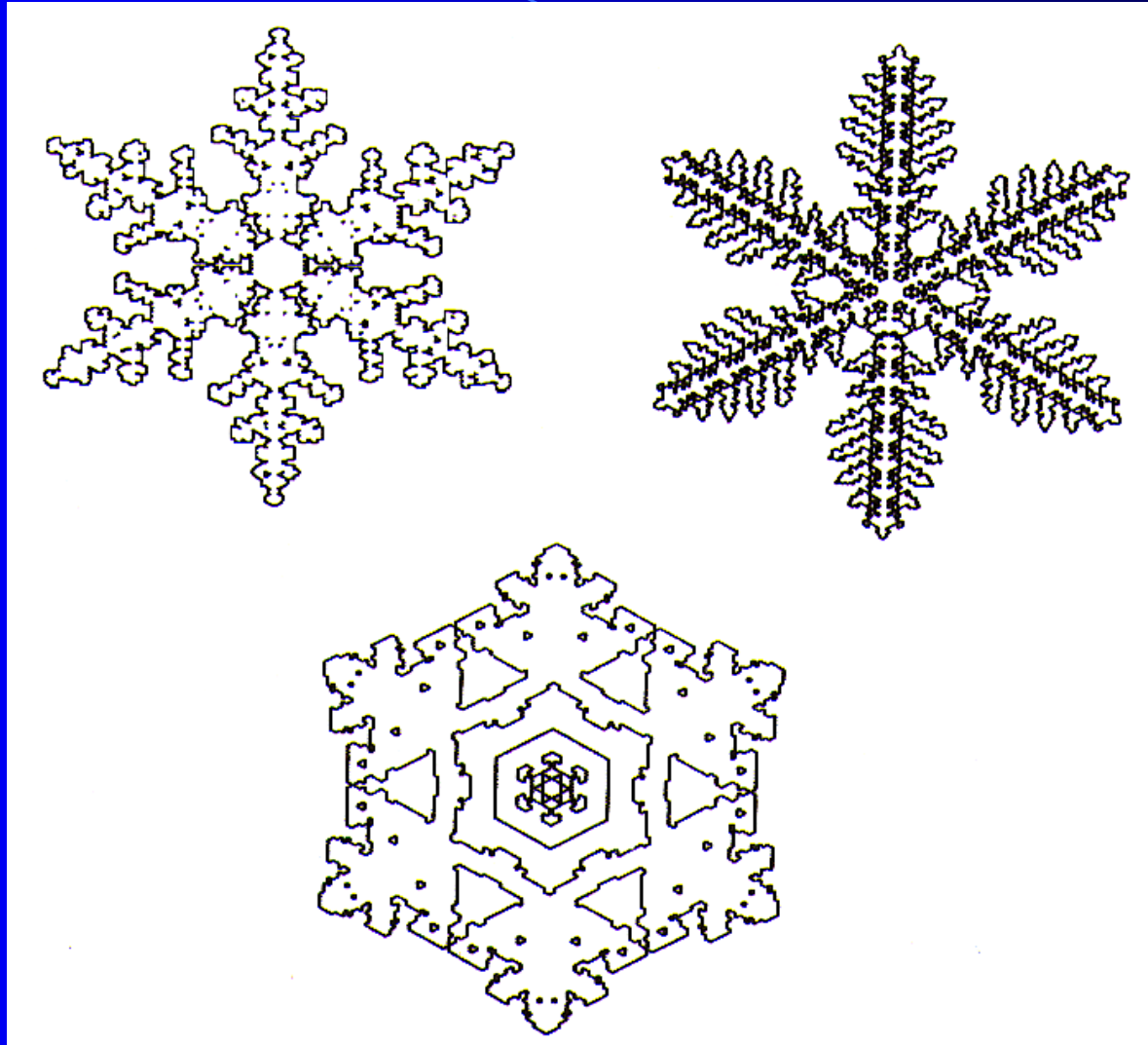
- A geometric shape that has the property of self-similarity, that is, each part of the shape is a smaller version of the whole shape.

Examples:





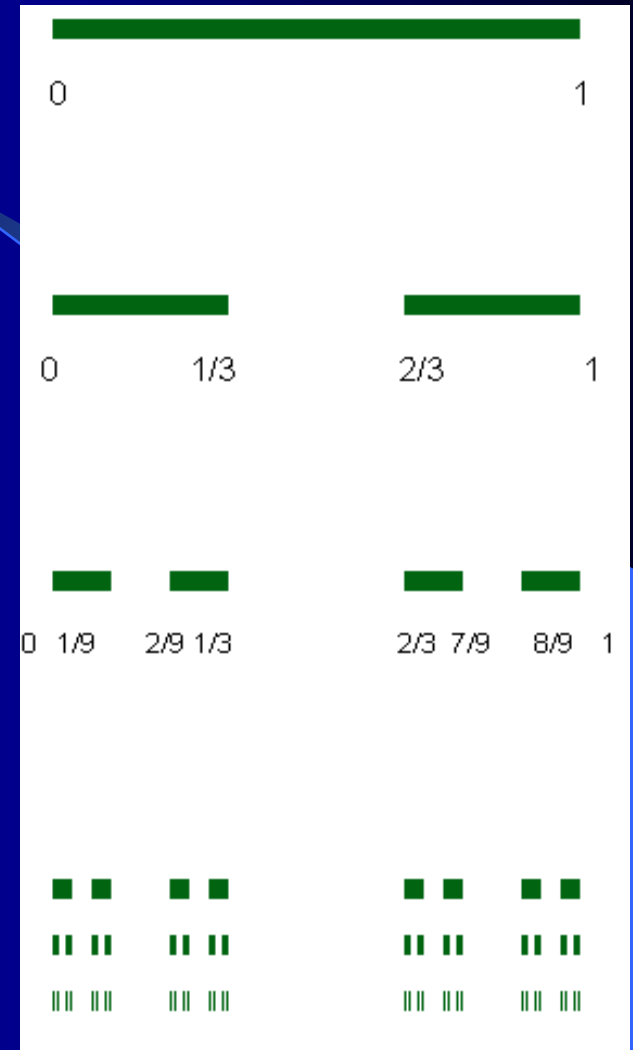
# In nature – snow-flakes





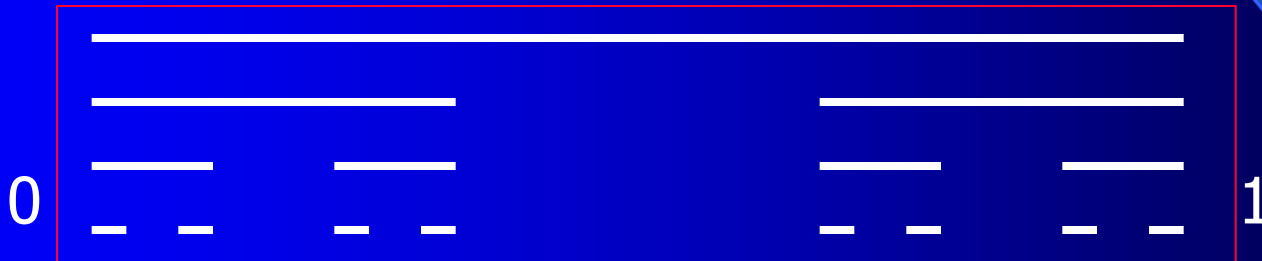
# Another example: Cantor Set

- The oldest, simplest, most famous fractal
- 1 We begin with the closed interval  $[0,1]$ .
- 2 Now we remove the open interval  $(1/3,2/3)$ ; leaving two closed intervals behind.
- 3 We repeat the procedure, removing the "open middle third" of each of these intervals
- 4 And continue infinitely.
- Fractal dimension:  
 $D = \log 2 / \log 3 = 0.63\dots$
- Uncountable points, zero length



# The Cantor set

- German mathematician Georg Cantor (1845-1918)
- **The Cantor set**— a perfect, nowhere dense subset
  - Start with a unit interval
  - Take away the open middle third
  - Take away the open middle third from each remaining segment
  - Repeat indefinitely



- The final invariant set is the Cantor set.
- G. Cantor, *Über unendliche, lineare Punktmannigfaltigkeiten V*, *Mathematische Annalen* 21 (1883) 545–591.

# The Cantor set

- Triadic expansion
  - The Cantor set is the set of points in  $[0,1]$  for which there is a triadic expansion that does not contain the digit '1'.
  - e.g.,  $1/3$  is  $0.02222222\dots$ ,  $2/3$  is  $0.2$ , etc.
  - The triadic number  $0.0200222000202022200022002$  is in the Cantor set.
- Address
  - Let L denote the left middle third, and R denote the right middle third. We can represent every segment of the Cantor set by an address like LR, LL, LLR, etc.



## Zero length but infinitely many points



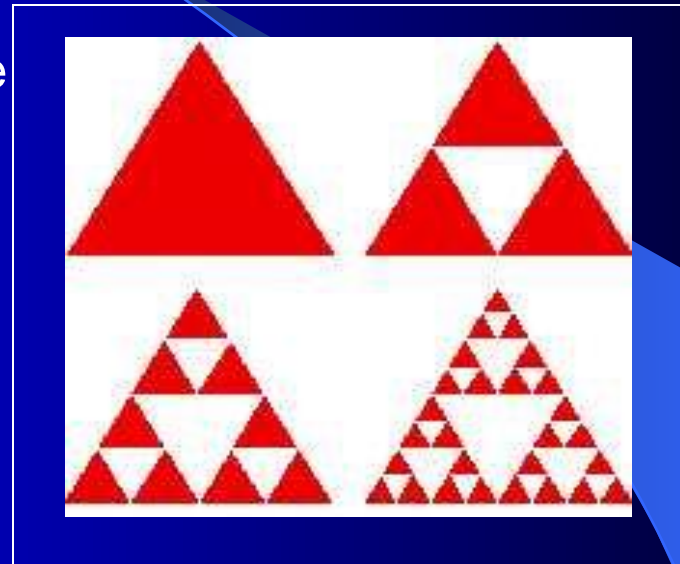
- **Having as many points as the interval [0,1]**
- Every point in  $[0,1]$  can be represented as a binary number, e.g., 0.0101110101.
- For each number in  $[0,1]$  in binary form, we replace symbolwise 1 by 2. E.g., 0.001 (binary)  $\rightarrow$  0.002 (triadic). Then,
  - Each point in  $[0,1]$  corresponds to a point in the Cantor set.
  - The Cantor set has as many points as the interval  $[0,1]$  has.

- **But zero length**

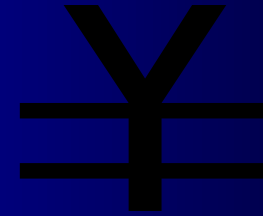
- The length of the Cantor set is  $\lim_{n \rightarrow \infty} (2/3)^n = 0$ .

# Sierpinski Gasket

- Start with a solid triangle. Mark the midpoint of each side. Then, join them to partition 4 triangles.
  - Remove the middle one.
  - Repeat the process infinitely.
  - The invariant set is the Sierpinski Gasket.
- 
- $AREA = 0$
  - *Infinitely many points*



# Koch curve

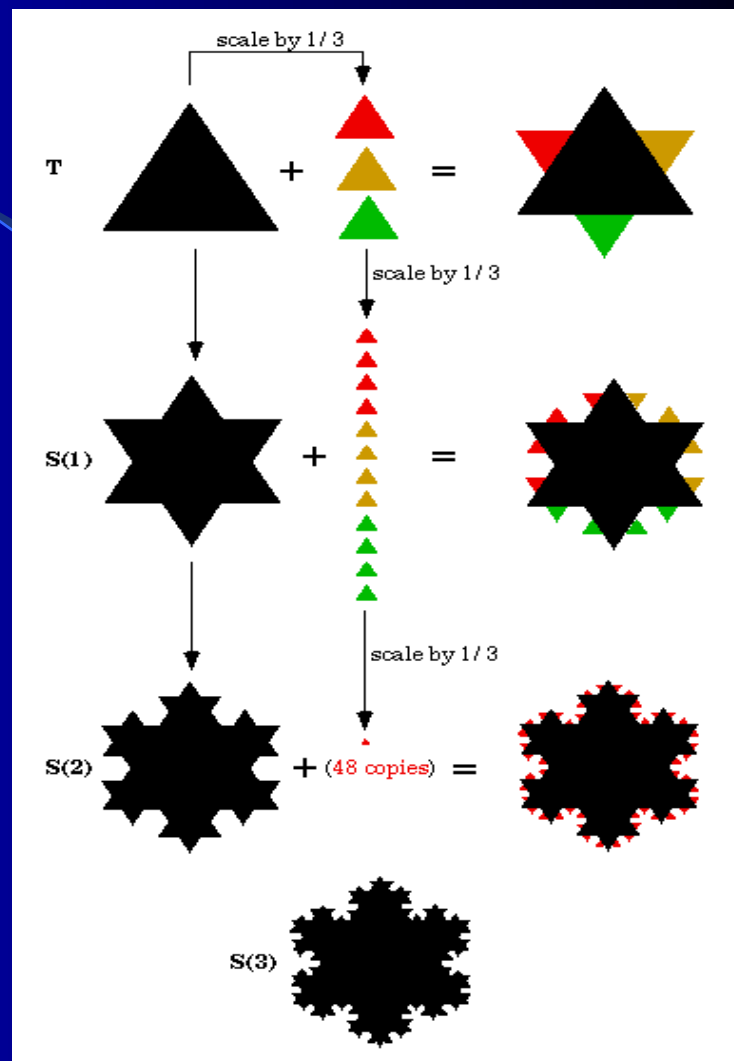


- Helge von Koch (Sweden, 1904) introduced a curve which is infinitely long but can be drawn in finite area.
- CONSTRUCTION:
- Start with a unit interval.
- Replace middle third by two segments of equal length
- Repeat infinitely.
- $\text{Length} = \lim_{n \rightarrow \infty} (4/3)^n = \infty$



# mathematical fractal: Koch Snowflake

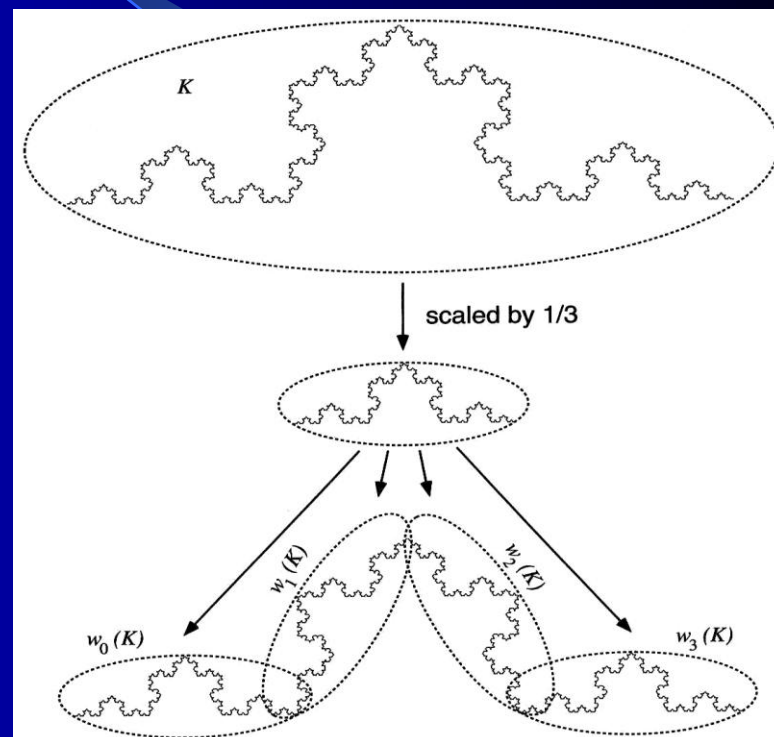
- Step One.  
Start with a large equilateral triangle.
- Step Two.  
Make a Star.
  1. Divide one side of the triangle into three parts and remove the middle section.
  2. Replace it with two lines the same length as the section you removed.
  3. Do this to all three sides of the triangle.
- Repeat this process infinitely.
- The snowflake has a finite area bounded by a perimeter of infinite length!





# Constructing fractals by iterative reduction and translation

- The Koch curve can be constructed mathematically by an iterative process applied to any arbitrary object  $X$ .
- Define four transformations
  - $w_0(X)$ : scale 1/3, rotate 0, translate (+0,+0)
  - $w_1(X)$ : scale 1/3, rotate +60°, translate (+1/3,+0)
  - $w_2(X)$ : scale 1/3, rotate -60°, translate (+1/2,+ $\sqrt{3}/6$ )
  - $w_3(X)$ : scale 1/3, rotate 0, translate (+2/3,+0)
- Define the transformation
  - $W(X) = w_0(X) \cup w_1(X) \cup w_2(X) \cup w_3(X)$ .

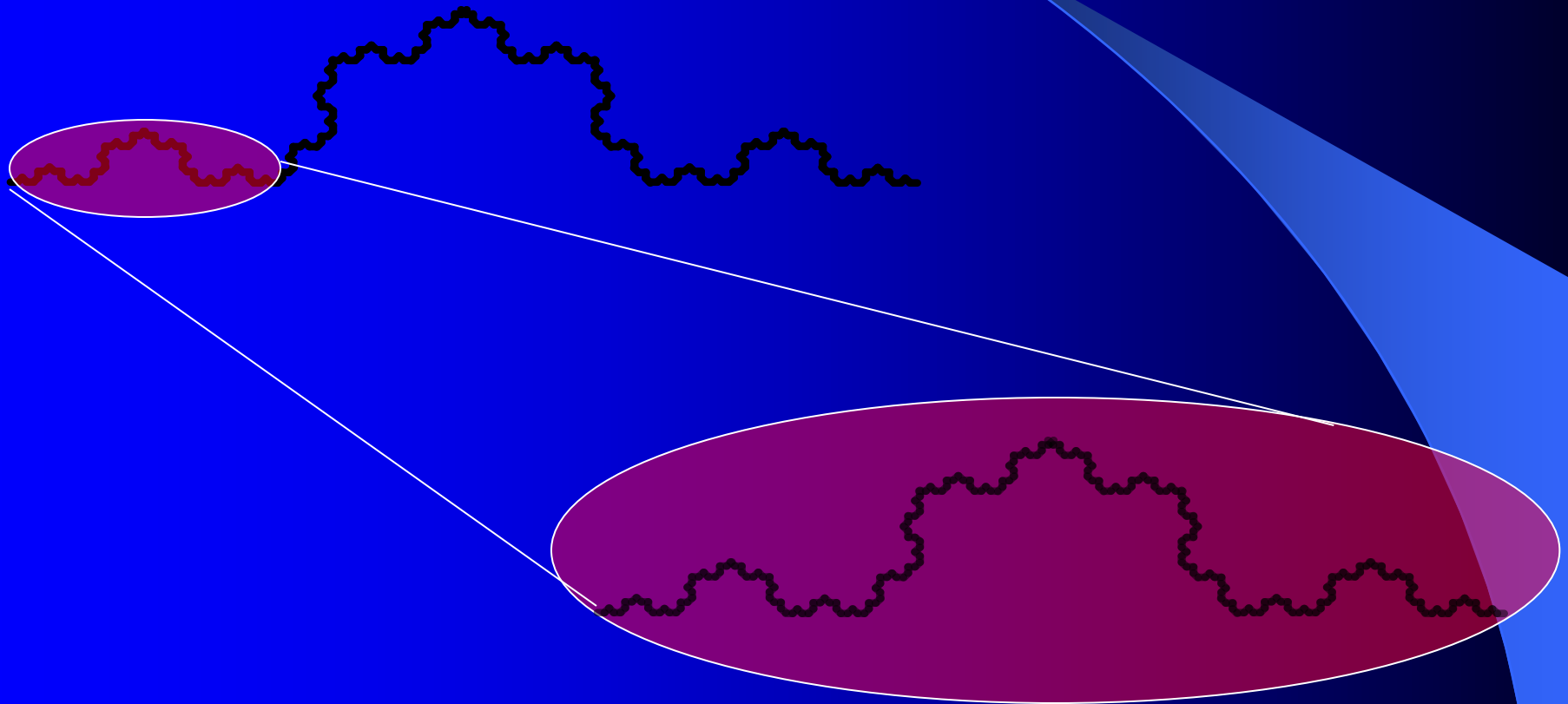


# Defining the Koch curve

- We have an iterative function
  - $X_{n+1} = W(X_n)$
- The Koch curve is the invariant set,  $K$ , satisfying
  - $W(K) = K$
- i.e., the solution  $K$  of this equation is the Koch curve.
  
- So, it doesn't matter what the initial object is! Clearly what we have achieved a simple coding method that encodes a complex Koch curve into some transformation parameters.
- *APPLICATIONS: Image coding.*

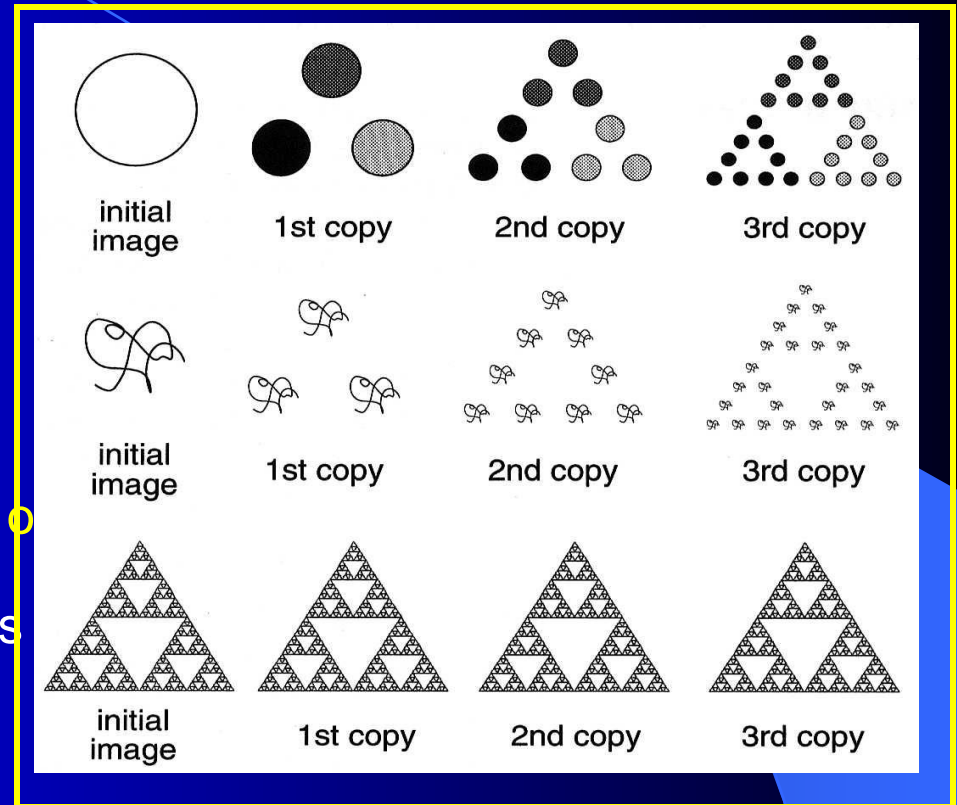
# Self-similarity revisited

## Self-similarity in the Koch curve



# Sierpinski Gasket re-defined

- We may define another  $W(X)$  for the Sierpinski Gasket.
- Define three transformations
  - $w_0(X)$ : scale 1/3, translate (+0,+0)
  - $w_1(X)$ : scale 1/3, translate (+1/2,+0)
  - $w_2(X)$ : scale 1/3, translate (+1/4,+ $\sqrt{3}/4$ )
- Define  $W(X)$  as
  - $W(X) = w_0(X) \cup w_1(X) \cup w_2(X)$ .
- The Sierpinski Gasket is the solution of  $W(X)=X$ .
- In practice it is the object that remains after many iterations under  $W(X)$ .



# The problem of measuring fractals

- Benoit Mandelbrot, “How long is the coast of Britain?” *Science* 155 (1967), 636-638.
- *Border of Spain and Portugal:*
  - *A Spanish encyclopedia says 616 miles.*
  - *A Portuguese encyclopedia says 758 miles.*
- *Coast of Britain:*
  - *Various sources claim it between 4500 and 5000 miles!*

## Problem of measuring fractal objects

# Euclid dimension

- In Euclid geometry, dimensions of objects are defined by integer numbers.
- 0 - A point
- 1 - A curve or line
- 2 - Triangles, circles or surfaces
- 3 - Spheres, cubes and other solids

- For a square we have  $N^2$  self-similar pieces for the magnification factor of  $N$

$$\text{dimension} = \frac{\log(\text{number of self-similar pieces})}{\log(\text{magnification factor})}$$

$$= \frac{\log(N^2)}{\log N} = 2$$

For a cube we have  $N^3$  self-similar pieces

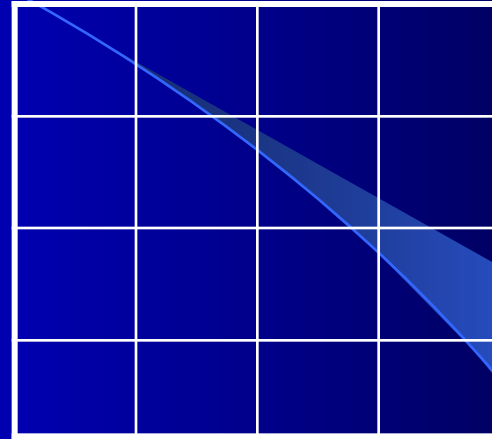
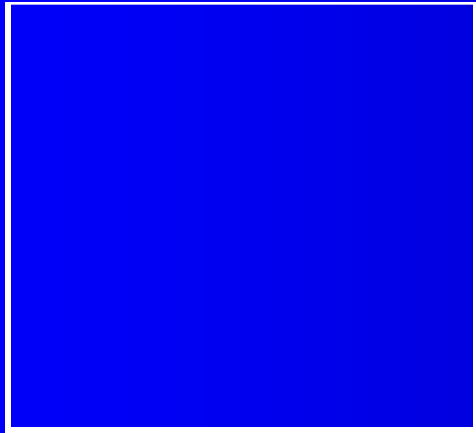
$$\text{dimension} = \frac{\log(\text{number of self-similar pieces})}{\log(\text{magnification factor})}$$

$$= \frac{\log(N^3)}{\log N} = 3$$

Sierpinski triangle consists of three self-similar pieces with magnification factor 2 each

$$\text{dimension} = \frac{\log 3}{\log 2} = 1.58$$

# Dimension of a two dimensional square



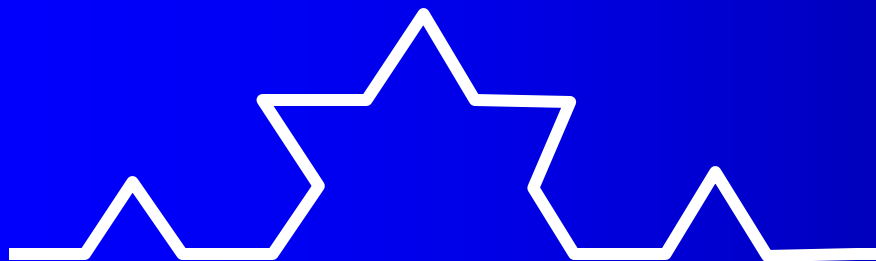


# Fractal dimension

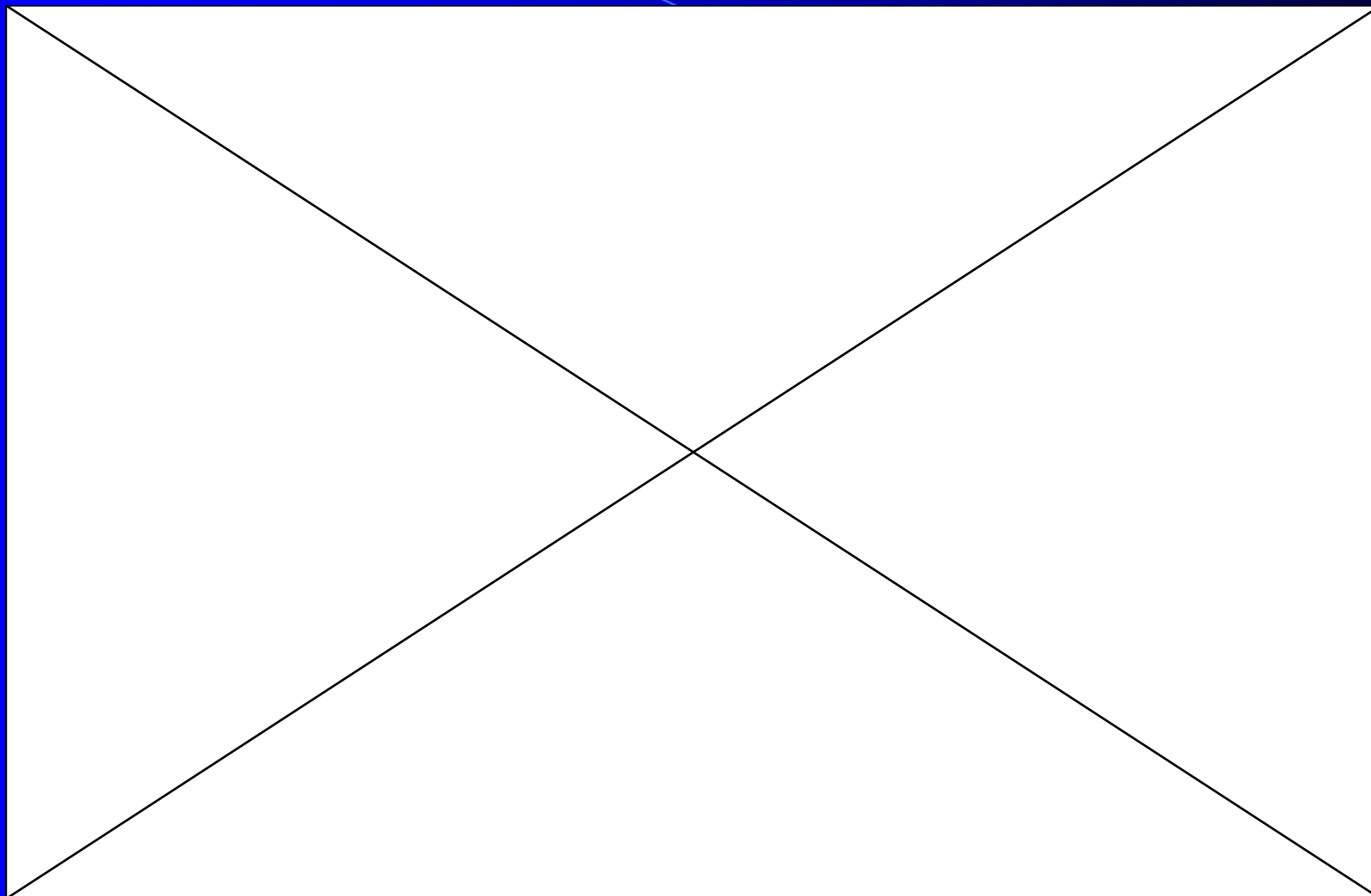
- Fractal dimension can be non-integers
- Intuitively, we can represent the fractal dimension as a measure of how much space the fractal occupies.
- Given a curve, we can transform it into 'n' parts (n actually represents the number of segments), and the whole being 's' times the length of each of the parts. The fractal dimension is then :

$$d = \log n / \log s$$

# Scaling/dimension of the von Koch curve

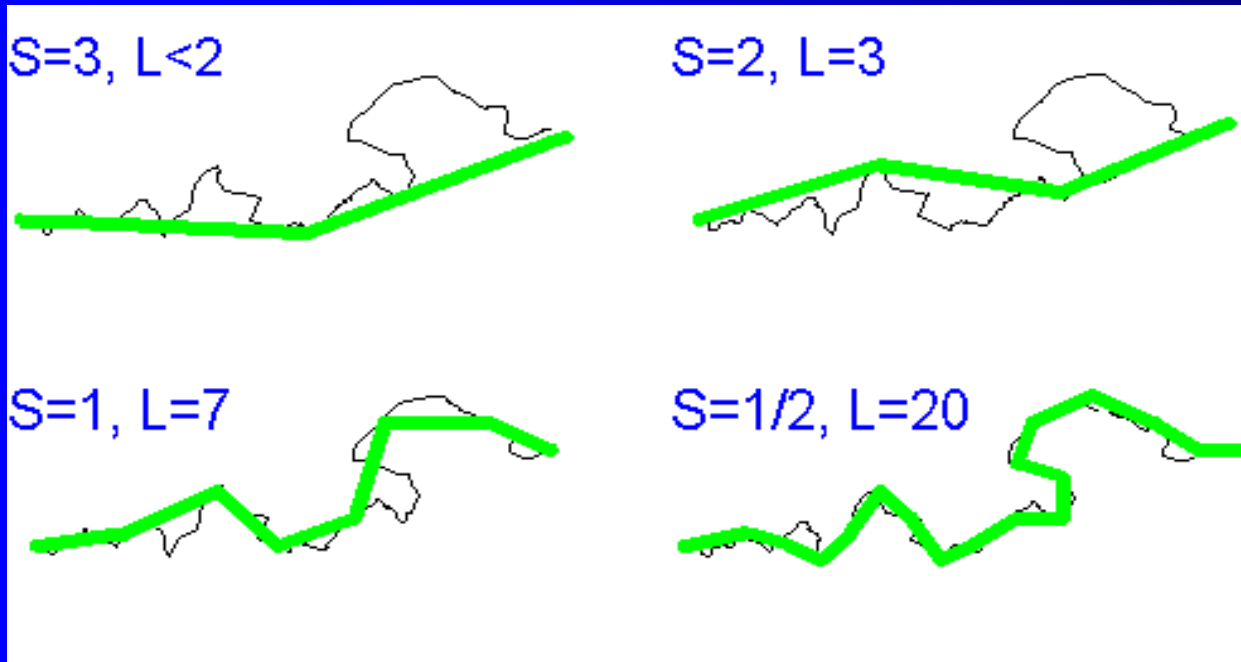


- Scale by 3 – need four self-similar pieces
- $D = \log 4 / \log 3 = 1.26$



# Length of the coastline of Britain

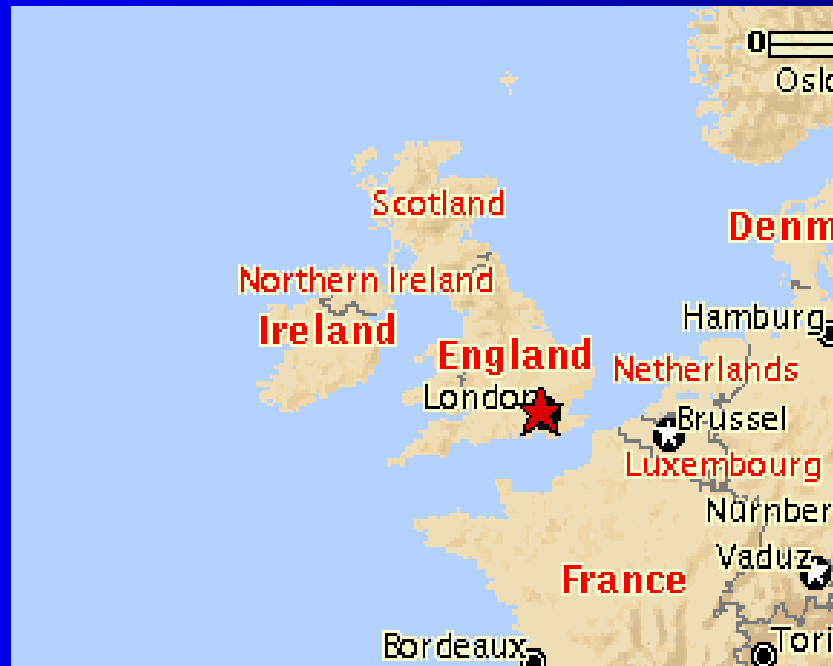
$$D = \frac{\ln(L_1)/\ln(L_2)}{\ln(S_1)/\ln(S_2)}$$

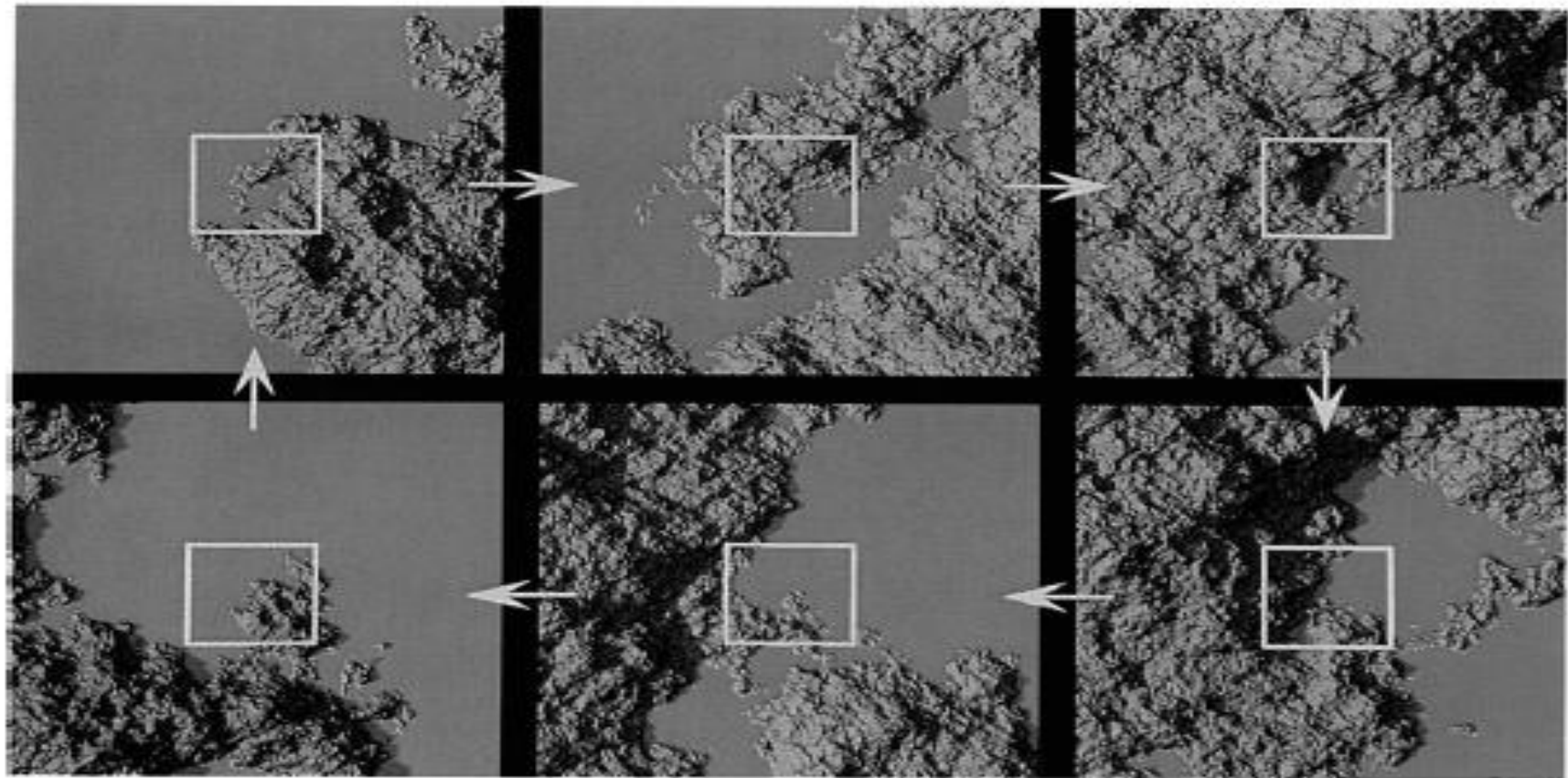


# Real world fractals

A cloud, a mountain, a flower, a tree  
or a coastline...

## The coastline of Britain





Fractal Coastline (6 magnifications)

# Practical measurements

- There is no formula for coastlines, or defined construction process.
- The shape is the result of millions of years of tectonic activities and never stopping erosions, sedimentations, etc.
- In practice we measure on a geographical map.

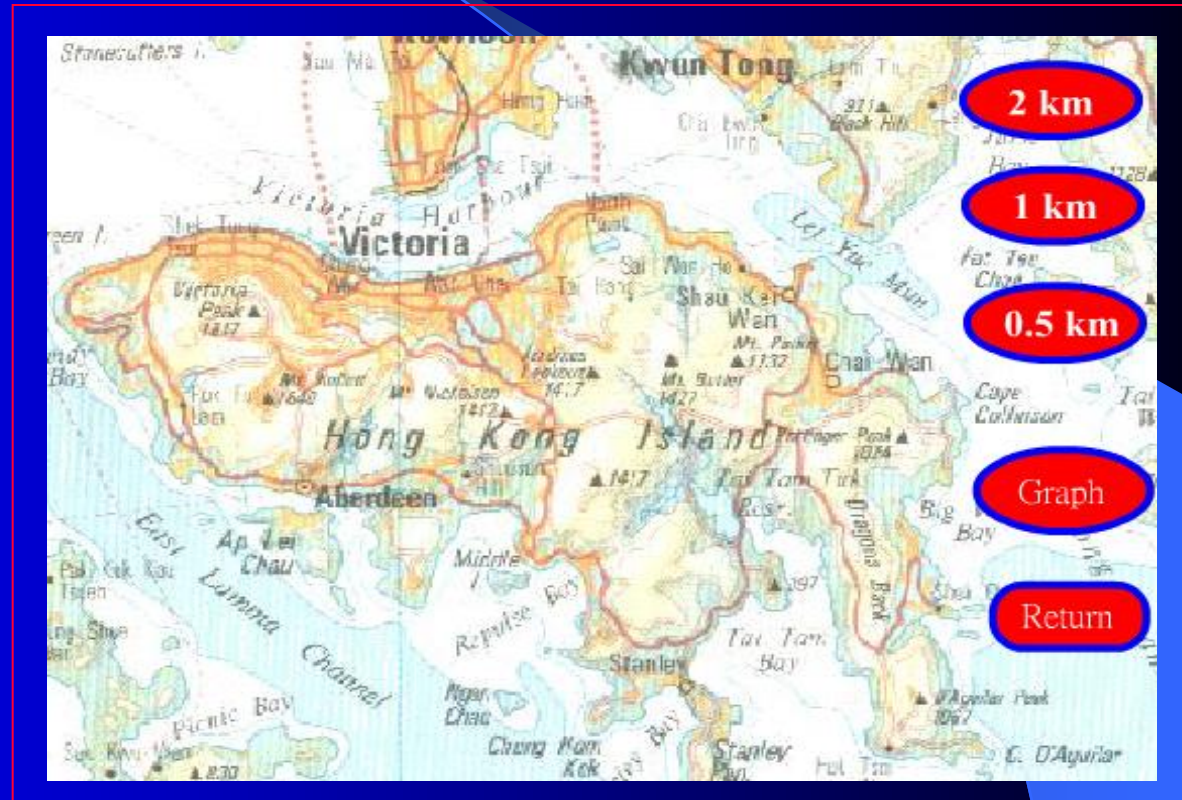
- Measurement procedure.
  - Take a compass, set at a distance  $s$  (in true units).
  - Walk the compass along the coastline.
  - Count the number of steps  $N$ .
  - Note the scale of the map. For example, if the map is 1:1,000,000, then a compass step of 1cm corresponds to 10km. So,  $s=10\text{km}$ .
  - The coast length  $\approx sN$ .



# The Hong Kong coast

- Apply the procedure with different  $s$ .
- Results:
  - **The measured length increases with decreasing  $s$ .**

<u>Compass step <math>s</math></u>	<u>Length <math>u</math></u>
• 2km	43.262km
• 1km	52.702km
• 0.5km	60.598km
• 0.1km	69.162km
• 0.02km	87.98km





# Power law of measurement

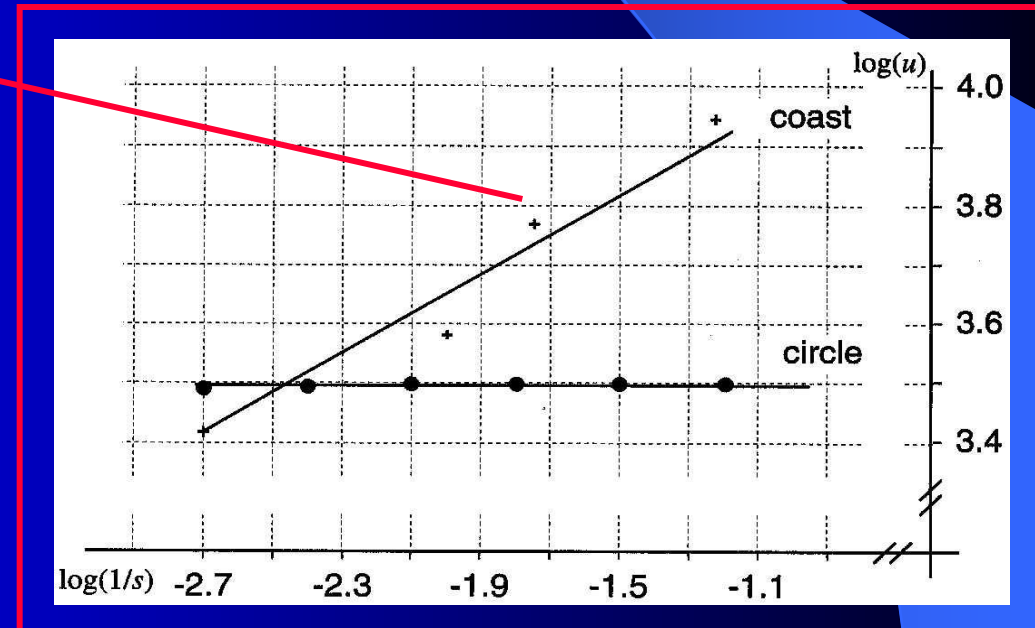
- If we plot  $\log(u)$  versus  $\log(1/s)$ , we can see that

- $\log(u) = d \log(1/s) + k$

- which is equivalent to

- $u = c (1/s)^d$

- The slope is  $d$ .
- For the Hong Kong coast,  **$d \approx 0.14$** .
- For a circle,  $d=0$ .
- **We expect the length  $u$  continues to increase as we decrease  $s$ .**



# Length of the Koch curve

Earlier on, we found the length of the Koch curve to be infinity.  
Can we measure it in a similar way as we did for the British coast?

If  $s=1$ ,  $u=1$ .

If  $s=1/3$ ,  $u=4$ .

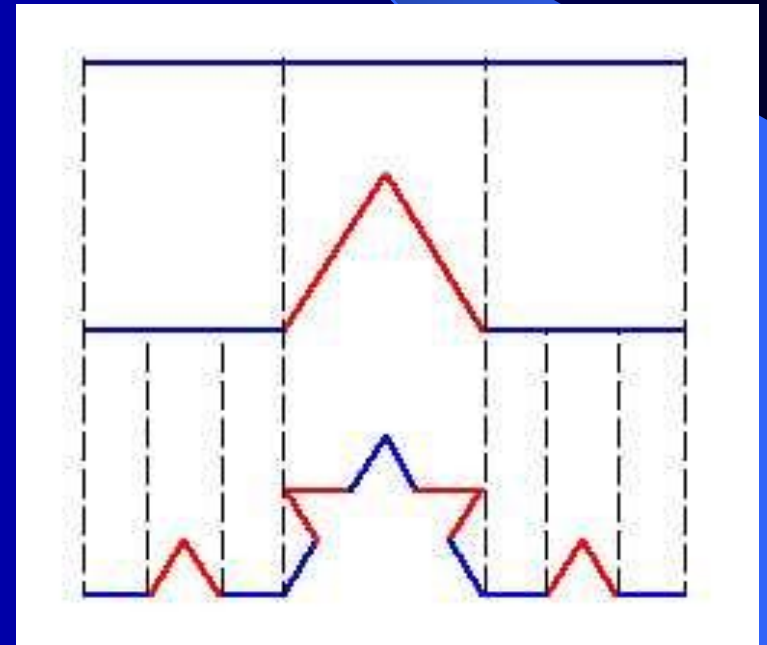
If  $s=1/9$ ,  $u=16$ , etc.

So,  $u \rightarrow \infty$  as  $s \rightarrow 0$ .

Clearly, we have

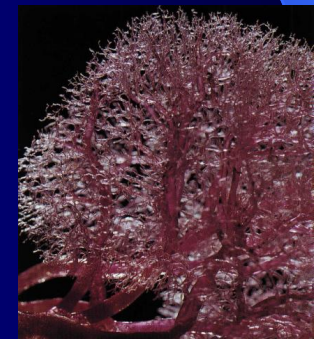
$$\log u = d \log(1/s) + k$$

**Here,  $d = 0.2619$**



## So, how long is it? —An ill-posed question!

- We may say that the coastline and the Koch curve (and all fractals) *have practically no length!*
- It depends on the size of the measuring instrument.
- What is meaningful is the value of *d*, *which measures the level of convolution of the curve*. So, the Hong Kong coast can be less convoluted than the Koch curve.
- Many biological structures are organized in a fractal way to fit an infinite length within finite area or volume.
  - Blood capillaries
  - Kidney vessels
  - --> SPACE-FILLING FRACTALS

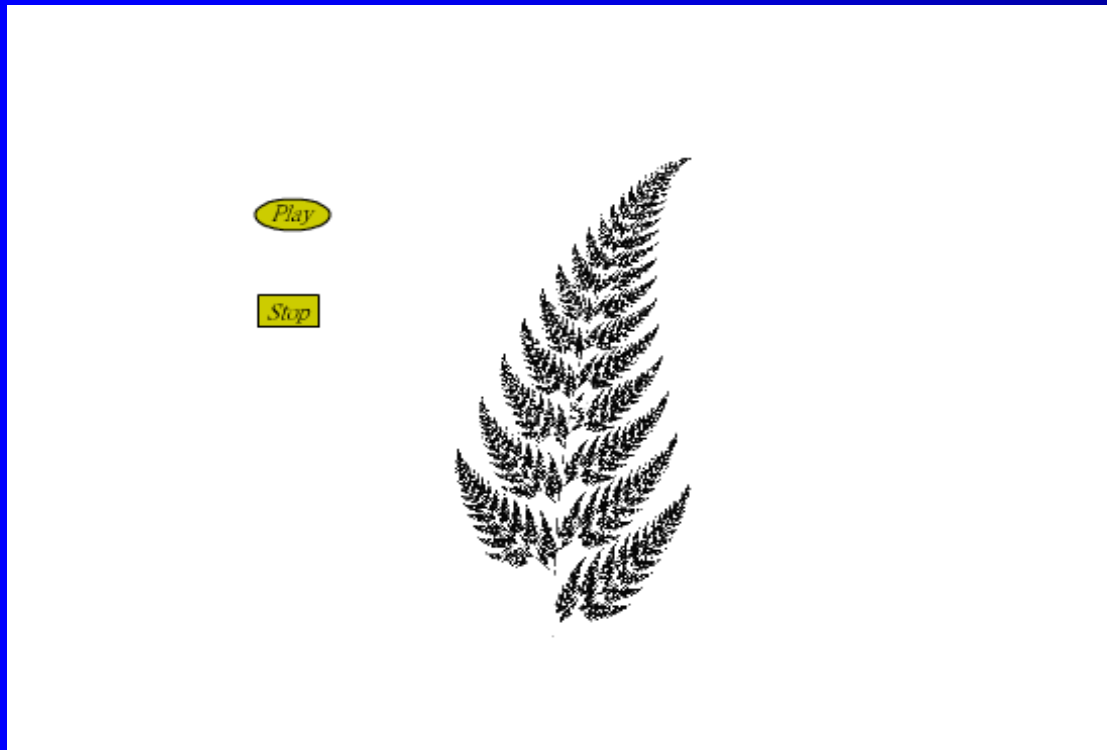


# Dimension

- The question of “how long” can be ill-posed, as we have seen.
- Similarly, measurement of areas and volumes could be meaningless.
- **What seems to be relevant is the  $d$  in the power law.**
- This  $d$  is related to the concept of DIMENSION.
  - Self-similarity dimension
  - Compass dimension
  - Box-counting dimension

FRACTAL DIMENSION

# Self-similarity



# Self-similarity dimension

- **Fractals are self-similar. Assume that a fractal object is  $n$  copies of itself scaled down by a factor of  $s$ .**
- So, we can define a power law as

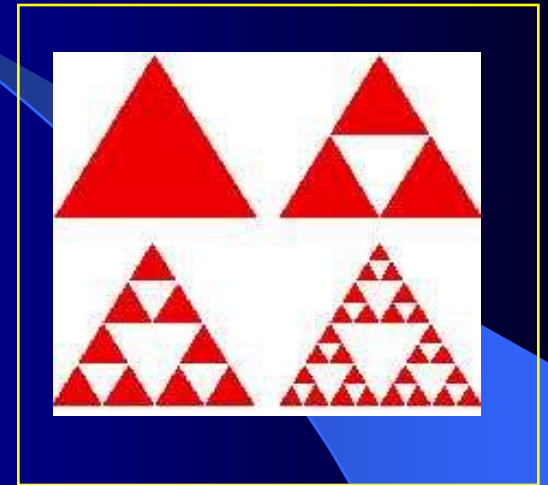
$$- n = (1/s)^D$$

- **Examples:**
- The **Koch curve** copies itself 4 times with scaling factor of 3. ( $n=4$ ,  $s=3$ , and  $D = 1.2619$ )
- A **line** copies itself  $N$  times with scaling factor  $N$ , where  $N$  can be any integer. ( $n=s=N$  and  $D=1$ )
- A **square** copies itself  $N^2$  times with scaling factor  $N$ , where  $N$  can be any integer. ( $n= N^2$ ,  $s=N$  and  $D=2$ )
- **$D =$  self-similarity dimension**



## More examples

- Sierpinski Gasket:
  - $s = 2$  (scaling)
  - $n = 3$  (copy number)
  - Hence,  $D = \log(n)/\log(1/s) = \mathbf{1.585}$
- Cantor set:
  - $s = 3$  (scaling)
  - $n = 2$  (copy number)
  - Hence,  $D = \log(n)/\log(1/s) = \mathbf{0.6309}$



# Relation between $D$ and $d$

- **Two power laws:**
  - Number of self copies  $n = (1/s)^D$  or  $\log(n) = D \log(1/s)$
  - Total length  $u = (1/s)^d$  or  $\log(u) = d \log(1/s)$
- When measuring  $u$ , we simply use
  - $u = n \times s$  or  $\log(u) = \log(n) + \log(s)$
- Thus, we have  $d \log(1/s) = D \log(1/s) + \log(s)$ 
  - **i.e.,  $D = 1 + d$**
  - **The HK coast has a fractal dimension of  $1 + 0.14 = 1.14$**
  - **We may define  $1 + d$  as the COMPASS dimension.**

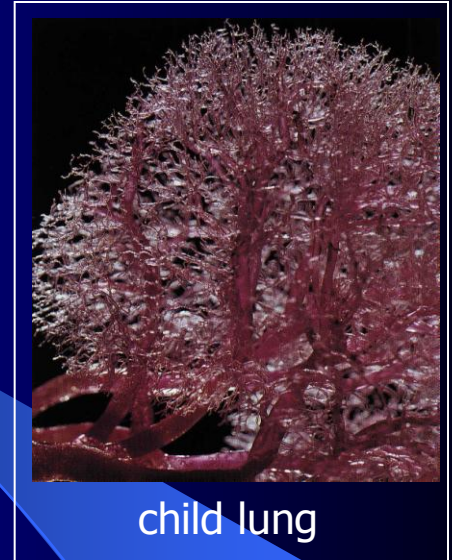


# Compass dimension

- Start with a length (or area, etc) measurement.
- Find  $d$  in the power law  $u = (1/s)^d$ .
- Then, the dimension found by adding 1 to  $d$  is the ***compass dimension*** — another way to find fractal dimension.
  
- Just a different way of computation
  - For mathematical fractals like the Cantor set and Koch curve, the self-similarity dimension and the compass dimension are identical.
  - For natural fractals like coastlines, no self-similarity dimension can be found. So, compass dimension becomes useful.

# Are organisms fractal?

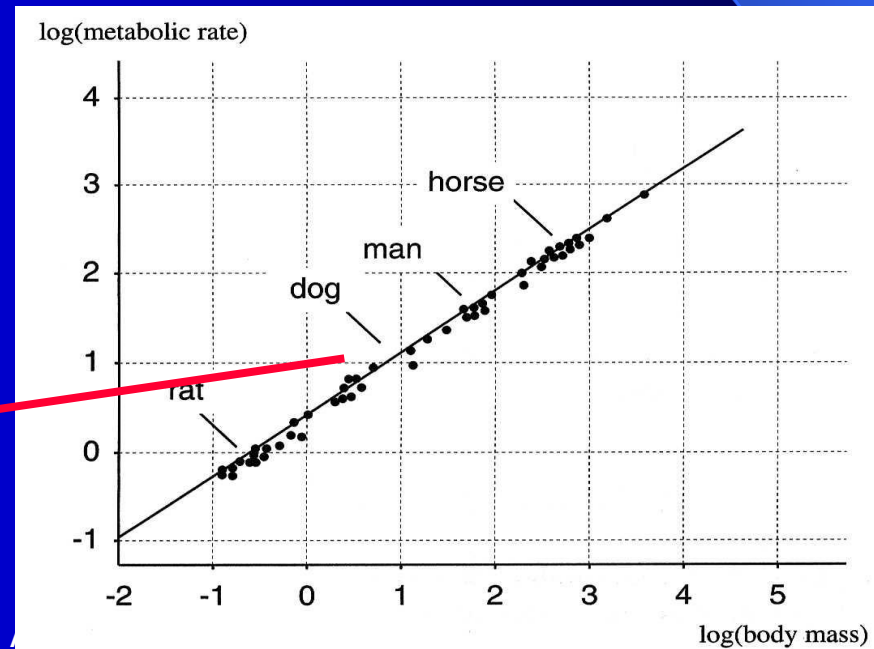
- M. Sernetz *et al.* (1985 paper in J. Theoretical Biology)
- Contrary to common belief, metabolic rate is not proportional to body weight. Instead, it fits in a power law relationship.



$$m = CW^\alpha$$

Metabolic rate  
Slope  $\alpha \approx 0.75$

Body weight

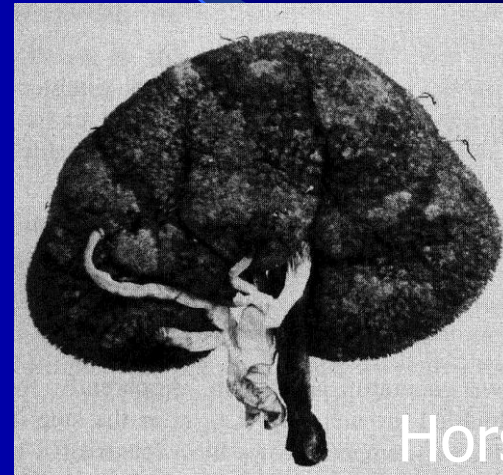


Fractals and

8th, 2013 © Maciej J. Ogorzałek

# Dimension of organisms

- We can deduce the fractal dimension from  $\alpha \approx 0.75$ .
- Suppose  $r$  is the scaling factor (like  $s$ ). Since weight is  $r^3$ , the power law can be modified to  $m = cr^{3\alpha}$ .
- Thus,  $D = 3\alpha \approx 2.25$ .
- **The body is not a solid volume, it is rather a fractal (highly convoluted surface) of dimension 2.25!**
  - Would the dimension change when an organ malfunctions?
  - Is the dimension different for different animals?



Horse kidney



# Fractal Geometry of the Heart and Circulatory Structures

- **the main areas where fractal geometry can be seen in the circulatory system are:**

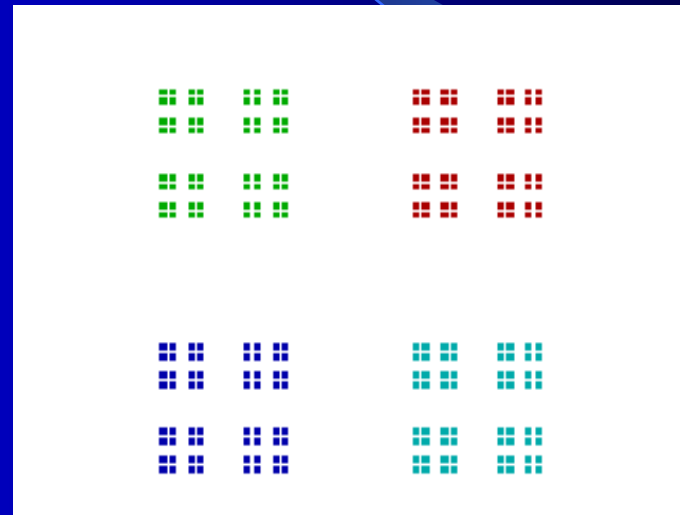
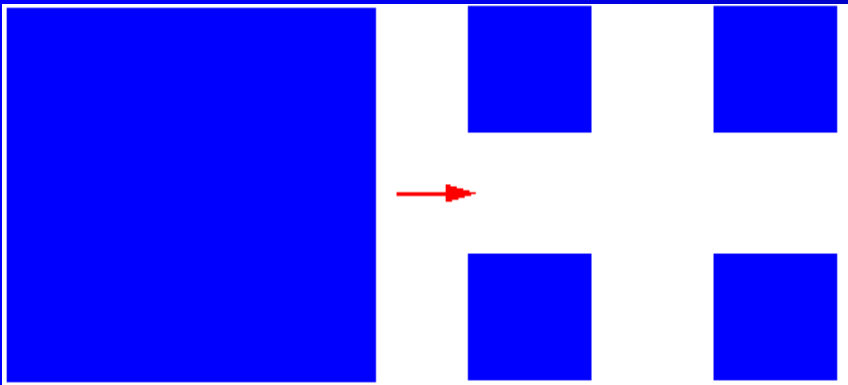
- Arteries and veins - Their cells and organization display the properties of fractals, such as the power-law distribution in the diameter distribution of arteries and veins.
- Organization of heart muscle groups - Show properties of self-similarity, fine structure, etc. Branching of certain muscles inside the heart
- - resemble the bifurcations seen in fractals such as the Feigenbaum plot
- His-Purkinje network - The branches and bifurcation of this electrical system are essential to human biology and resilience.
- The tendons that connect the tricuspid valve to the papillary muscles. - These again show bifurcation along with other fractal properties.
- The aortic valve leaflets - These are layered providing a huge surface area, while keeping a small volume

How does the fractal structure help?

- The fractal structure of the veins, arteries, and heart muscles help protect the circulatory system from the strong, violent pumping of the human heart.
- The fractal structure, which is usually unnecessary, can come into play when the His-Purkinje network is damaged. This helps the heart be resilient and resistant to damage.
- The fractal geometry of the heart could possibly save us everyday.

# Cantor square

- Fractal dimension:  $d = \log 4 / \log 3 = 1.26$

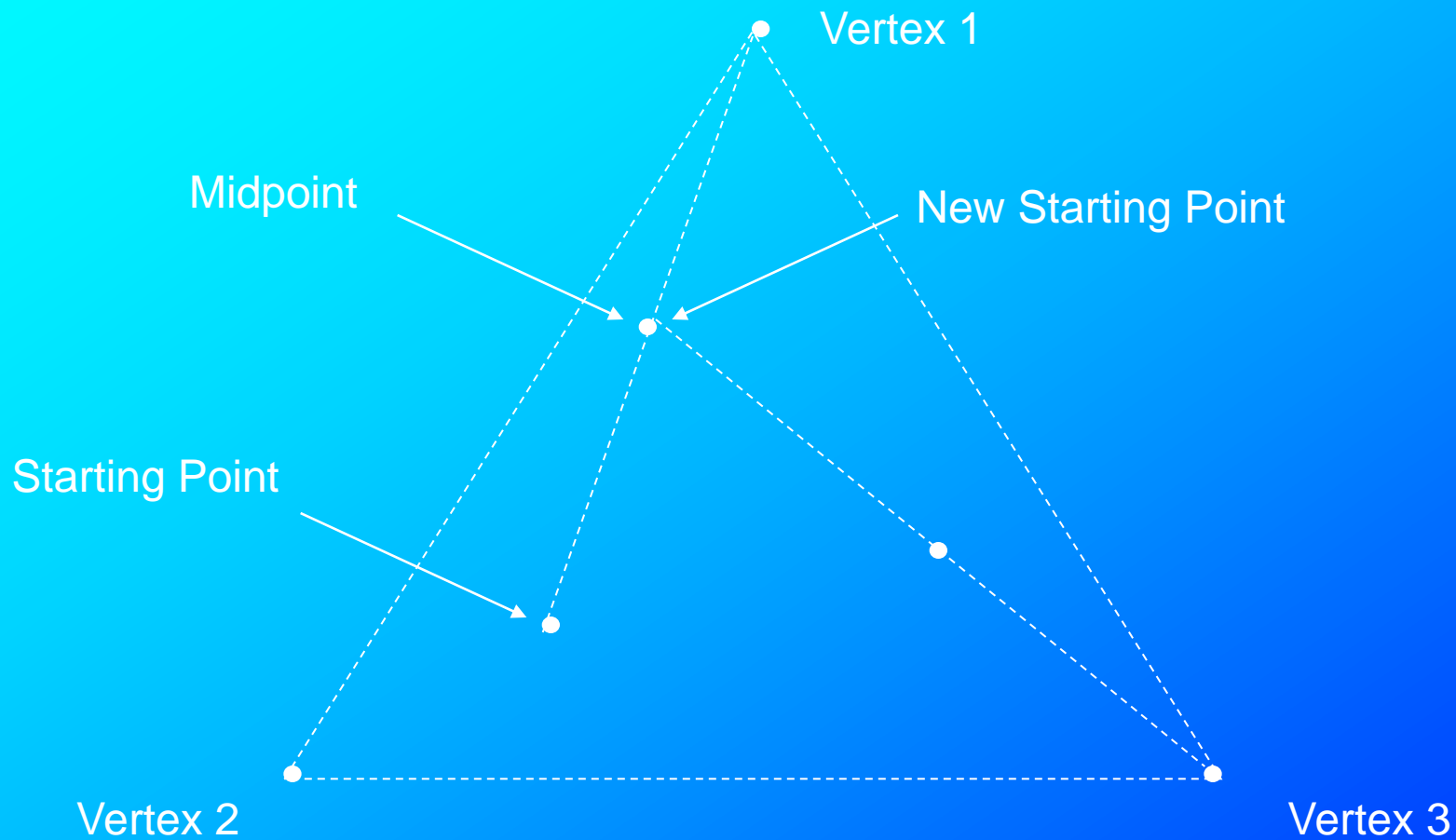


# Sierpiński Fractals

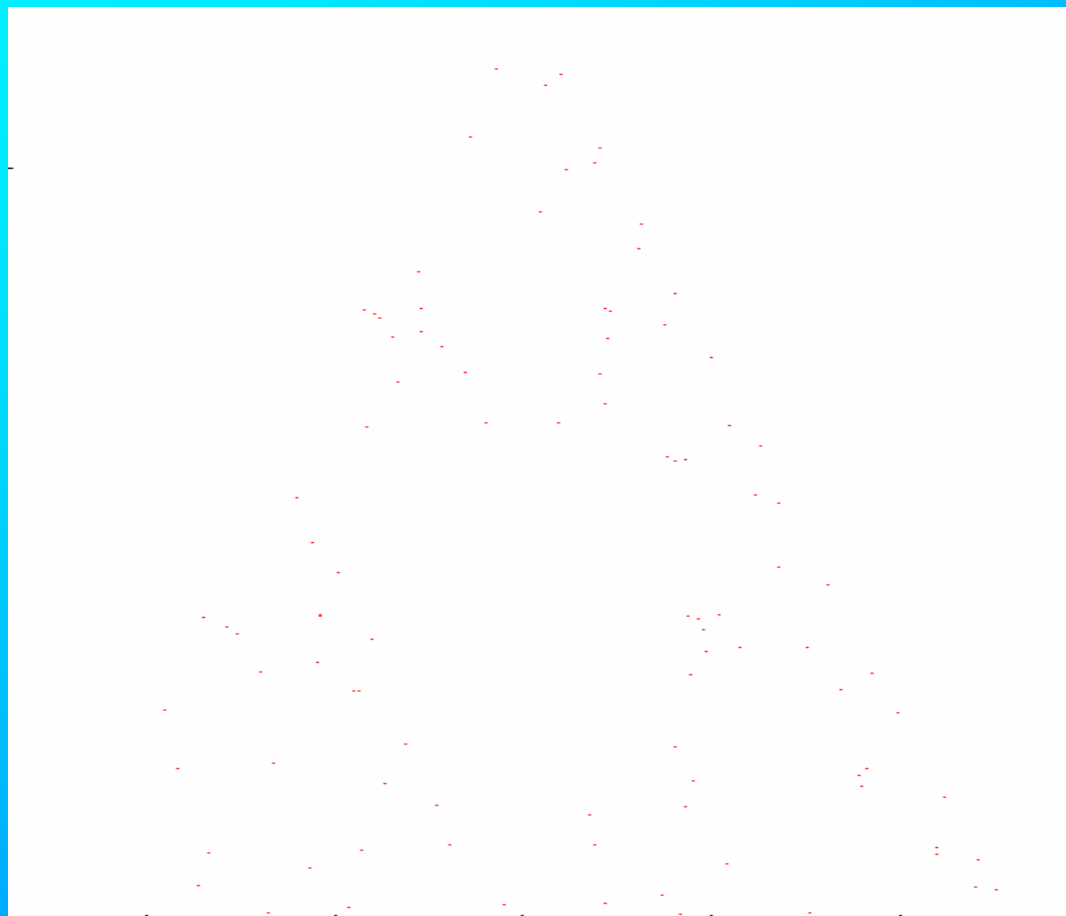
- Named for Polish mathematician Waclaw Sierpinski
- Involve basic geometric polygons



# Sierpinski Chaos Game



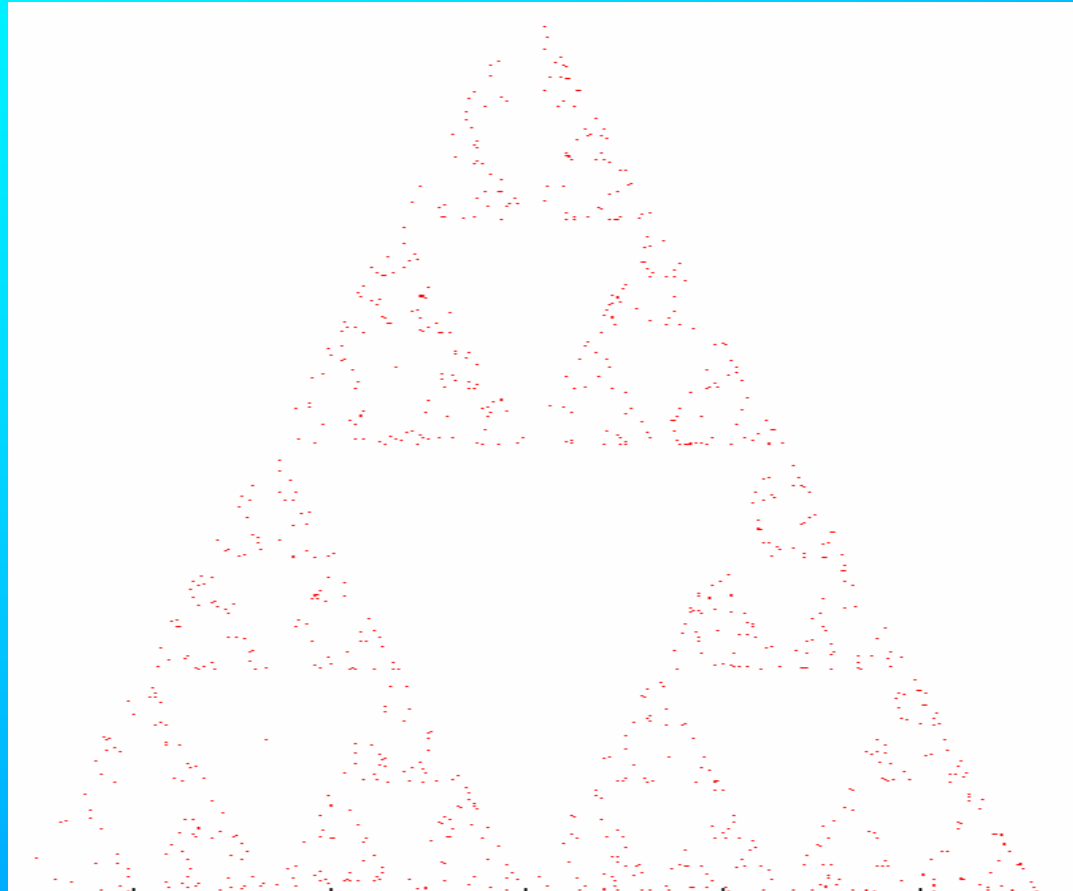
# Sierpinski Chaos Game



● 100 pts



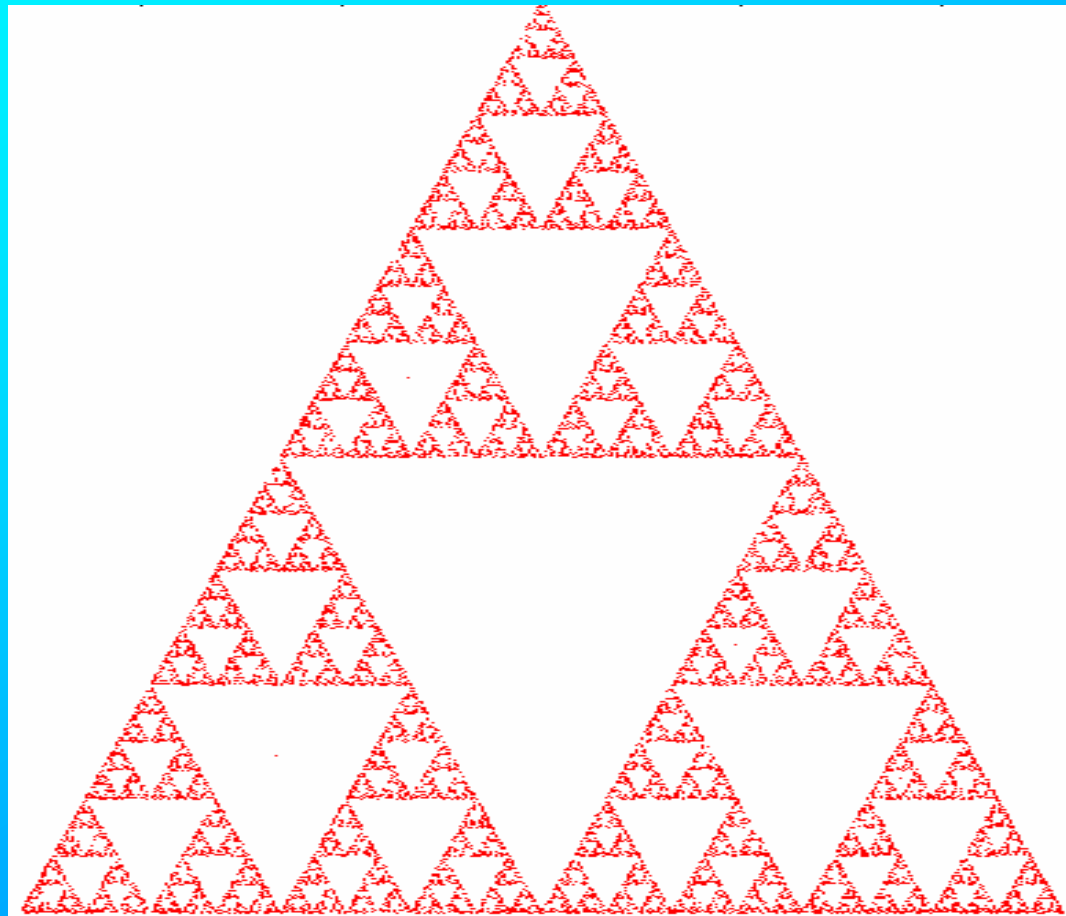
# Sierpinski Chaos Game



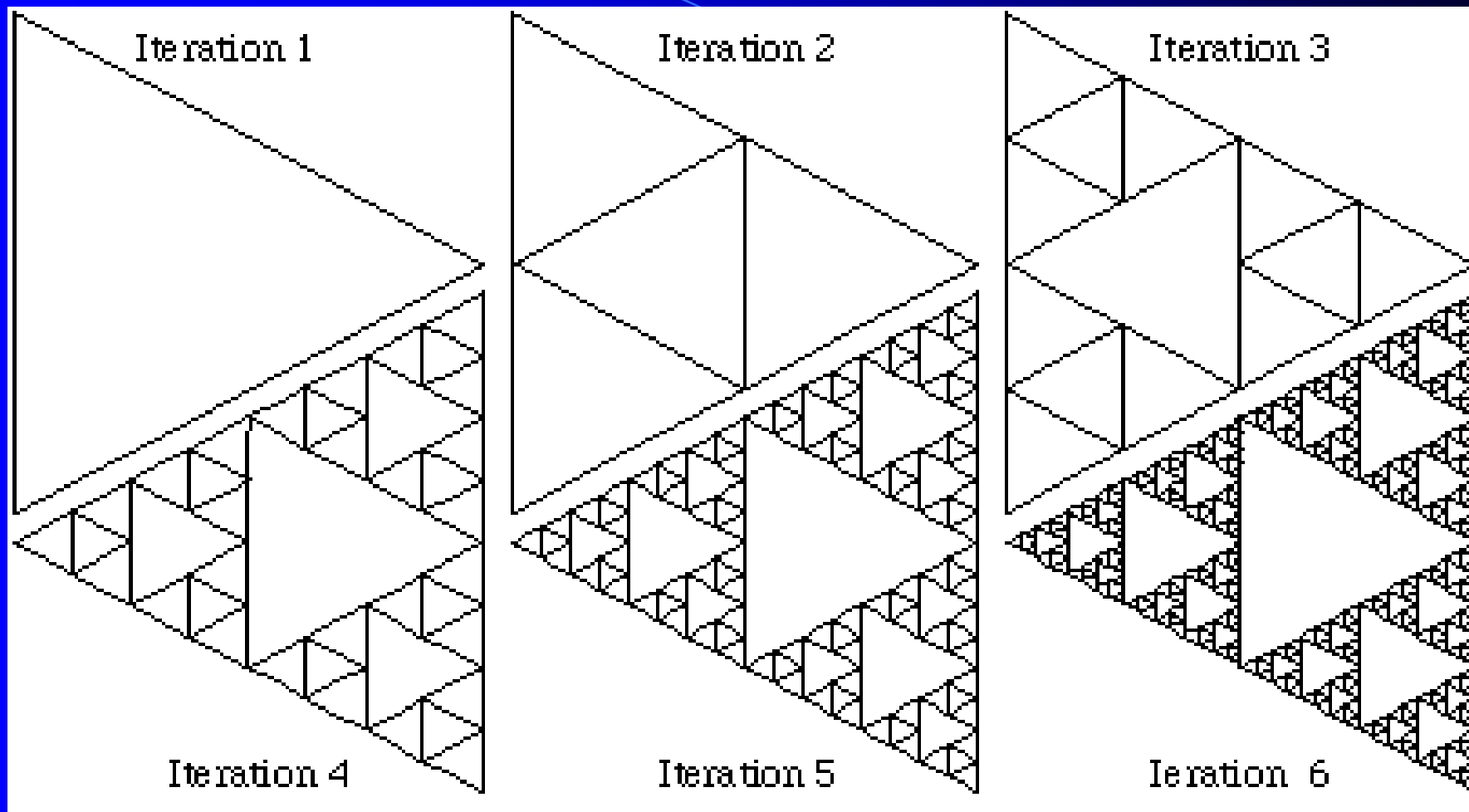
● 1000 pts

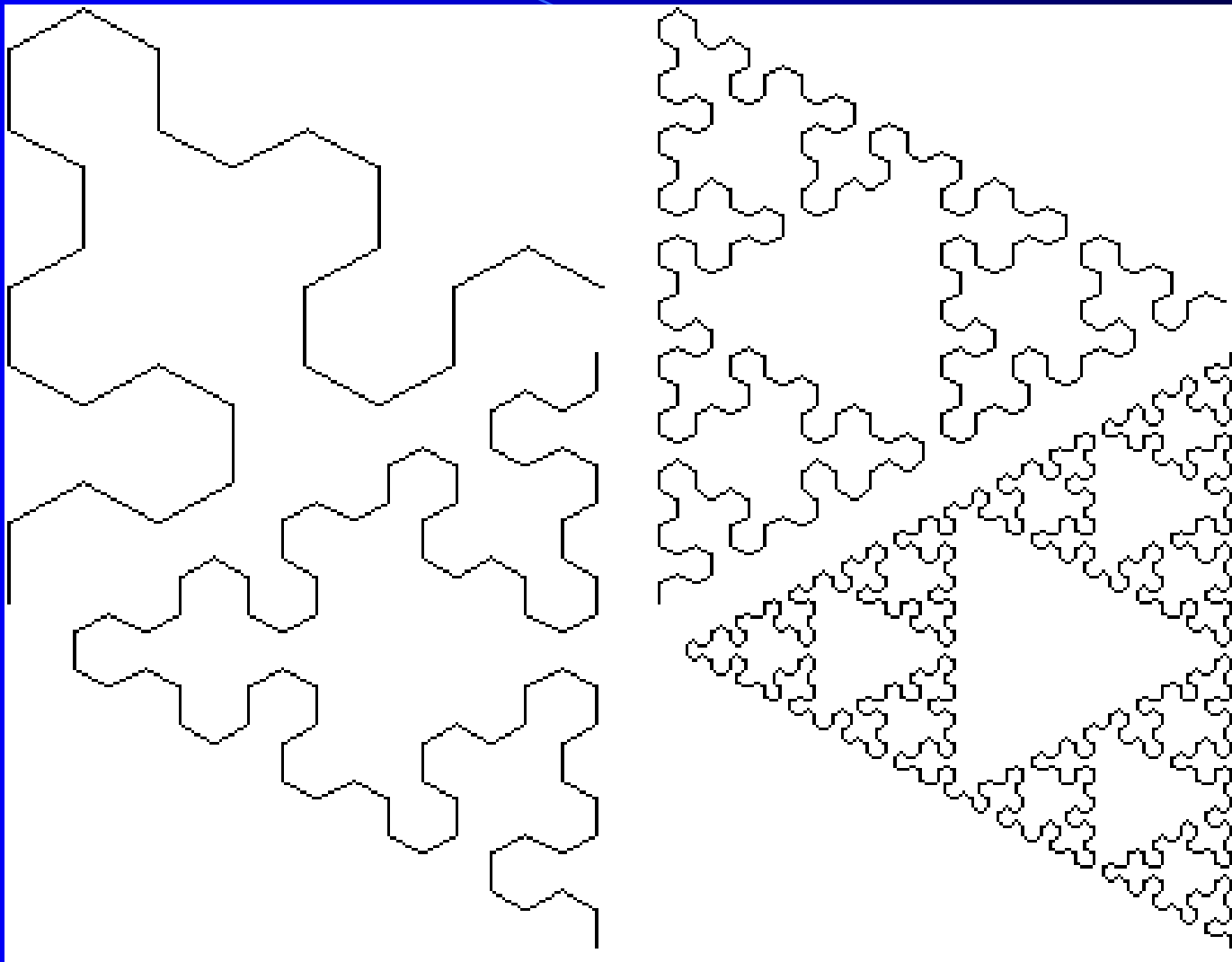
# Sierpinski Chaos Game

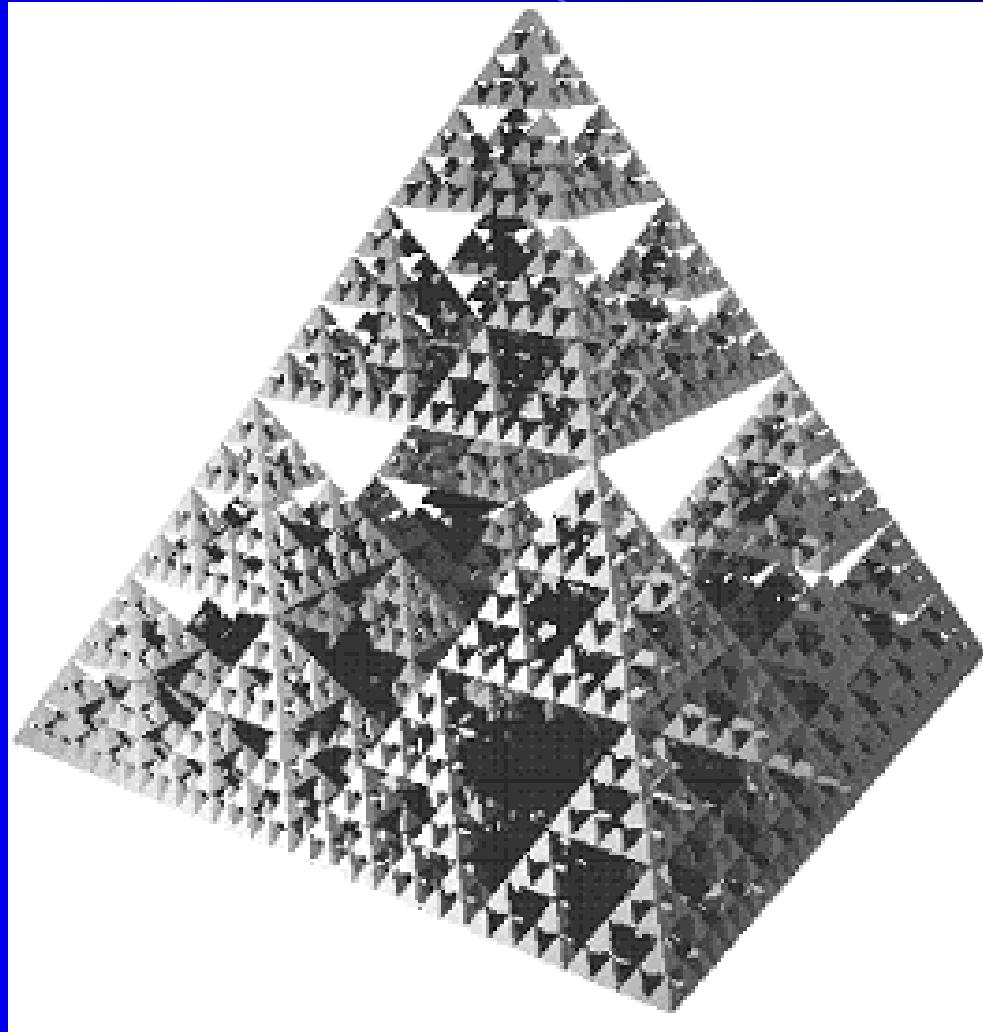
Fractal dimension = 1.8175...



● 20000 pts

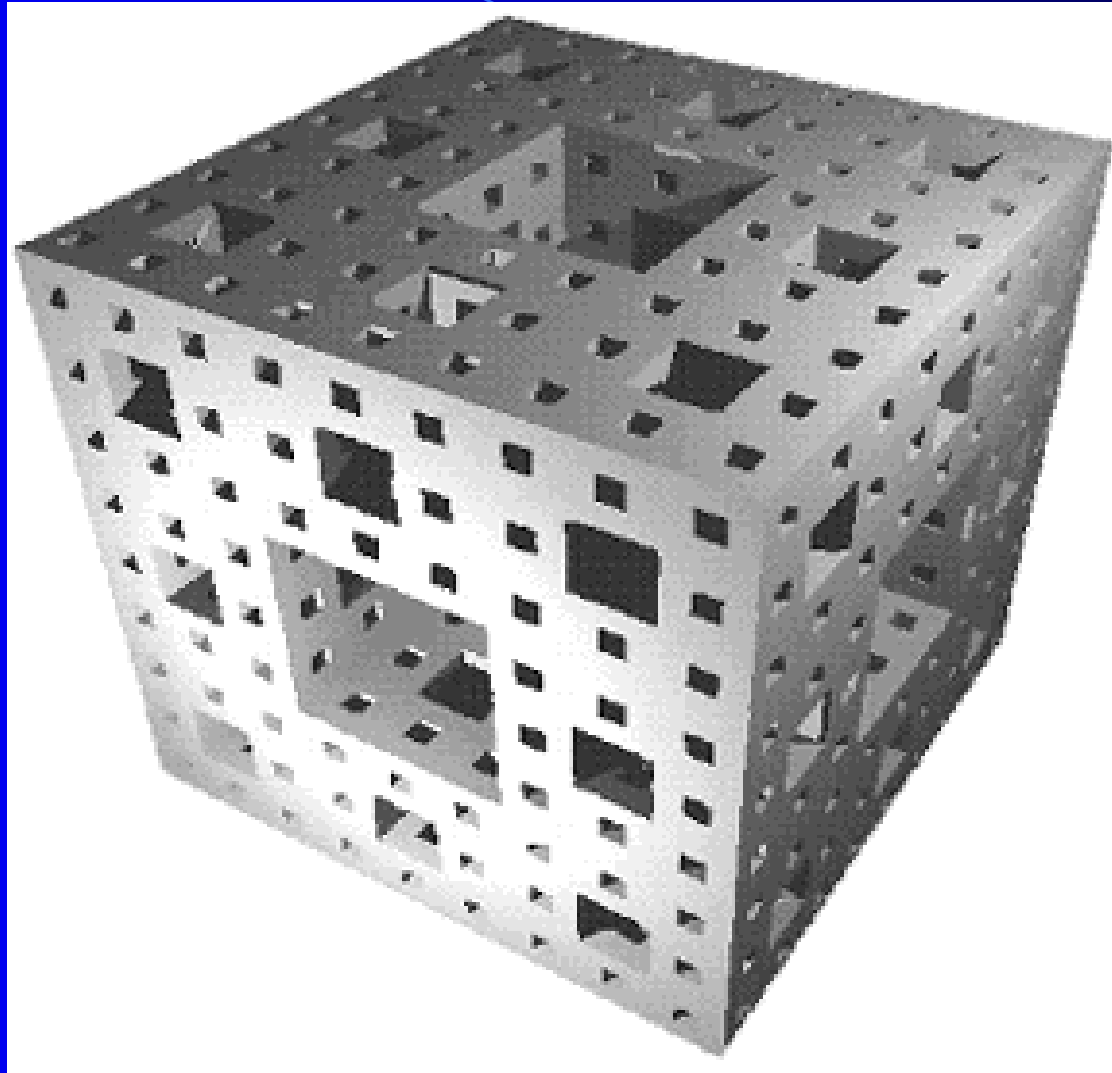






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# Menger's sponge



# IFS (Iterated Function Systems)

$$\begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} r \cos(\theta) & -s \sin(\phi) \\ r \sin(\theta) & s \cos(\phi) \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} e \\ f \end{bmatrix}$$

Here,  $(x,y)$  is a point on the image,

$(r,s)$  tells you how to scale and reflect the image at the various points,

$(\theta,\phi)$  tells you how to rotate,

$(e,f)$  tells you how to translate the image.

Various Fractal Images are produced by differences in these values,  
or by several different groups of values.

# IFS (continued)

Remember that matrix from the previous slide? Lets rewrite it as a system of two equations :

$$x' = r\cos(\theta)x - s\sin(\phi)y + e$$

$$y' = r\sin(\theta)x + s\cos(\phi)y + f$$

$(x,y)$  being the pair we are transforming, and  $(x',y')$  being the point in the plane where the old  $(x,y)$  will be transformed to.

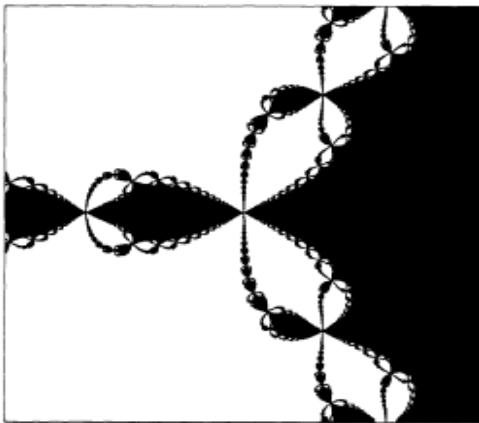
EVERY Transformation follow this pattern. So for file transmission, all we need to include would be the constants from above :  $r,s,\theta,\phi,e,f, x,y$   
This greatly simplifies the Task parsing.

On return you would only need to include the  $(x,y) \rightarrow (x',y')$

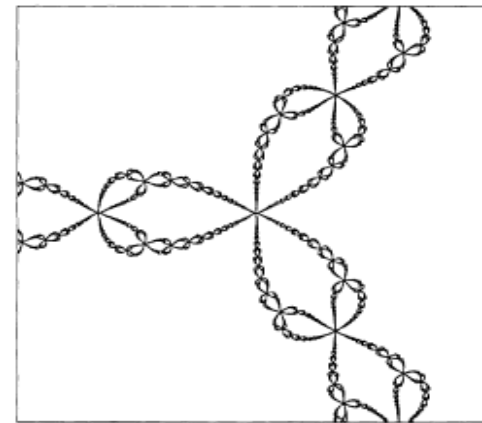


# Julia set

- Defined as boundary between bounded and unbounded sequences in complex plane for the nonlinear maps  $z^n + c$  ( $z, c \in \mathbf{C}$ ,  $n$  usually 2).
- Sets are either totally connected or disconnected (latter called *dust*).
- Manifest themselves in such contexts as familiar *Newton-Raphson* algorithm for complex case — e.g.  $z^3 - 1 = 0$ :



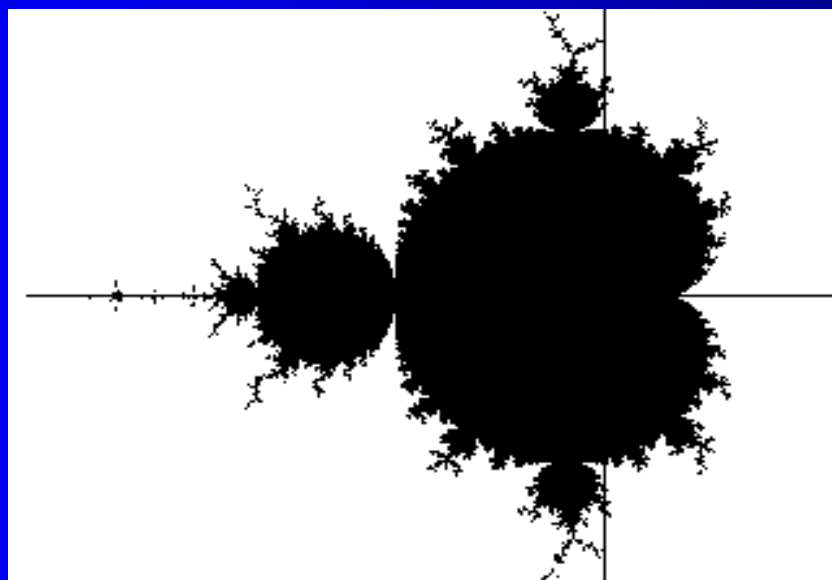
Basin of attraction  
for  $z = 1$  solution.



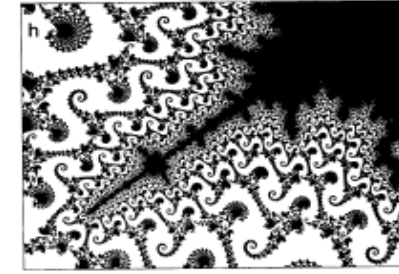
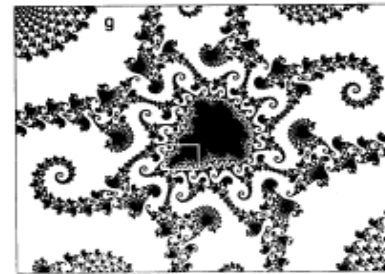
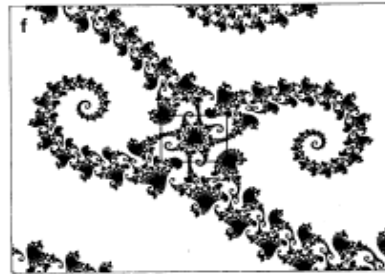
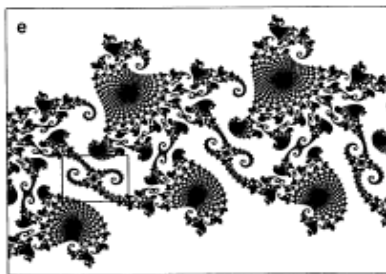
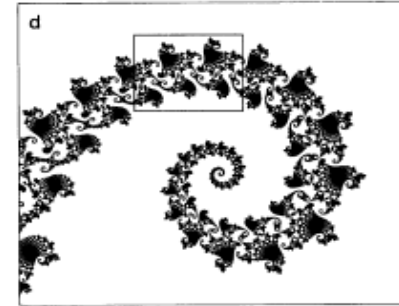
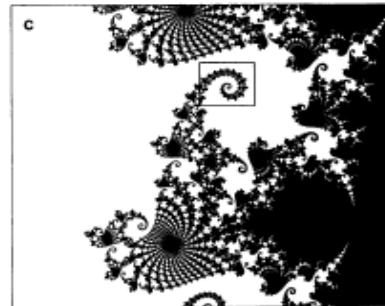
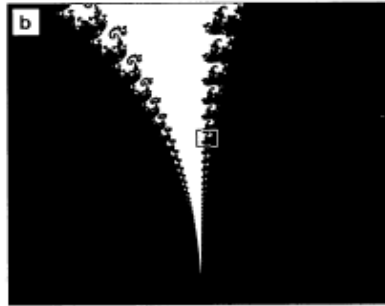
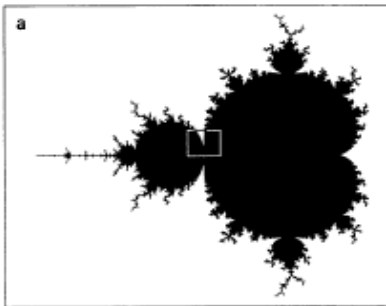
Basin boundaries.

# The Mandelbrot Set

- The Mandelbrot set is a connected set of points in the complex plane
- Calculate:  $Z_1 = Z_0^2 + Z_0$ ,  $Z_2 = Z_1^2 + Z_0$ ,  $Z_3 = Z_2^2 + Z_0$
- If the sequence  $Z_0, Z_1, Z_2, Z_3, \dots$  remains within a distance of 2 of the origin forever, then the point  $Z_0$  is said to be in the Mandelbrot set.
- If the sequence diverges from the origin, then the point is not in the set

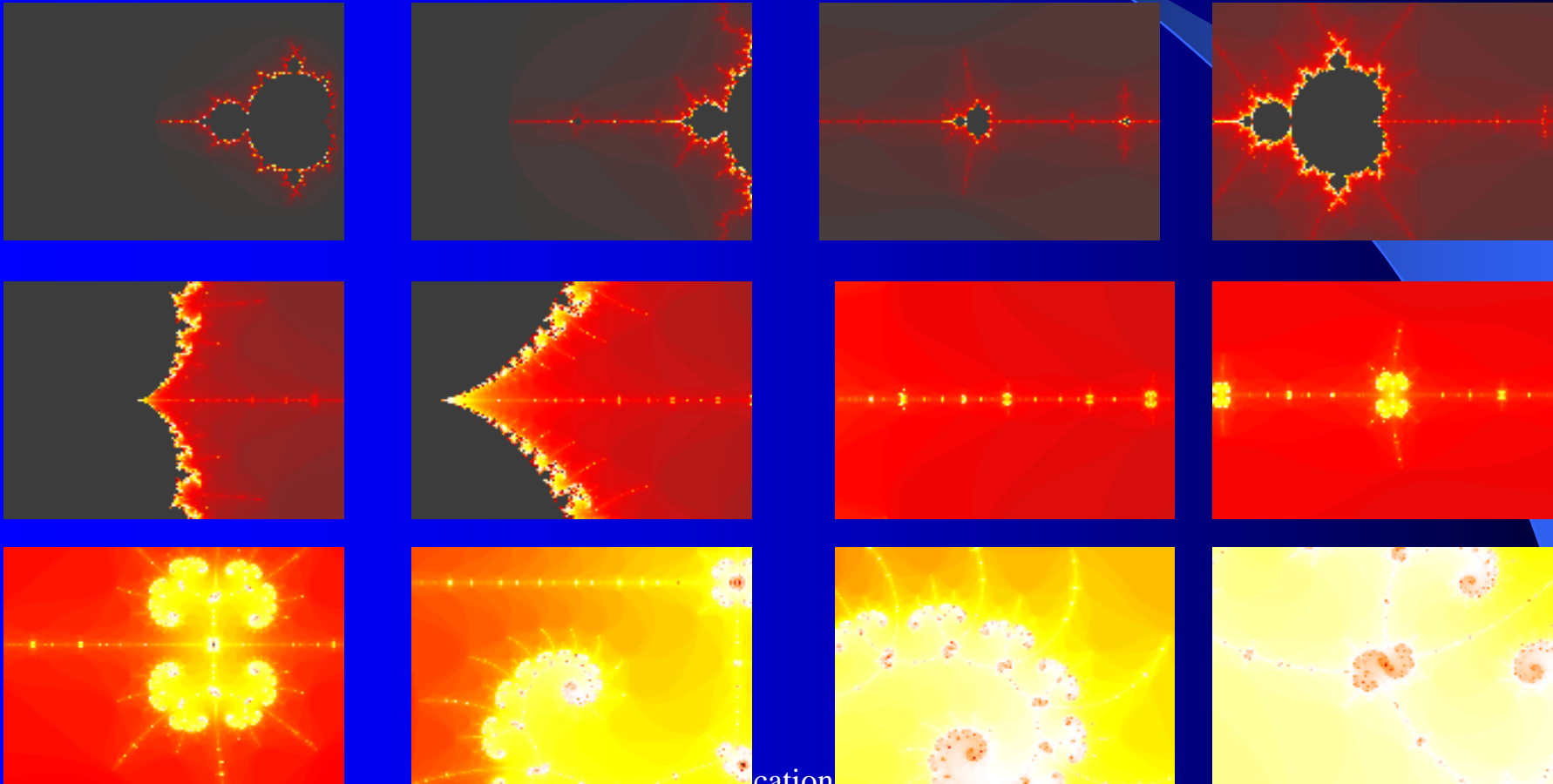


- Most popular and complex object of contemporary mathematics.
- Constructed via simple recipe  $\{c \in \mathbb{C} : c^2 + c \not\rightarrow \infty\}$ , called *prisoner set*.
- Zoom views of set:

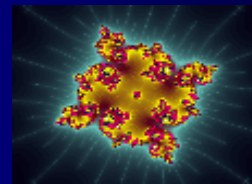
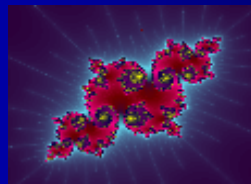
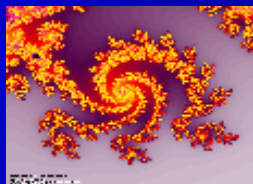
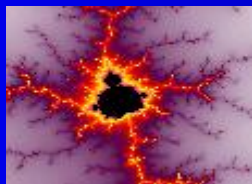
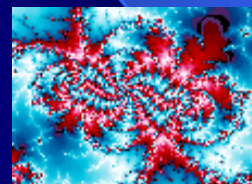
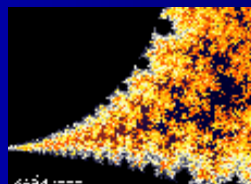
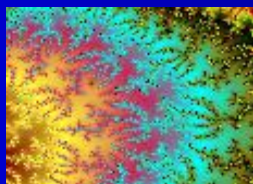
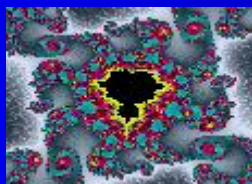
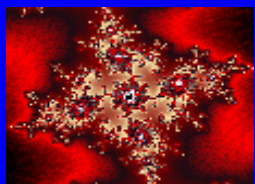
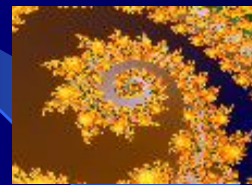
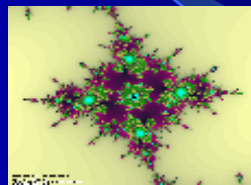
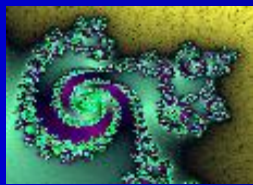
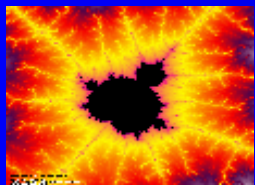


# Colored Mandelbrot Set

- The colors are added to the points that are not inside the set. Then we just zoom in on it



$$z_{n+1} = z_n^2 + c$$



# Fractals in biology



Plate 3: Broccoli Romanesco.



Plate 5: Broccoli Romanesco, detail.

# Space-filling curve (SFC) definition

Curves that pass through every point of an  $n$ -dimensional region with positive area (for  $n=2$ ) or volume (for  $n=3$ ), such as the unit square  $\Omega$  in  $\mathbb{R}^2$  or the unit cube in  $\mathbb{R}^3$ , are called space-filling curves.

Two main characteristics:

- continuous
- surjective

It can be shown that if  $f$  generates a space-filling curve, then it can not be bijective.

# Contents

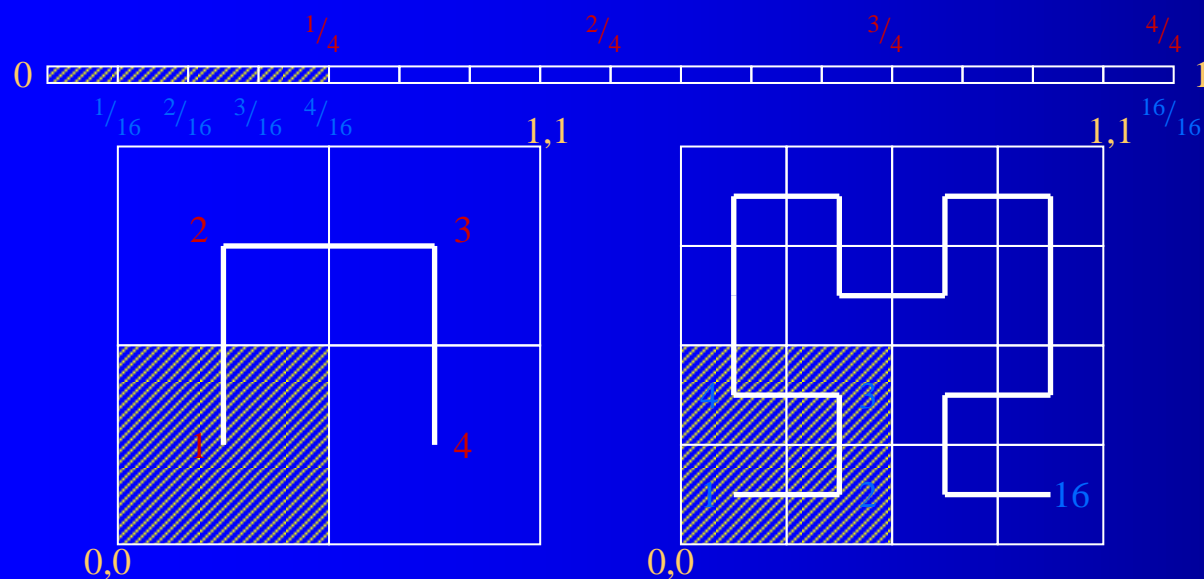
1. Basic notions
2. Types of space-filling curves
  1. The Hilbert space-filling curve
  2. The Peano space-filling curve
  3. The Sierpinski space-filling curve
  4. The Lebesgue space-filling curve
3. Application of space-filling curves



# The Hilbert curve: geometric generation

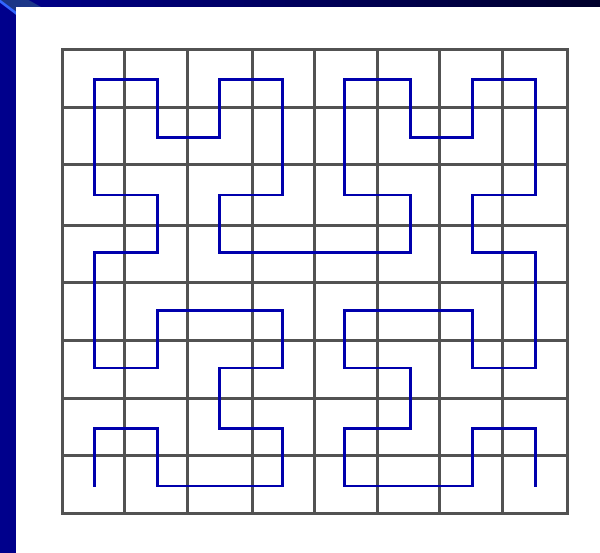
- If  $I$  can be mapped continuously on  $\Omega$ , then after partitioning  $I$  into four congruent subintervals and  $\Omega$  into four congruent subsquares, each subinterval can be mapped continuously onto one of the subsquares. This partitioning can be carried out ad infinitum.
- The subsquares must be arranged such that adjacent subintervals are mapped onto adjacent subsquares.
- Inclusion relationship: if an interval corresponds to a square, then its subintervals must correspond to the subsquares of that square.
- This process defines a mapping  $f_h(I)$ , called the Hilbert space-filling curve.

# The Hilbert curve: geometric generation



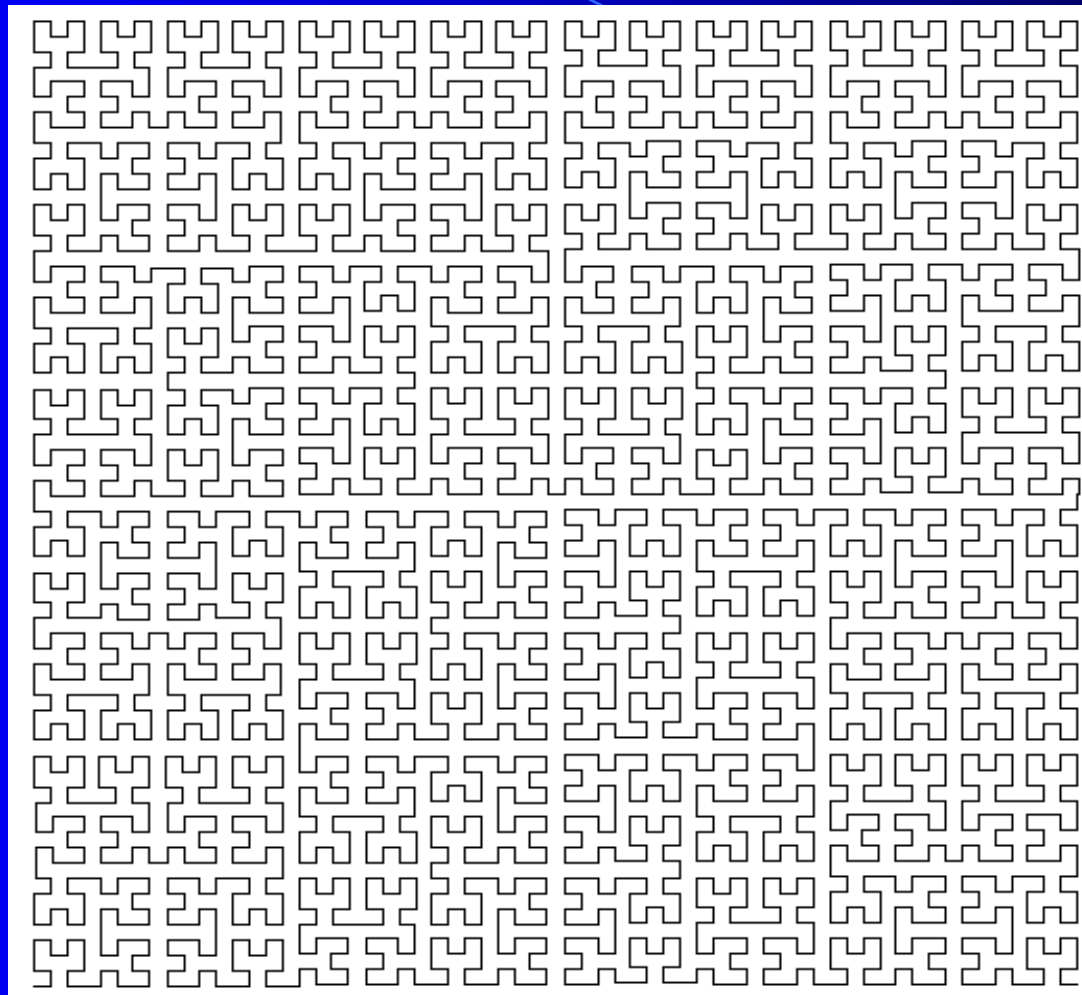
1st iteration

2nd iteration



3rd iteration

# The Hilbert curve: geometric generation



6th iteration

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# The Hilbert curve: geometric generation

The mapping  $f_h : I \longrightarrow \Omega$  is surjective: with every sequence of nested closed squares corresponds a sequence of nested closed intervals that define a unique

$$t_0 \in I$$

The mapping  $f_h : I \longrightarrow \Omega$  is continuous: in the  $n$ -th iteration  $I$  is partitioned in  $2^{2n}$  subintervals, thus

$t_1, t_2 \in I \ni |t_1 - t_2| < 1/2^{2n}$  then  $\|f_h(t_1) - f_h(t_2)\| \leq \sqrt{5}/2^n$   
 The mapping  $f_h : I \longrightarrow \Omega$  is nowhere differentiable.

$$f_h : I \xrightarrow{\text{onto}} \Omega$$

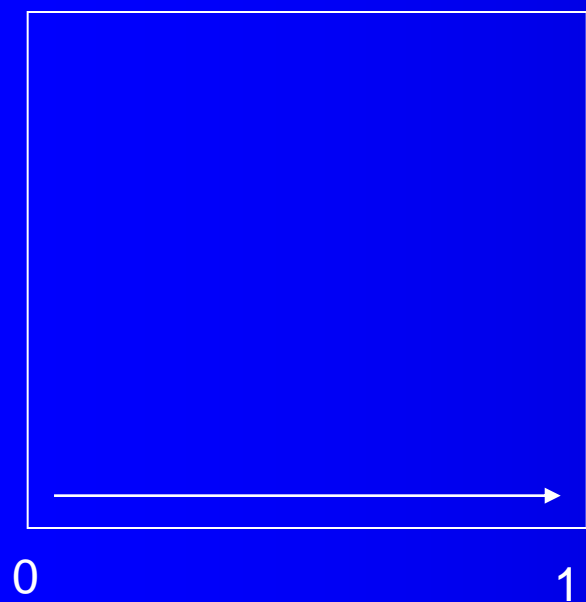
# The Hilbert curve: a complex representation [Sagan]

- Establish a formula to calculate the exact coordinates of an image point if

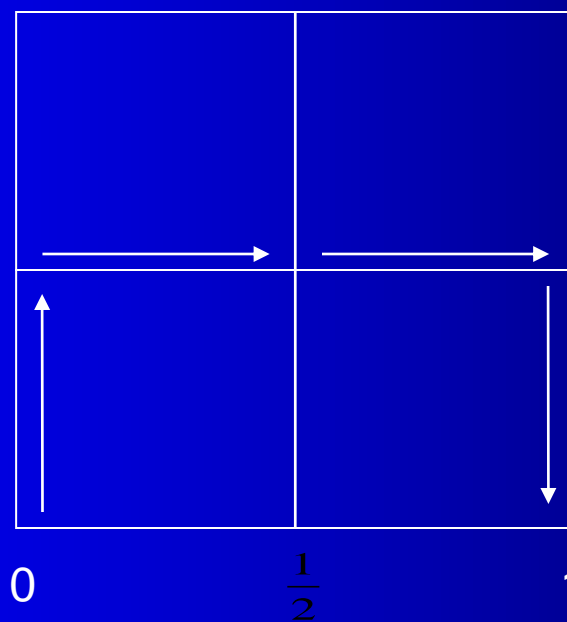
$$t = k / 2^{2n}, \quad n = 0, 1, 2, 3, \dots, \quad k = 0, 1, 2, 3, \dots, 2^{2n}$$

- Use complex representation  $z \in \mathbb{Z}$ , and affine transformations to which  $\Omega$  will be subjected recursively.
- Give an orientation to each subsquare such that the exit point of a subsquare coincides with the entry point of the next subsquare.

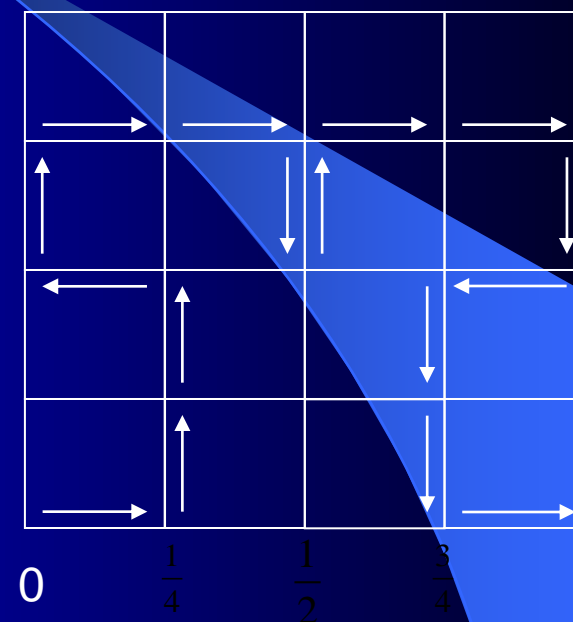
# The Hilbert curve: a complex representation



0th iteration



1st iteration



2nd iteration

# The Hilbert curve: a complex representation

The four basic transformations (2 dimensional case):

$$h_0 z = \frac{1-i}{2} z i$$

$$h_1 z = \frac{1}{2} z + \frac{i}{2}$$

$$h_2 z = \frac{1}{2} z + \frac{1}{2} + \frac{i}{2}$$

$$h_3 z = -\frac{1-i}{2} z i + 1 + \frac{i}{2}$$

$$: h_0 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \frac{1}{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \frac{1}{2} H_0 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} h_0$$

$$: h_1 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \frac{1}{2} H_1 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} h_1$$

$$: h_2 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \frac{1}{2} H_2 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} h_2$$

$$: h_3 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \frac{1}{2} \begin{bmatrix} 0 & -1 \\ -1 & 0 \end{bmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 2 \\ 1 \end{pmatrix} = \frac{1}{2} H_3 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} h_3$$

# The Hilbert curve: a complex representation

- Represent  $t \in I$  as  $t = 0_4 q_1 q_2 q_3 \dots$ , with  $q_j = 0, 1, 2$  or  $3$
- $f_h(t) \in \mathbf{h}_{q_1} \Omega$ ,  $f_h(t) \in \mathbf{h}_{q_1} \mathbf{h}_{q_2} \Omega$  ad infinitum:

$$f_h(t) = \lim_{n \rightarrow \infty} \mathbf{h}_{q_1} \mathbf{h}_{q_2} \mathbf{h}_{q_3} \dots \mathbf{h}_{q_n} \Omega$$

- For finite quaternaries (edges of subintervals in  $n$ th iteration):

$$f_h(0_4 q_1 q_2 q_3 \dots q_n) = \mathbf{h}_{q_1} \mathbf{h}_{q_2} \mathbf{h}_{q_3} \dots \mathbf{h}_{q_n} \underbrace{\mathbf{h}_0 \mathbf{h}_0 \mathbf{h}_0 \dots \Omega}_{\text{}}$$

$$f_h(0_4 q_1 q_2 q_3 \dots q_n) = \mathbf{h}_{q_1} \mathbf{h}_{q_2} \mathbf{h}_{q_3} \dots \mathbf{h}_{q_n} \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$



# The Hilbert curve: a complex representation

- continued...

$$\mathbf{h}_{q_1} \mathbf{h}_{q_2} \mathbf{h}_{q_3} \dots \mathbf{h}_{q_n} \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \dots = \sum_{j=1}^n \left( \frac{1}{2^j} \right) H_{q_0} H_{q_1} H_{q_2} H_{q_3} \dots H_{q_{j-1}} \mathbf{h}_{q_j}$$

$f_h$  is cont.

$$\Rightarrow f_h(0_4 q_1 q_2 q_3 \dots) = \sum_{j=1}^{\infty} \left( \frac{1}{2^j} \right) H_{q_0} H_{q_1} H_{q_2} H_{q_3} \dots H_{q_{j-1}} \mathbf{h}_{q_j}$$

- Taking into account some properties of

$H_{q_j}$

$$f_h(0_4 q_1 q_2 q_3 \dots) = \sum_{j=1}^{\infty} \left( \frac{1}{2^j} \right) H_0^{e_{0j}} H_3^{e_{3j}} \mathbf{h}_{q_j},$$

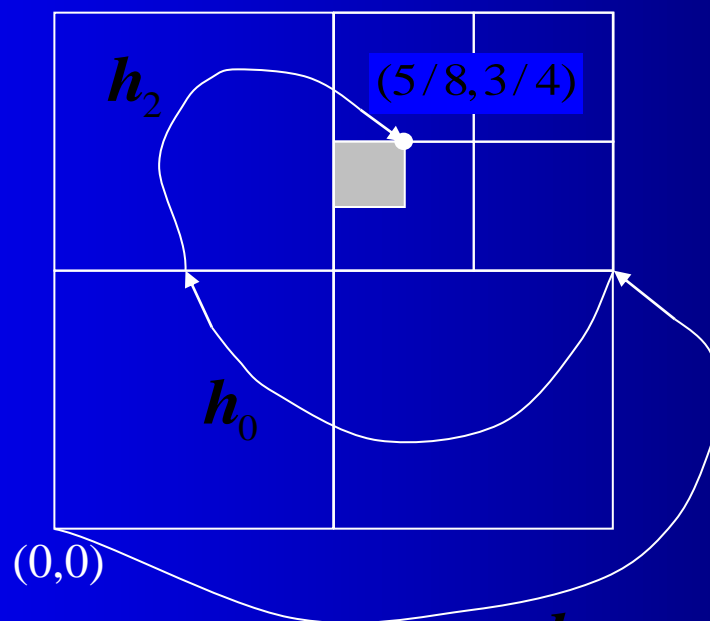
with  $e_{kj} = \text{number of } k\text{'s preceding } q_j \pmod{2}, k = 0 \text{ or } 3$

# The Hilbert curve: a complex representation

- Further simplifications of the formula are possible...

- An example:

$$f_h(0_4 203) = \mathbf{h}_2 \mathbf{h}_0 \mathbf{h}_3 \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 5/8 \\ 3/4 \end{pmatrix}$$



# Approximating polygons for the Hilbert curve

The polygonal line that runs through the points

$$f_h(0), f_h(1/2^{2n}), f_h(2/2^{2n}), f_h(3/2^{2n}), \dots, f_h((2^{2n}-1)/2^{2n}), f_h(1),$$

is called the  $n$ th approximating polygon or a discrete space filling curve.

Parametrization:

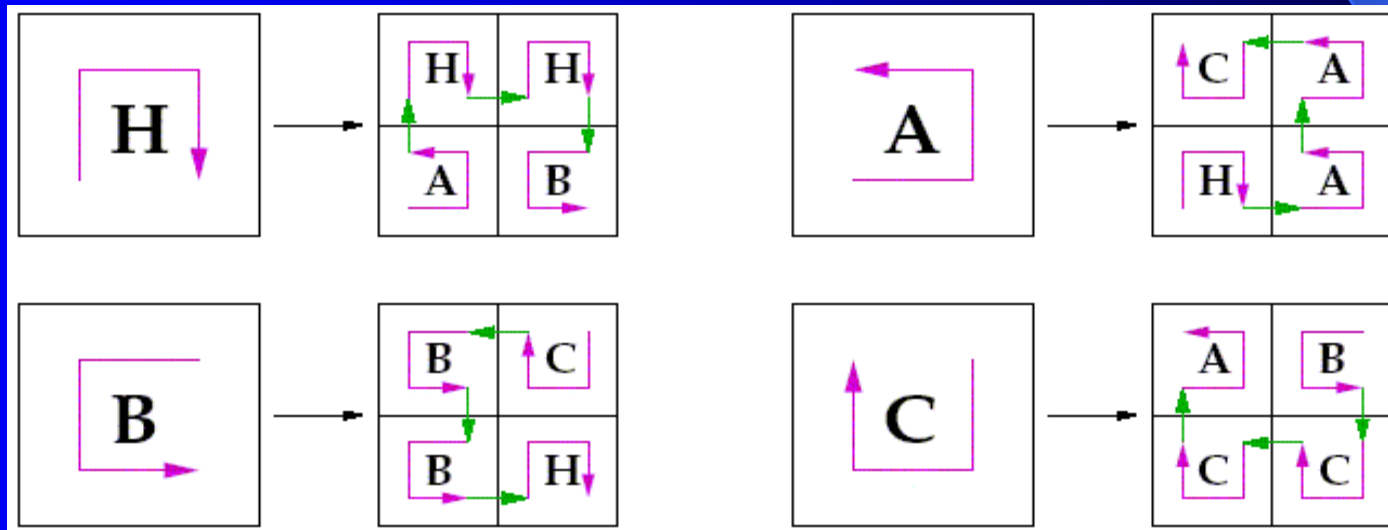
$$p_n : I \rightarrow \Omega : p_n(t) = 2^{2n} \left(t - \frac{k}{2^{2n}}\right) f_h\left(\frac{k+1}{2^{2n}}\right) - 2^{2n} \left(t - \frac{k+1}{2^{2n}}\right) f_h\left(\frac{k}{2^{2n}}\right),$$

$$\text{for } k/2^{2n} \leq t \leq (k+1)/2^{2n}, k = 0, 1, 2, 3, \dots, 2^{2n} - 1$$

$\{p_n\}$  converges uniformly to the Hilbert curve

# The Hilbert curve: representation through grammars

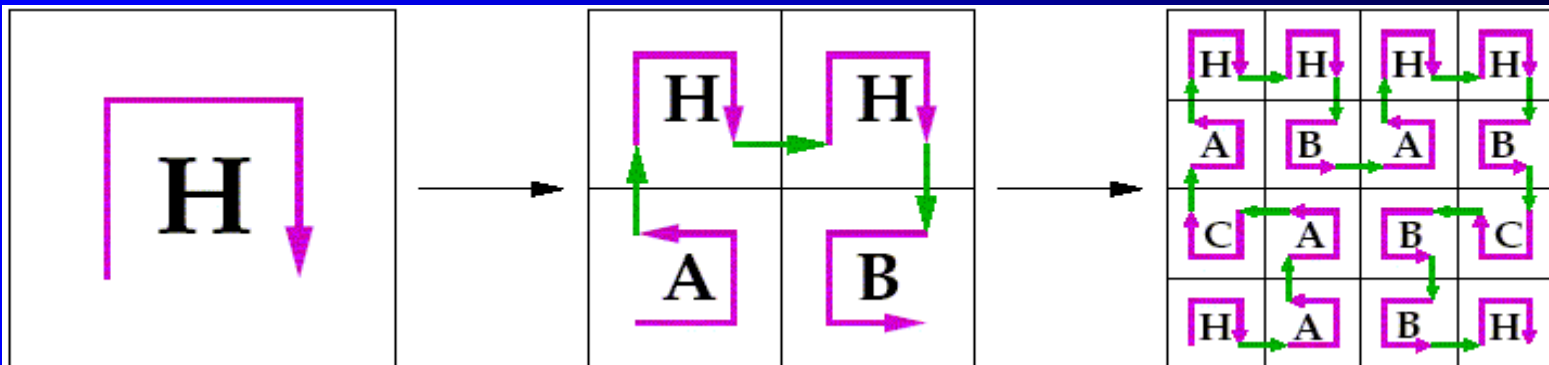
- Make use of four distinct templates to generate the discrete Hilbert curve:  $H, A, B$  and  $C$ .
- These templates will be translated to a first iteration of the curve according to a fixed scheme.



# The Hilbert curve: representation through grammars

$$\begin{array}{l}
 H \longleftarrow A \uparrow H \rightarrow H \downarrow B \\
 A \longleftarrow H \rightarrow A \uparrow A \leftarrow C \\
 B \longleftarrow C \leftarrow B \downarrow B \rightarrow H \\
 C \longleftarrow B \downarrow C \leftarrow C \uparrow A
 \end{array}$$

- The resulting rules and transitions can be used to implement the recursive construction of the discrete Hilbert curve.

$$\begin{array}{l}
 H \longleftarrow A \uparrow H \rightarrow H \downarrow B \\
 \longleftarrow H \rightarrow A \uparrow A \leftarrow C \uparrow A \uparrow H \rightarrow H \downarrow B \rightarrow A \uparrow H \rightarrow H \downarrow B \downarrow C \leftarrow B \downarrow B \rightarrow H
 \end{array}$$


# Contents

1. Basic notions
2. Types of space-filling curves
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# The Peano curve: definition

- $f_p : I \rightarrow \Omega$  with

$$f_p(0_3 t_1 t_2 t_3 t_4 \dots) = \begin{pmatrix} 0_3 t_1 (k^{t_2} t_3) (k^{t_2+t_4} t_5) \dots \\ 0_3 (k^{t_1} t_2) (k^{t_1+t_3} t_4) \dots \end{pmatrix}$$

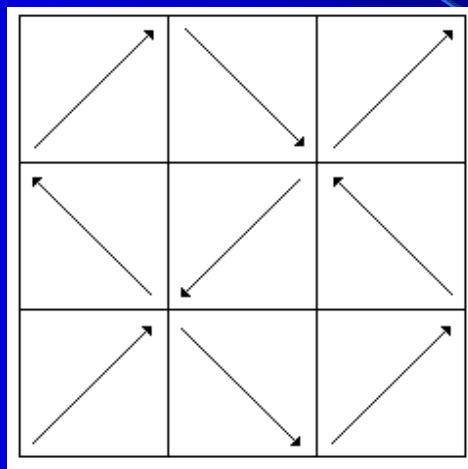
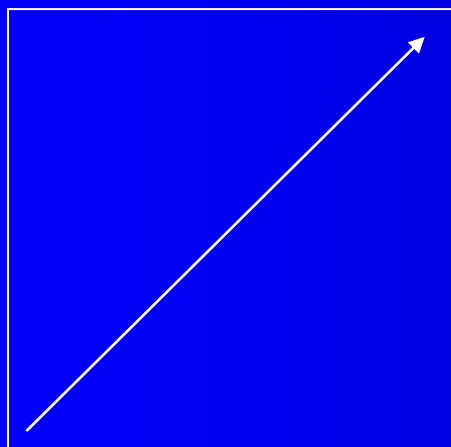
with  $kt_j = 2 - t_j$  ( $t_j = 0, 1, 2$ ) and  $k^v$  is the  $v$ th it. of  $k$

is surjective and continuous on  $I$ , and represents a SFC.

- More interesting: geometric generation according to Hilbert

# The Peano curve: a complex representation

- Define orientation of the sub-squares:



- Define similarity transforms:

$$p_0 z = \frac{1}{3} z, p_1 z = -\frac{1}{3} z + \frac{1}{3} + \frac{i}{3}, \dots$$

with

$$p_j \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \frac{1}{3} P_j \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{3} p_j, \quad j = 0, 1, \dots, 8$$



# The Peano curve: a complex representation

- Use ternary representation of :  $t \in I$

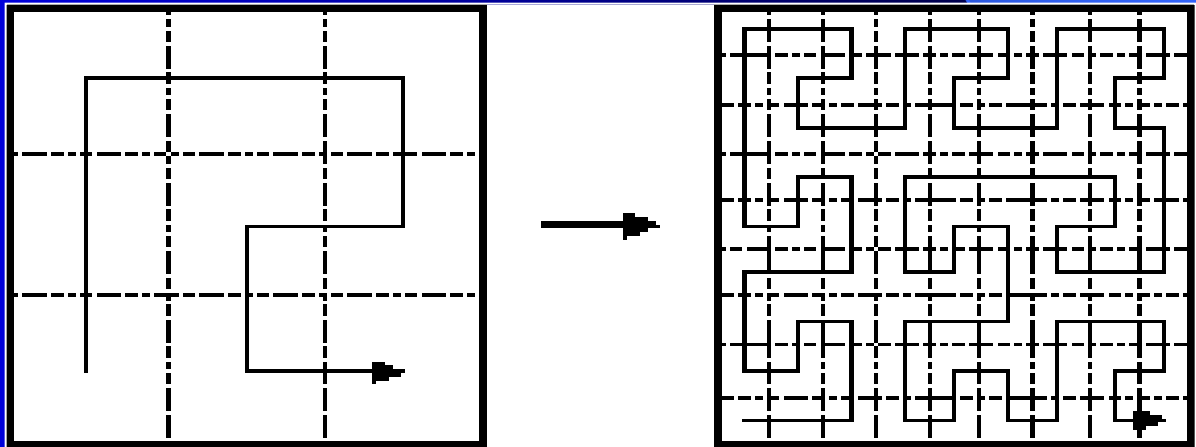
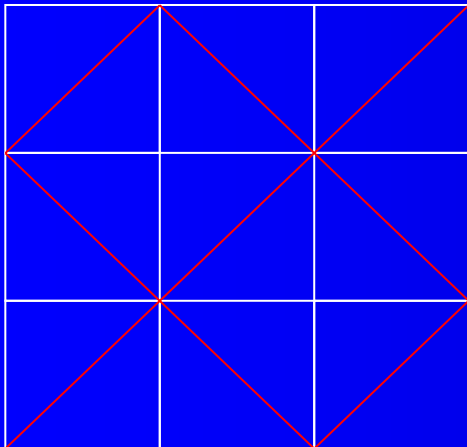
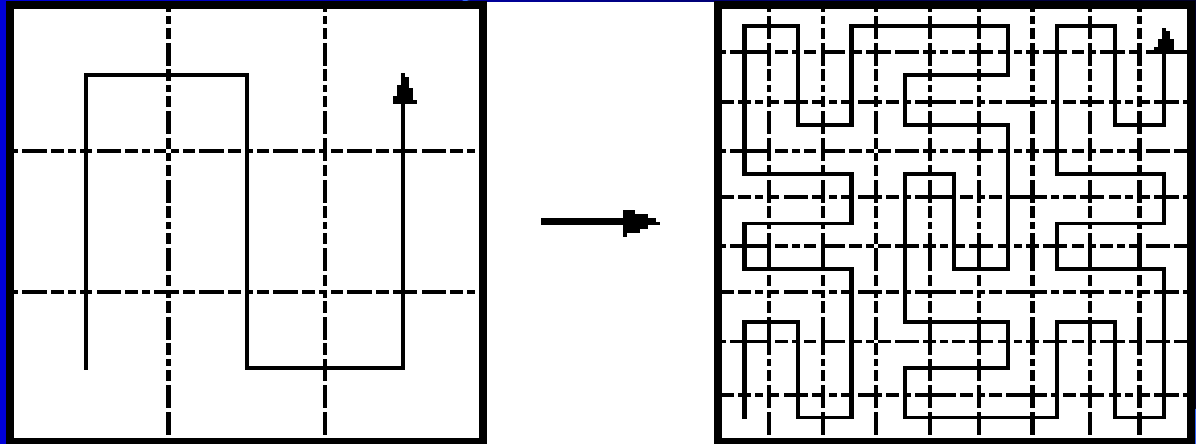
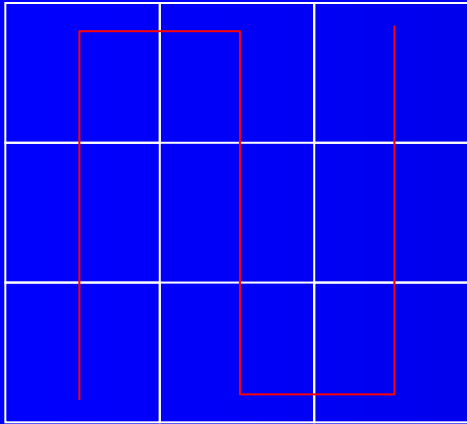
$$0_3 t_1 t_2 \dots t_{2n-2} t_{2n} \dots = 0_9 (3t_1 + t_2)(3t_3 + t_4) \dots (3t_{2n-1} + t_{2n}) \dots$$

$$f_p(t) = \lim_{n \rightarrow \infty} p_{3t_1+t_2} p_{3t_3+t_4} \dots p_{3t_{2n-1}+t_{2n}} \Omega$$

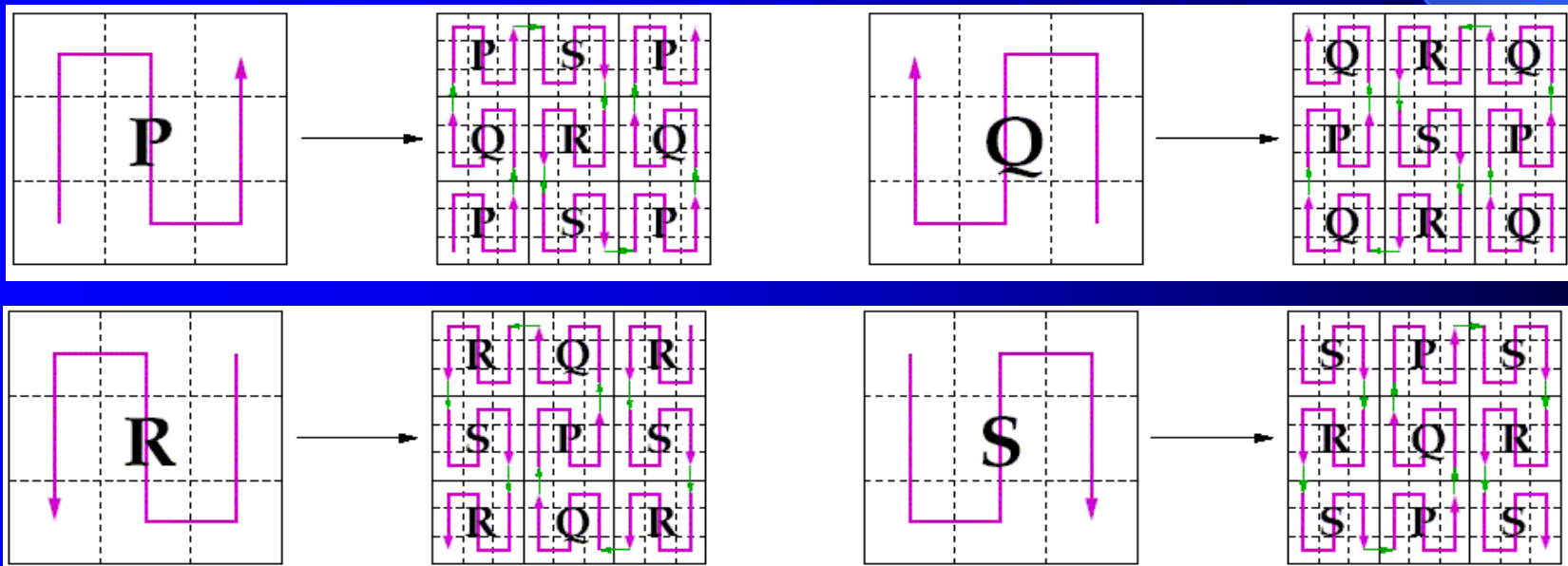
- Continue as with Hilbert's curve...

→ we get the same result as in Peano's definition

# Approximating polygons for the Peano curve



# The Peano curve: representation through grammars

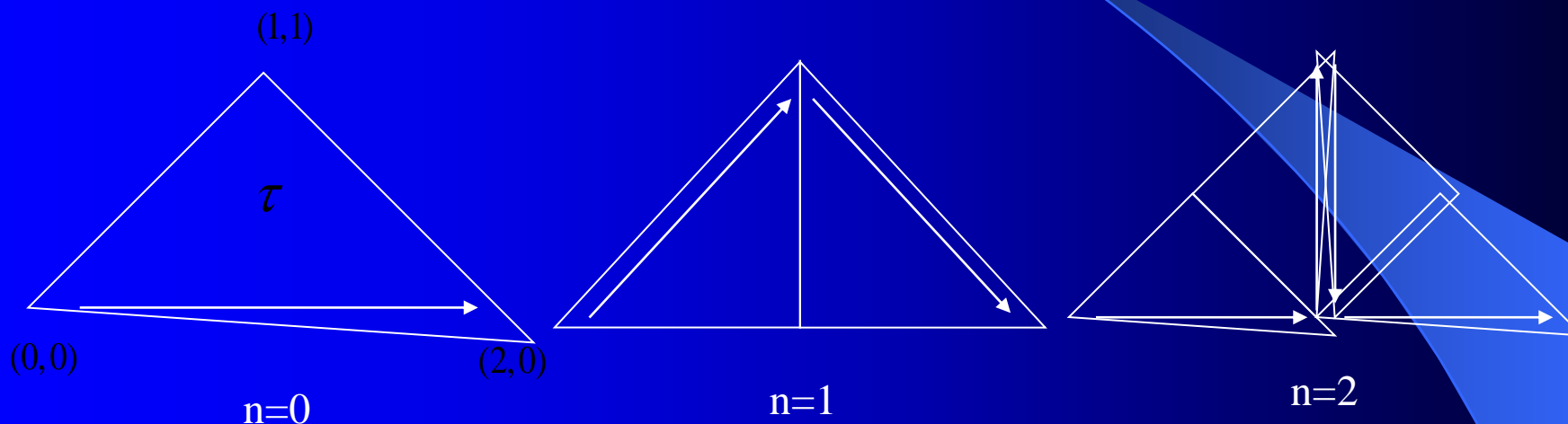
$$\begin{array}{l}
 P \longleftarrow P \uparrow Q \uparrow P \rightarrow S \downarrow R \downarrow S \rightarrow P \uparrow Q \uparrow P \\
 Q \longleftarrow Q \uparrow P \uparrow Q \leftarrow R \downarrow S \downarrow R \leftarrow Q \uparrow P \uparrow Q \\
 R \longleftarrow R \downarrow S \downarrow R \leftarrow Q \uparrow P \uparrow Q \leftarrow R \downarrow S \downarrow R \\
 S \longleftarrow S \downarrow R \downarrow S \rightarrow P \uparrow Q \uparrow P \rightarrow S \downarrow R \downarrow S
 \end{array}$$


# Contents

1. Basic notions
2. Types of space-filling curves
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3. Application of space-filling curves

# The Sierpinski curve: generation

- Partition  $I$  into  $2^n$  congruent subintervals and  $\tau$  into  $2^n$  congruent subtriangles.



- In deriving an algebraic representation it is easier to divide  $I$  into  $2^{2n}$  subintervals, thus using quaternaries:

$$f_s(0_4 q_1 q_2 q_3 \dots) = \sum_{j=1}^{\infty} \frac{1}{2^j} S_{q_0} S_{q_1} S_{q_2} \dots S_{q_{j-1}} S_{q_j}$$

# The Sierpinski curve: generation

with similarity transforms:

$$\mathbf{S}_0 z = z/2$$

$$\mathbf{S}_1 z = zi/2 + 1$$

$$\mathbf{S}_2 z = -zi/2 + 1 + i$$

$$\mathbf{S}_3 z = z/2 + 1$$

$$\begin{aligned} : \mathbf{S}_0 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} &= \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \frac{1}{2} \mathbf{S}_0 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} s_0 \\ : \mathbf{S}_1 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} &= \frac{1}{2} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 2 \\ 0 \end{pmatrix} = \frac{1}{2} \mathbf{S}_1 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} s_1 \\ : \mathbf{S}_2 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} &= \frac{1}{2} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 2 \\ 2 \end{pmatrix} = \frac{1}{2} \mathbf{S}_2 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} s_2 \\ : \mathbf{S}_3 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} &= \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 2 \\ 0 \end{pmatrix} = \frac{1}{2} \mathbf{S}_3 \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \frac{1}{2} s_3 \end{aligned}$$

# The Sierpinski curve: generation

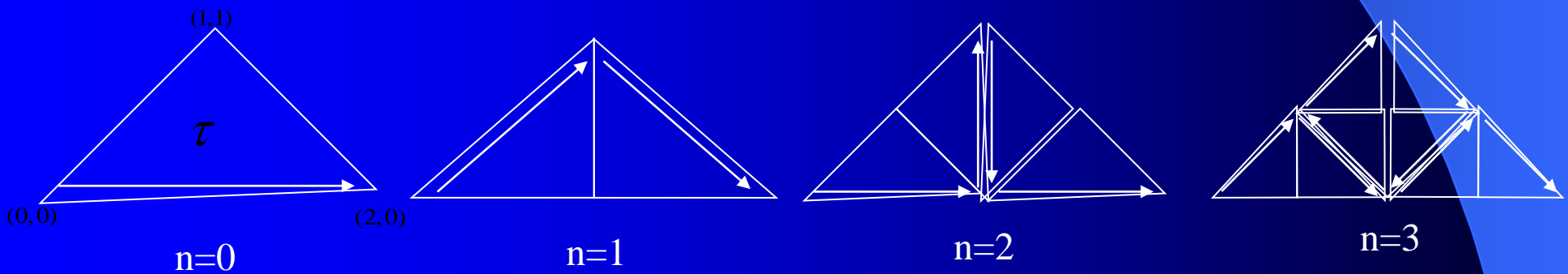
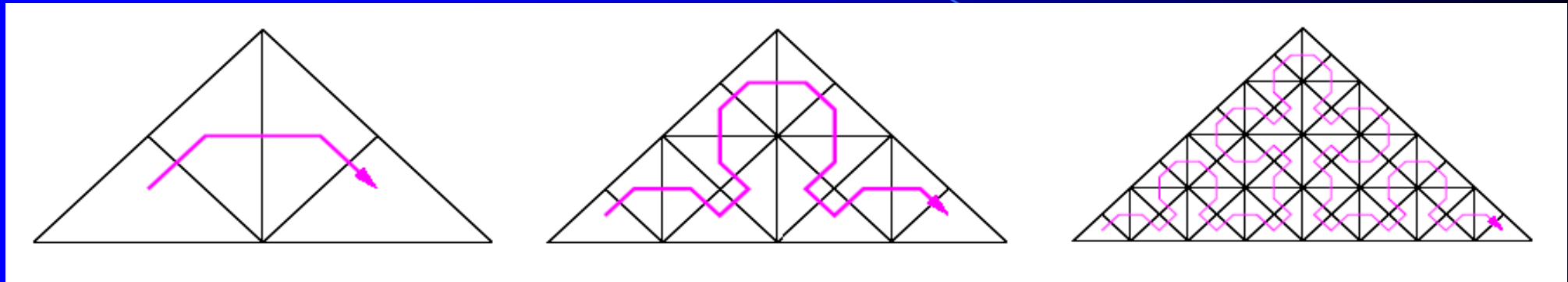
- Taking into account some properties of  $S_{q_j}$  :

$$f_s(0_4 q_1 q_2 q_3 \dots) = \sum_{j=1}^{\infty} \frac{1}{2^j} (-1)^{\eta_j} S^{\delta_j} s_{q_j}$$

with  $\eta_j = \text{number of } 2\text{'s preceding } q_j \pmod{2}$

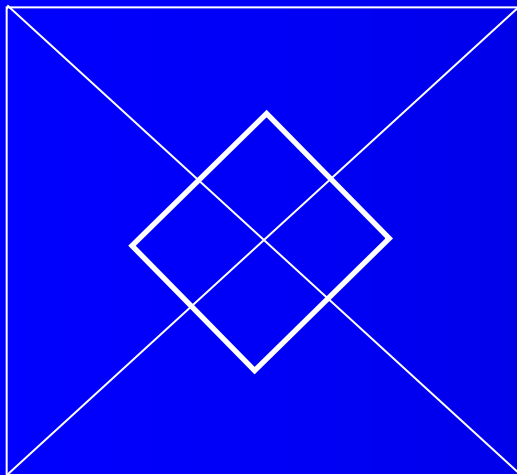
and  $\delta_j = \text{number of } 1\text{'s and } 2\text{'s preceding } q_j \pmod{4}$

# The Sierpinski curve: approximating polygons

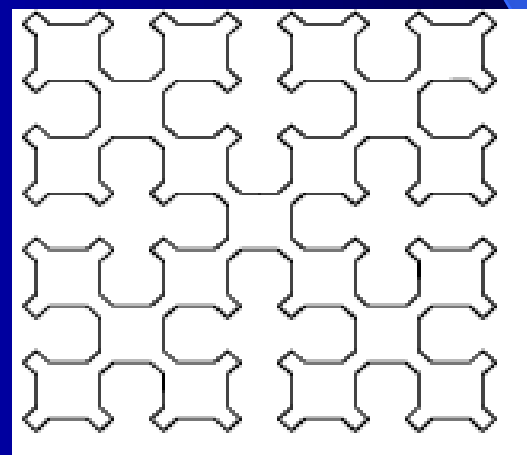
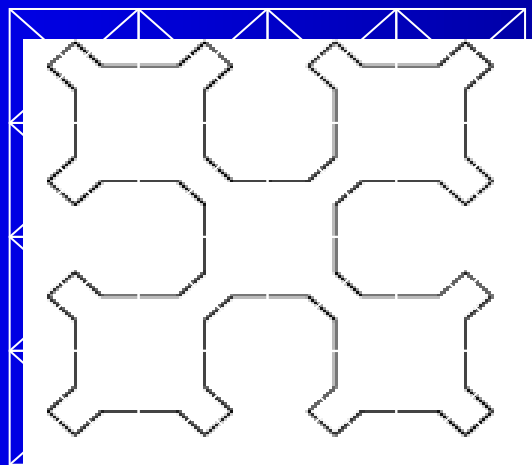
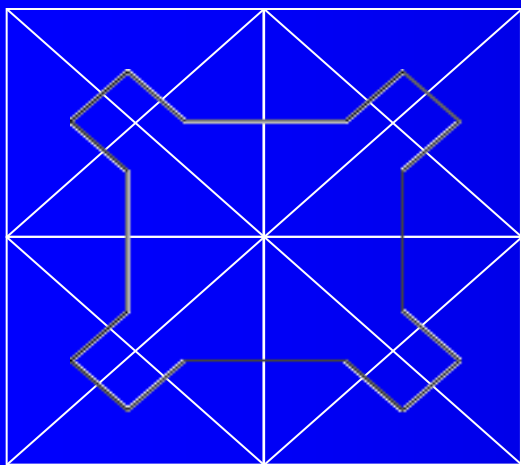




# The Sierpinski curve: generation



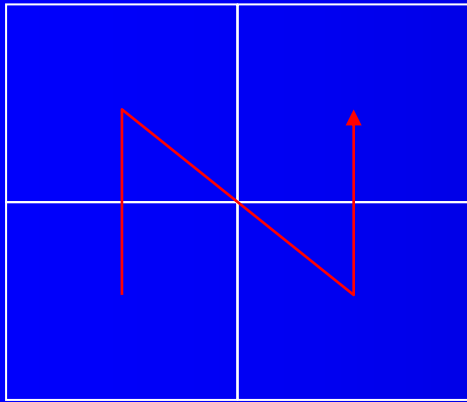
Originally defined as a map  $f$  from  $I$  onto  $[-1,1]^2$  but it can be considered as a map from  $I$  onto a right isosceles triangle .



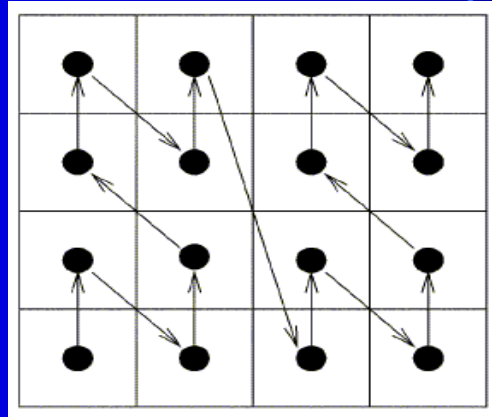
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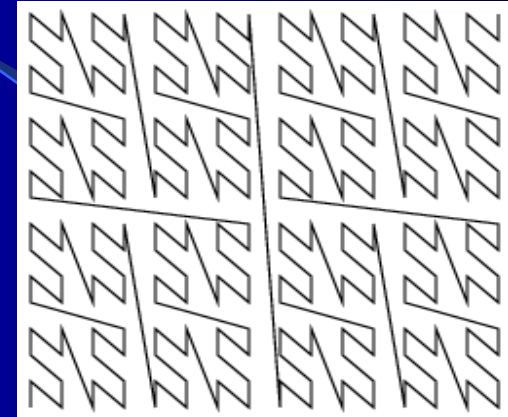
# The Lebesgue curve: generation and approximating polygons



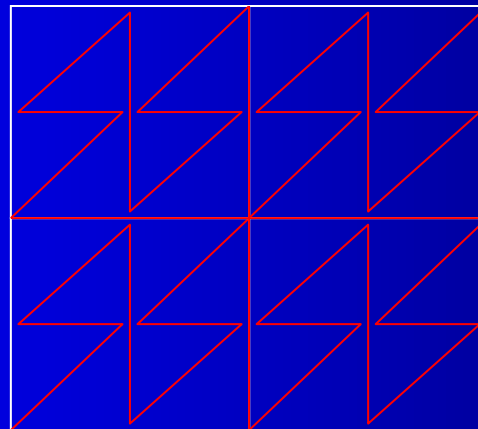
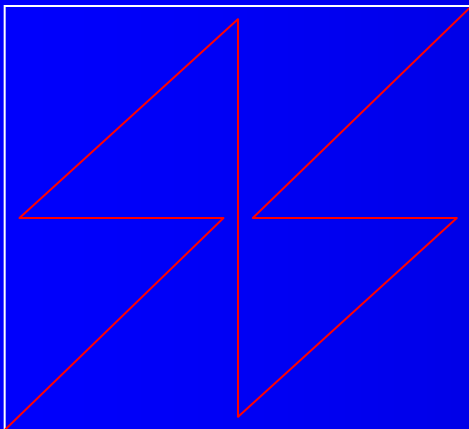
1st iteration



2nd iteration



4th iteration

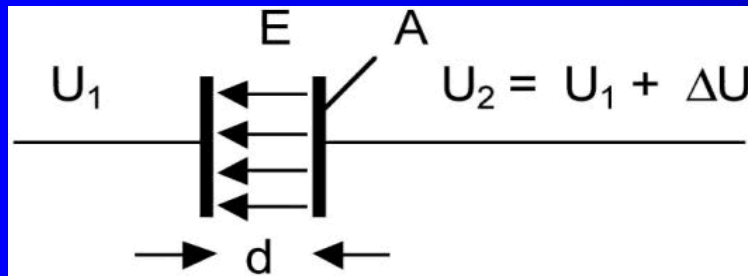


# Essential properties for applications:

- Finite area – infinite perimeter !
- Self-similarity (same properties and shapes at different scales)






# Physical relations for capacitors

Both electrodes have a surface  $A$  (in  $\text{m}^2$ ) separated by distance  $d$  (in  $\text{m}$ ). The applied voltage  $\Delta U$  (in Volt) creates an electric field  $E = \Delta U/d$  storing the electrical energy. Capacitance  $C$  in Farad (F) and stored energy  $J$  in Ws is:



$$C = \epsilon_0 \cdot \epsilon_r \frac{A}{d} \quad J = \frac{1}{2} C \cdot \Delta U^2$$

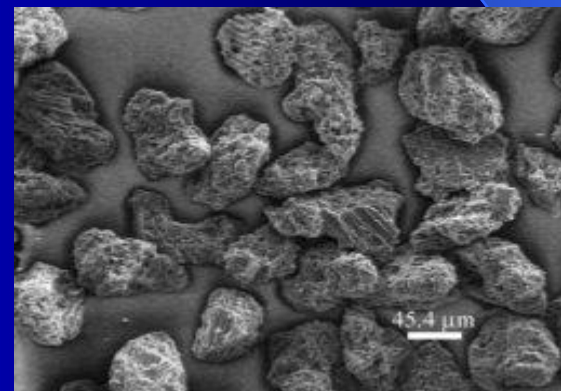
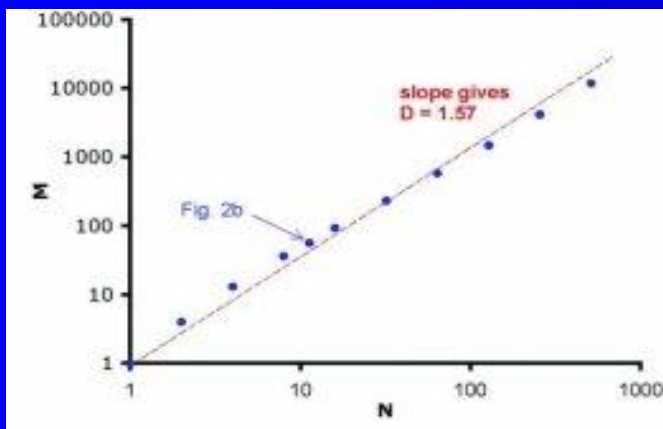
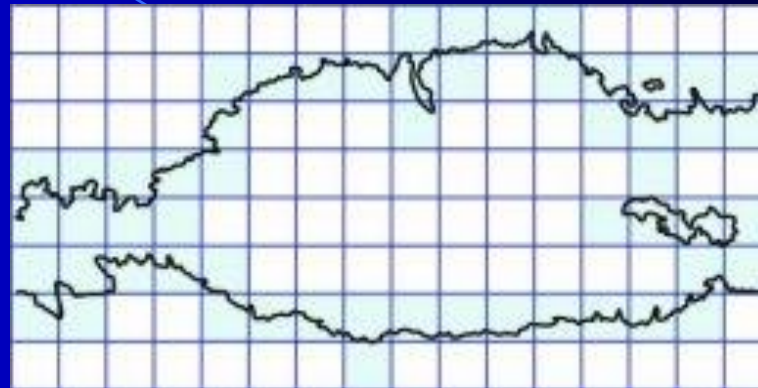
where  $\epsilon_r$  (e.g. 1 for vacuum or 81 for water) is the relative dielectric constant which depends on the material placed between the two electrodes and  $\epsilon_0 = 8.85 \cdot 10^{-12}$  F/m is a fundamental constant.

Capacitance in Farad	1000	$1 \cdot 10^{-3}$	$1 \cdot 10^{-6}$	$1 \cdot 10^{-9}$	$1 \cdot 10^{-12}$
Example	 <p>supercapacitor with 1500 F, max. 2.5 V (positive electrode left)</p>	 <p>electrolyte capacitor with 1000 mF, max. 25 V (positive electrode left)</p>	 <p>electrolyte capacitor with 10 mF, max. 35 V (bent wire is positive electrode)</p>	 <p>rolled capacitor with 51 nF, max. 63 V</p>	 <p>plate capacitors with 50 pF. Left: an element from an old vacuum-tube radio in the form of two plates rolled to a cylinder, max. 450 V. Right: modern ceramic element, max. 100 V)</p>
Energy Stored	Watt hours (Wh)	several Ws (Ws)	milli-Ws = $10^{-3}$ Ws (mWs)	milli-Ws = $10^{-3}$ Ws (mWs)	micro-Ws = $10^{-6}$ Ws (mWs)
Applications	Novel applications in power electronics: e.g. in cars, for replacing batteries in consumer electronics	Power supply units	Low frequency technology: general electronics, e.g. audio amplifiers	Low frequency technology: general electronics, e.g. audio amplifiers	High frequency technology: e.g. radio, TV, PC

# How to create capacitors with larger C?

- Create capacitors with very large areas  $A$  – technologies to create fractal-type surfaces
- Use designs taking advantage of lateral capacitance in integrated circuits

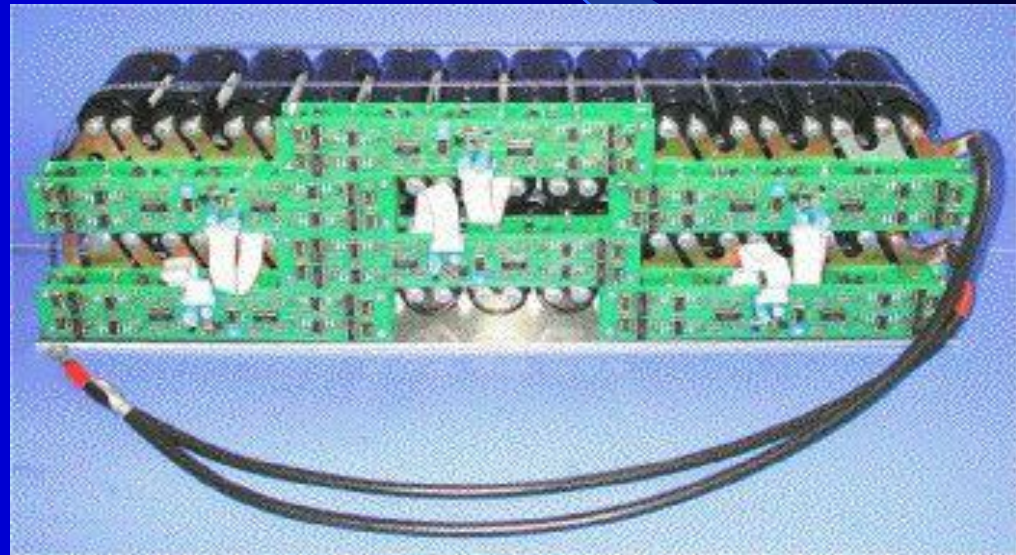
Electrochemically modified glassy carbon is a promising material to be used in electrochemical capacitors. Oxidation of the surface of a glassy carbon electrode results in a porous layer with very large capacitance and fairly low internal resistance when using an aqueous electrolyte.



- Paul Scherrer Institute in Villigen, Switzerland - Rüdiger Kötz and his group have developed an electrode in collaboration with the Swiss company *Montena (Maxwell)*.



- a) Micrograph of a cross section through a supercapacitor electrode. The white stripe is a part of the 30  $\mu\text{m}$  thick metallic carrier-foil (total foil is 0.1 m wide, 2 m long). On both sides carbon particles provide a complex fractal surface responsible for the high capacity. The space taken by the green resin used to fix the delicate carbon structure before cutting and to provide a good contrast for imaging is normally filled with the electrolyte (an organic solvent containing salt ions).
- b) Borderline of the cross section through the electrode surface in (a) to be analyzed by the box-counting procedure, illustrated for a tiling with 128 squares:  $M = 56$  squares (filled with light blue colour) are necessary to cover the borderline. Their side lengths are  $N = 11.3$  (square root of 128) times smaller than the length scale of the whole picture.
- c) The box-counting procedure is repeated with a computer program for different  $N$ . The average fractal dimension of the borderline is the gradient of the straight line approximating the measured points in this  $\text{Log}(M)$  over  $\text{Log}(N)$  plot, giving  $D = 1.6$ . This same dimension was measured in the length interval covering nearly 3 decades between 0.6 mm (length of micrograph in Figs 2a, b) and about 1  $\mu\text{m}$  (fine structure in Fig. 2d).
- d) Carbon particles as seen with an electron microscope show roughness also in the 1  $\mu\text{m}$  scale. It is assumed that the above indicated fractal dimension  $D$  holds over the entire range of 8 decades between the macroscopic scale (i.e. the geometric size of the order of 0.1 m) and the microscopic scale (i.e. the micropores in the order of 1 nm =  $1 \cdot 10^{-9}$  m). The electrode surface is therefore multiplied by  $10^{8 \cdot 0.6}$  or about 60'000 when compared to the normal two-dimensional surface of 0.2  $\text{m}^2$ .



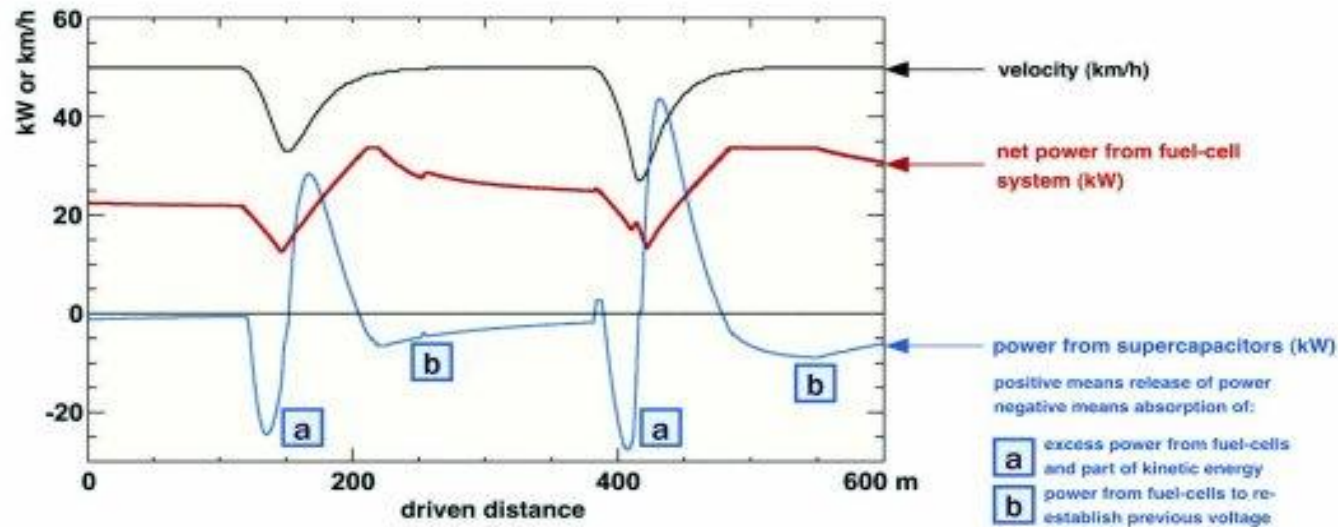
- *800 F boostcap by montena SA utilizing PSI electrode.*
- *Capacitor module with 2 x 24 capacitors resulting in 60 V , 60 F with an overall internal resistance of < 20 mOhm.*



- Supercapacitor module for HY-LIGHT.  
Capacitance: 29 F  
Power: 30 - 45 kW for 20 - 15 sec ; Weight: 53 kg
- HY-LIGHT accelerates to 100km/h in 12 seconds

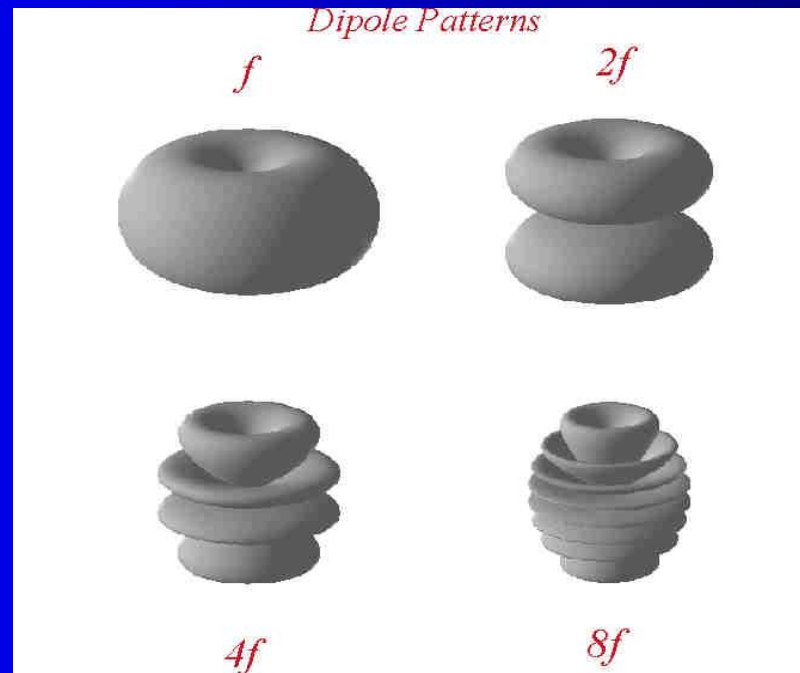


Fractals and Applications - November 8th, 2013  
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# Antenna properties

- Radiation pattern variation for a linear antenna with changing frequency – antennas are narrow-band devices!

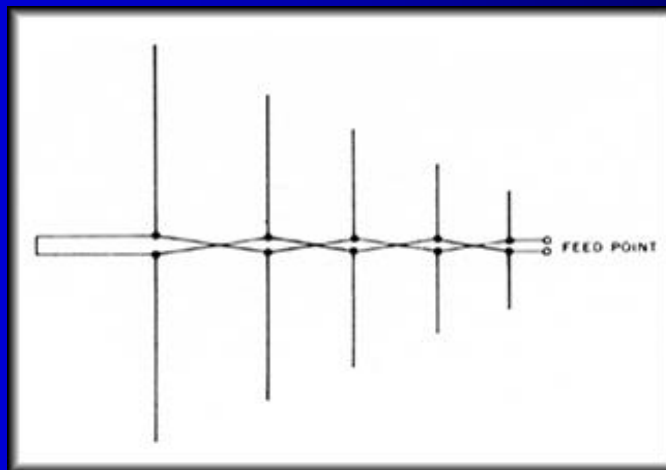
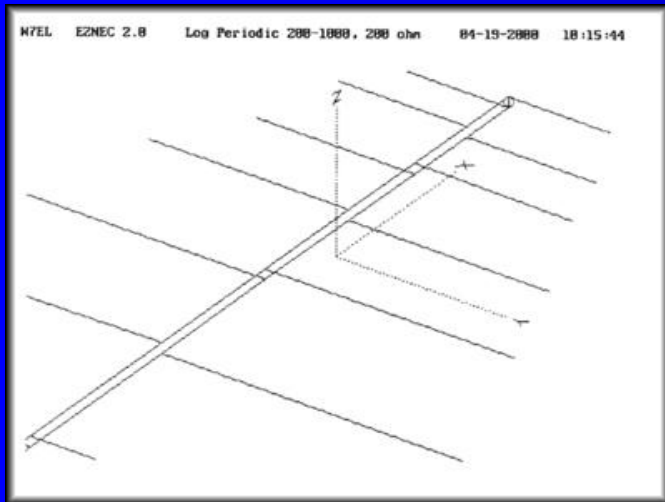


**fractal antenna** is an antenna that uses a self-similar design to maximize the length, or increase the perimeter (on inside sections or the outer structure), of material that can receive or transmit electromagnetic signals within a given total surface area. For this reason, fractal antennas are very compact, are multiband or wideband, and have useful applications in cellular telephone and microwave communications.

Fractal antenna response differs markedly from traditional antenna designs, in that it is capable of operating optimally at many different frequencies simultaneously. Normally standard antennae have to be "cut" for the frequency for which they are to be used—and thus the standard antennae only optimally work at that frequency. This makes the fractal antenna an excellent design for wideband applications.

- The first fractal antennas were arrays, and not recognized initially as having self similarity as their attribute. Log-periodic antennas are arrays, around since the 1950's (invented by Isbell and DuHamel), that are such fractal antennas. They are a common form used in TV antennas, and are arrow-head in shape. Antenna elements made from self similar shapes were first done by Nathan Cohen, a professor at Boston University, in 1988. Most allusions to fractal antennas make reference to these 'fractal element antennas'.





## *Why Fractal Antennas ?*

### Hypothesis

Fractal  
Self-similarity

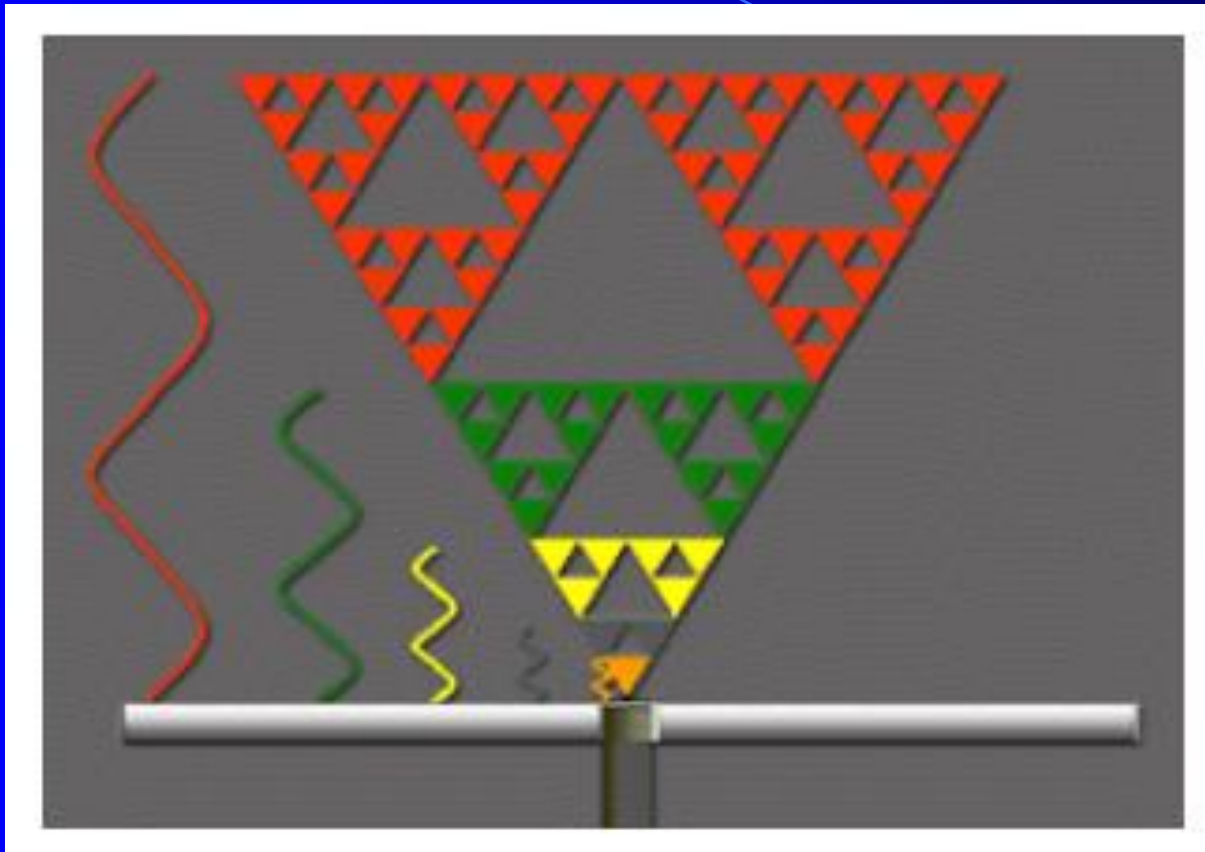


Multiband  
Antennas

Space-filling ,  
Highly Uneven Shapes



Small  
Antennas



# Which Fractals and Why?

---

## Dipoles

Minimize Heights  
Increase Input Impedance



## Loops

Minimize Size  
Increase Input Impedance



## Dipoles

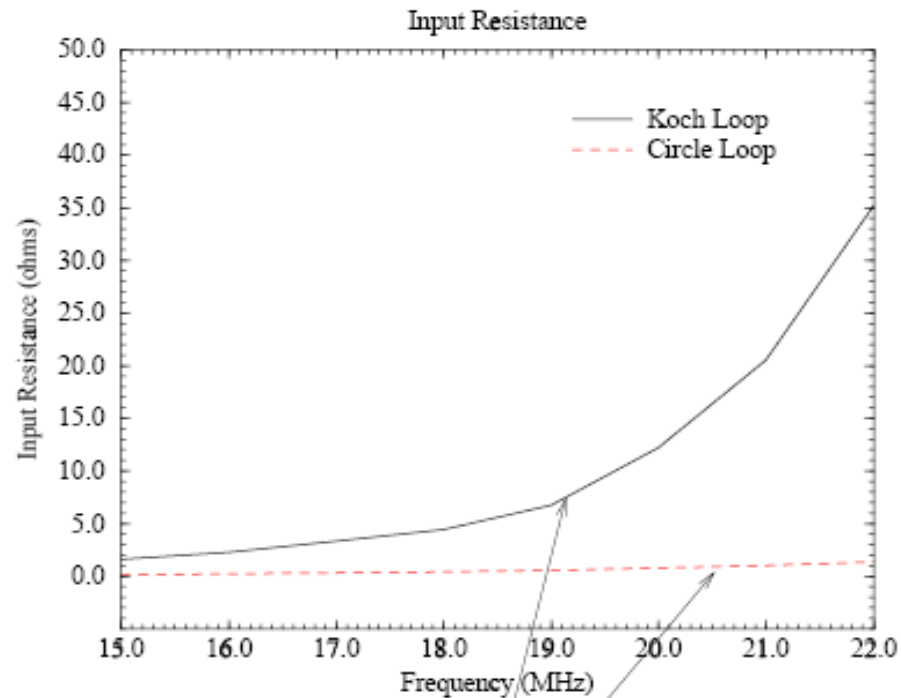
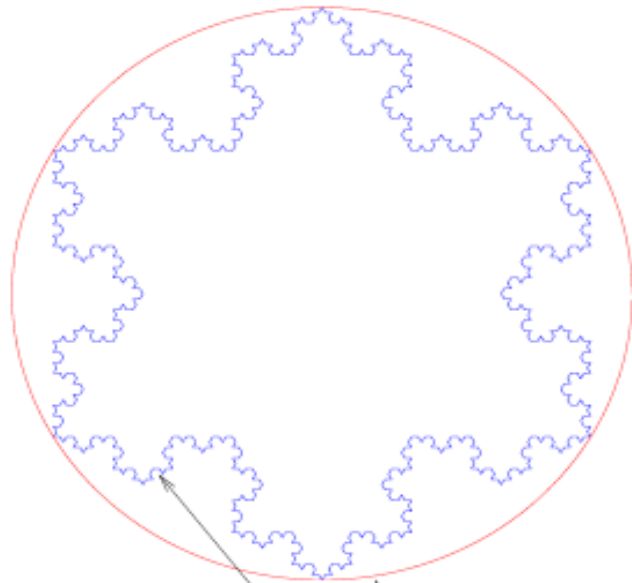
Multiband



# Small Fractal Loop Antennas

Main Benefit: Increased Input Impedance

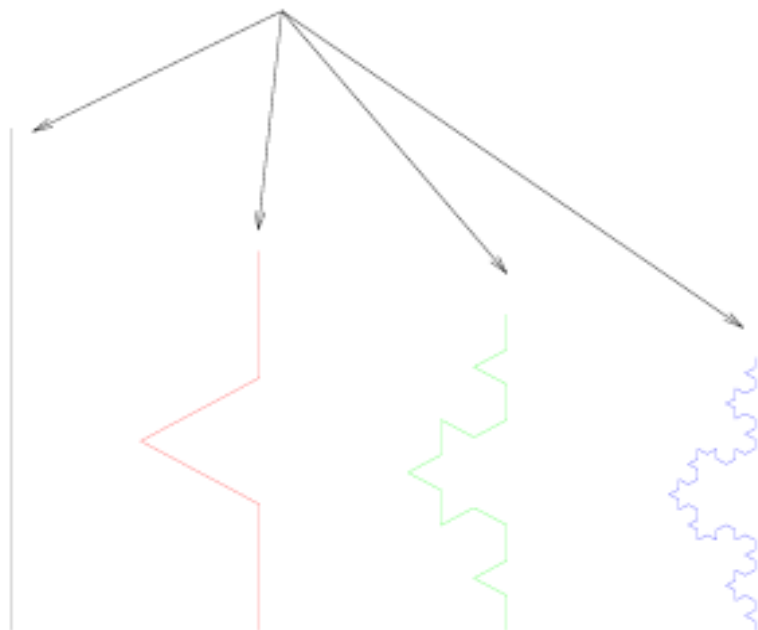
Koch Loop vs. Circular Loop



Both loops take up the same volume  
But, the input impedance of the fractal loop is higher



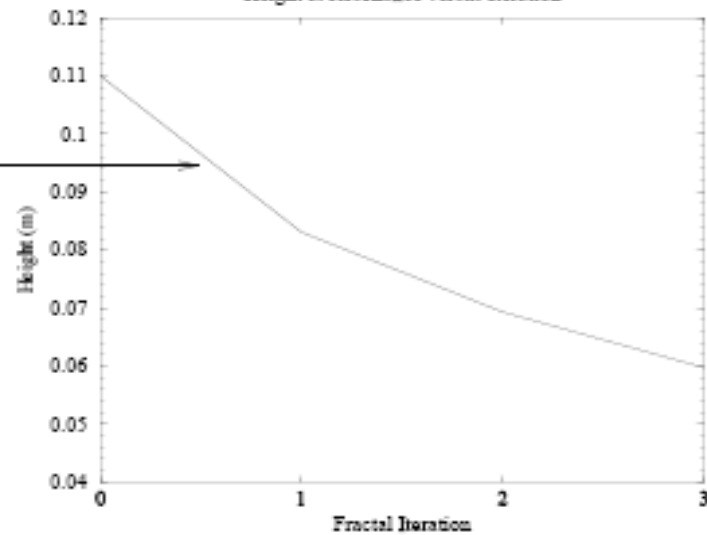
Decreasing Height for Resonant Dipoles



However, Total Length Increases

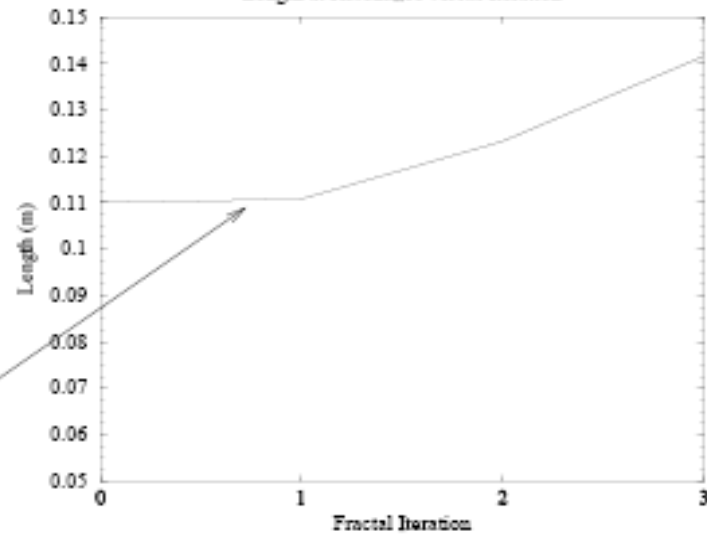
Koch Monopole

Height at Resonance versus Iteration

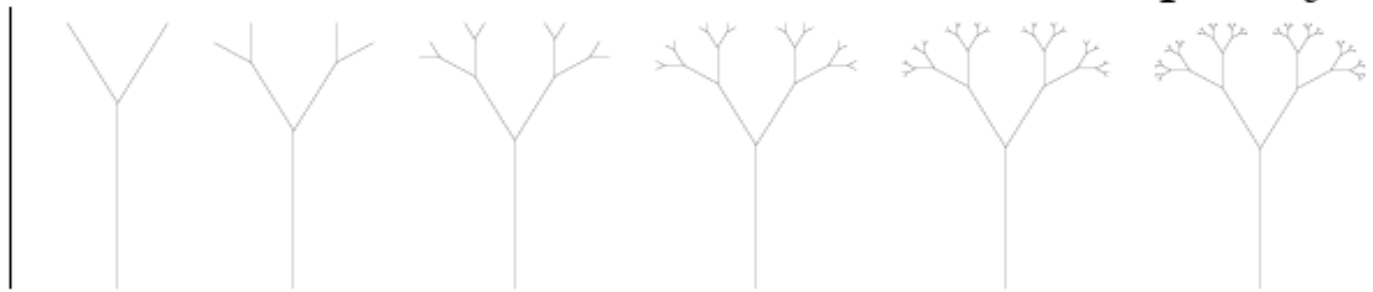


Koch Monopole

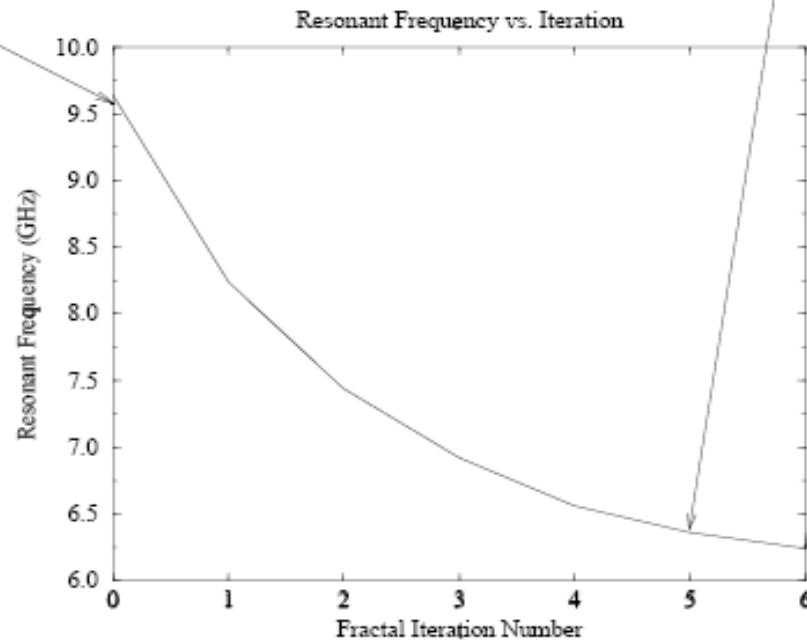
Length at Resonance versus Iteration



## Main Benefit: Decreased Resonant Frequency



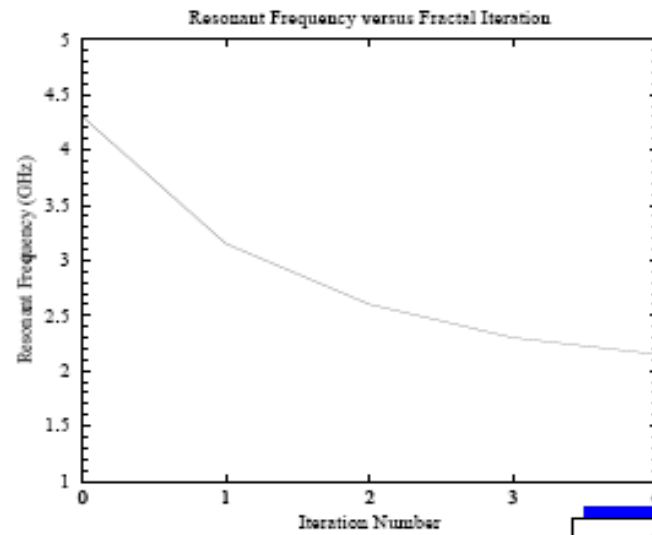
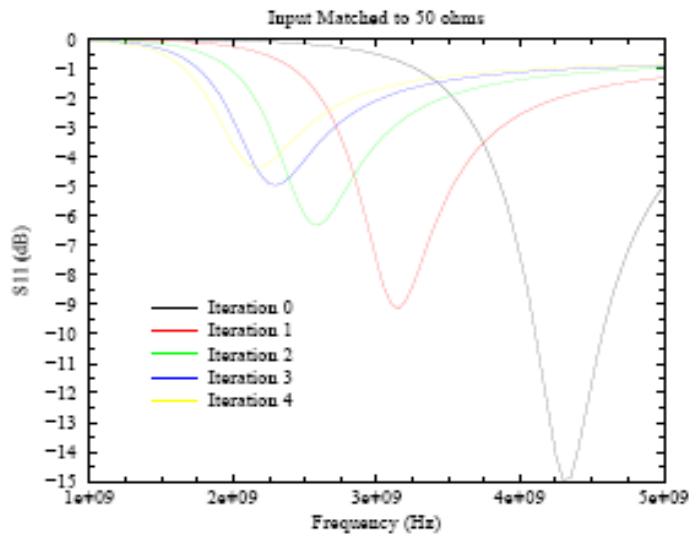
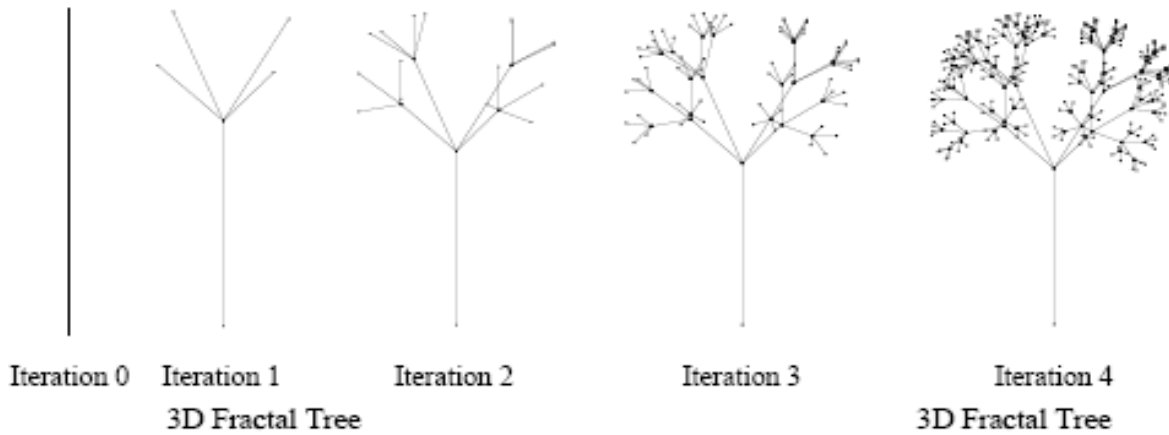
Fractal Tree Monopole over Ground Plane



Increasing Iteration  
Decreases Resonance



# Main Benefit: Decreased Resonant Frequency

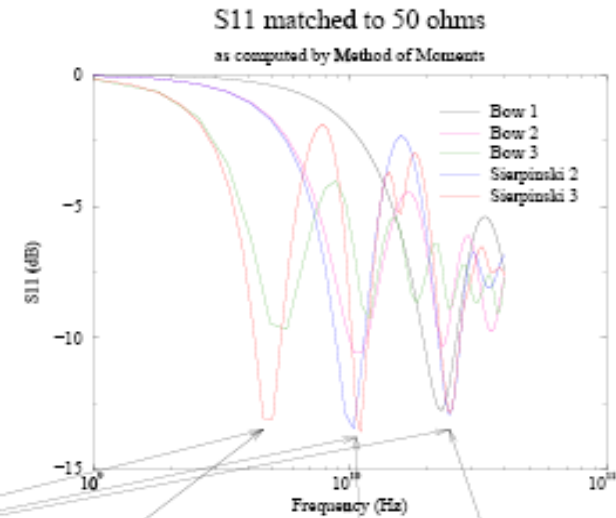




# Sierpinski Sieve Dipole Antennas

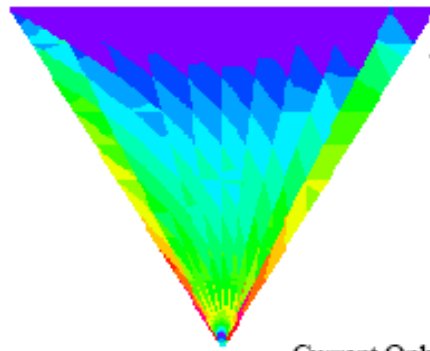
## Main Benefit: Multiband

The 3 bands matched by 3 different bowtie dipoles  
Are matched by 1 sierpinski dipole



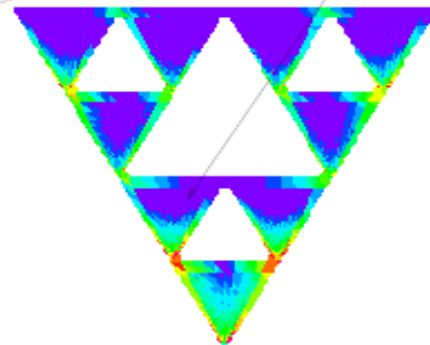
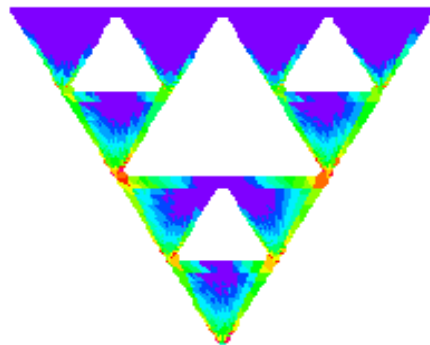
# Surface Currents Computed by Method of Moments

Surface Currents Clearly Show Multiband Behavior



Current at First Resonance Reaches to the Top of Bowtie Antenna

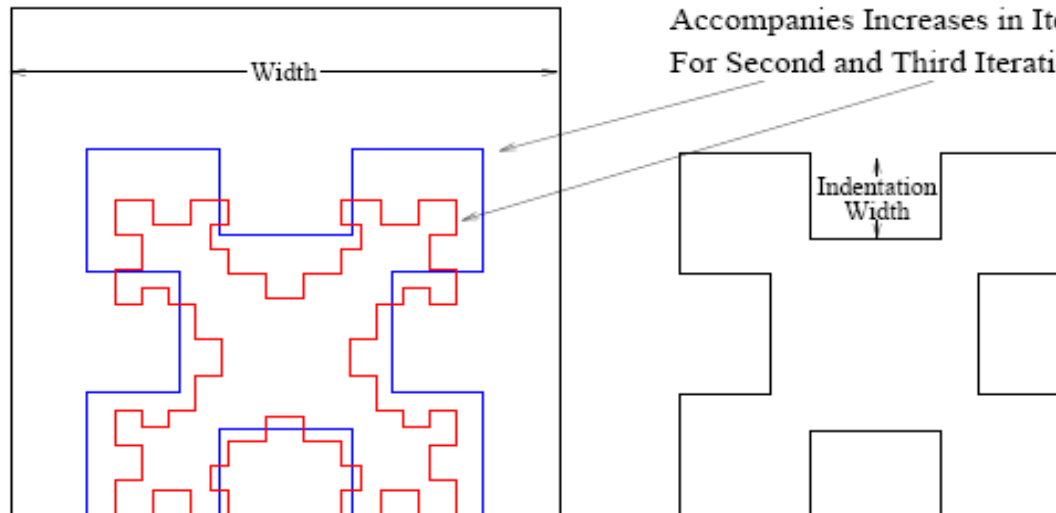
Current Only Sees Properly Scaled Antenna at First, Second, and Third Resonance



# Fractal Square Loop Antennas

## Main Benefit: Decreased Size

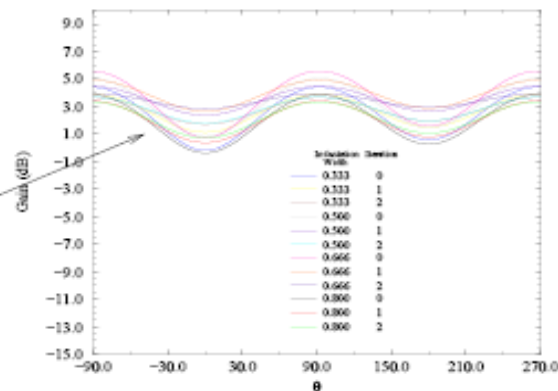
Decreased Antenna Width  
Accompanies Increases in Iteration  
For Second and Third Iteration



Far Field Pattern

Y Z Plane

Far Field Pattern  
Remains Similar  
even with  
Smaller Area

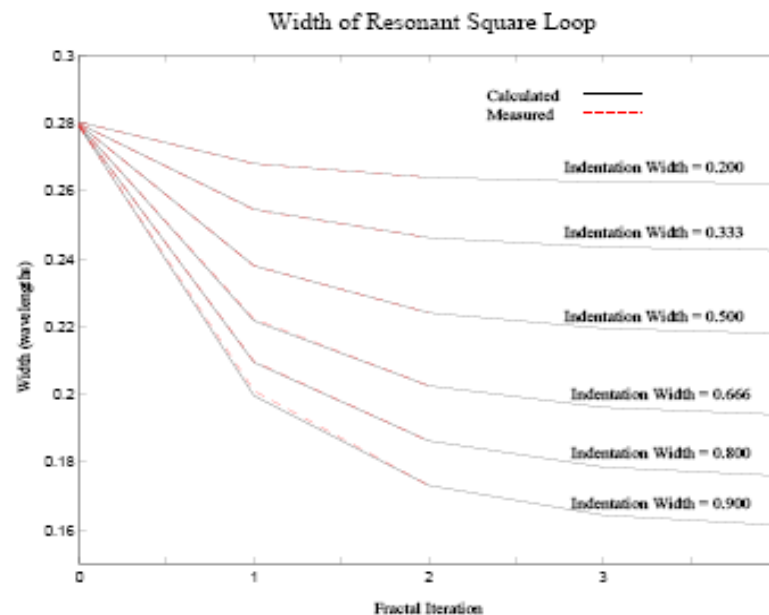


# Fractal Square Loop Antenna Design Curves

The Antenna can be Fabricated for a Given Iteration

$$\text{Width} = \frac{C}{e^{2 \times I} - 1}$$

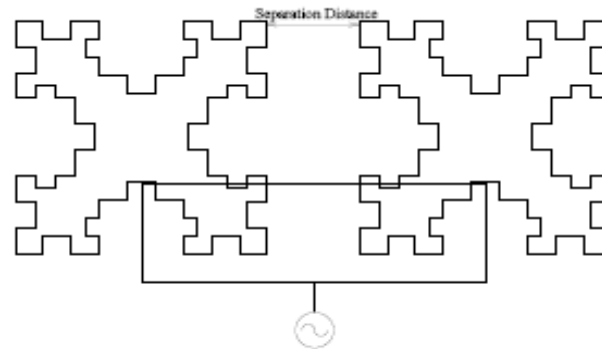
For a given indentation width,  
resonant loops can be designed  
using the above equation,  
where  $C$  is found empirically.



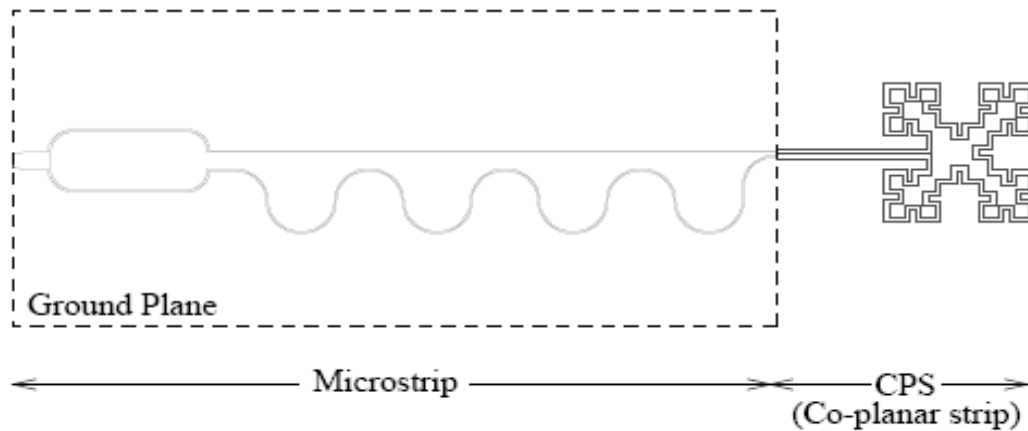
# Arrays with Fractal Elements

**Main Benefit: Decreases Mutual Coupling between Elements**

Separation Distance can be Maximized Using Fractal Elements



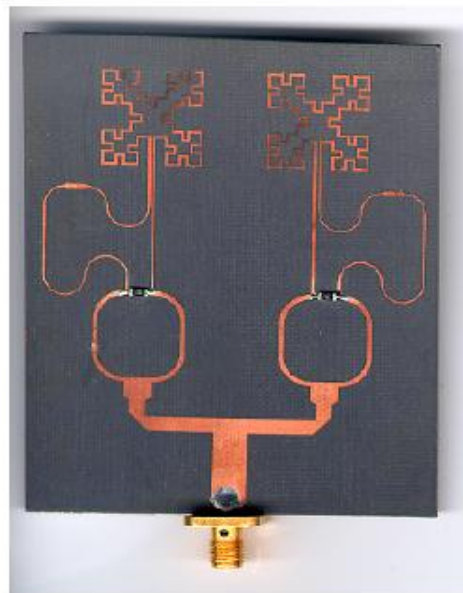
Thin Feeding Network for Fractal Array Elements



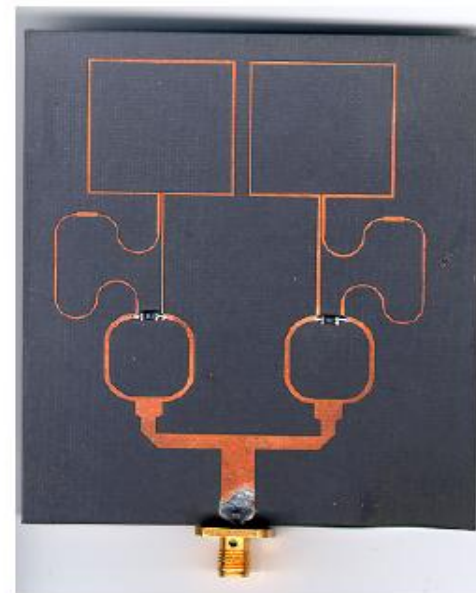
# John Gianvittorio - UCLA

## Fabricated Fractal Array Antennas

Decreased inter-element coupling for fixed spacing  
Increased packing ability with smaller fractal elements



Fractal Array

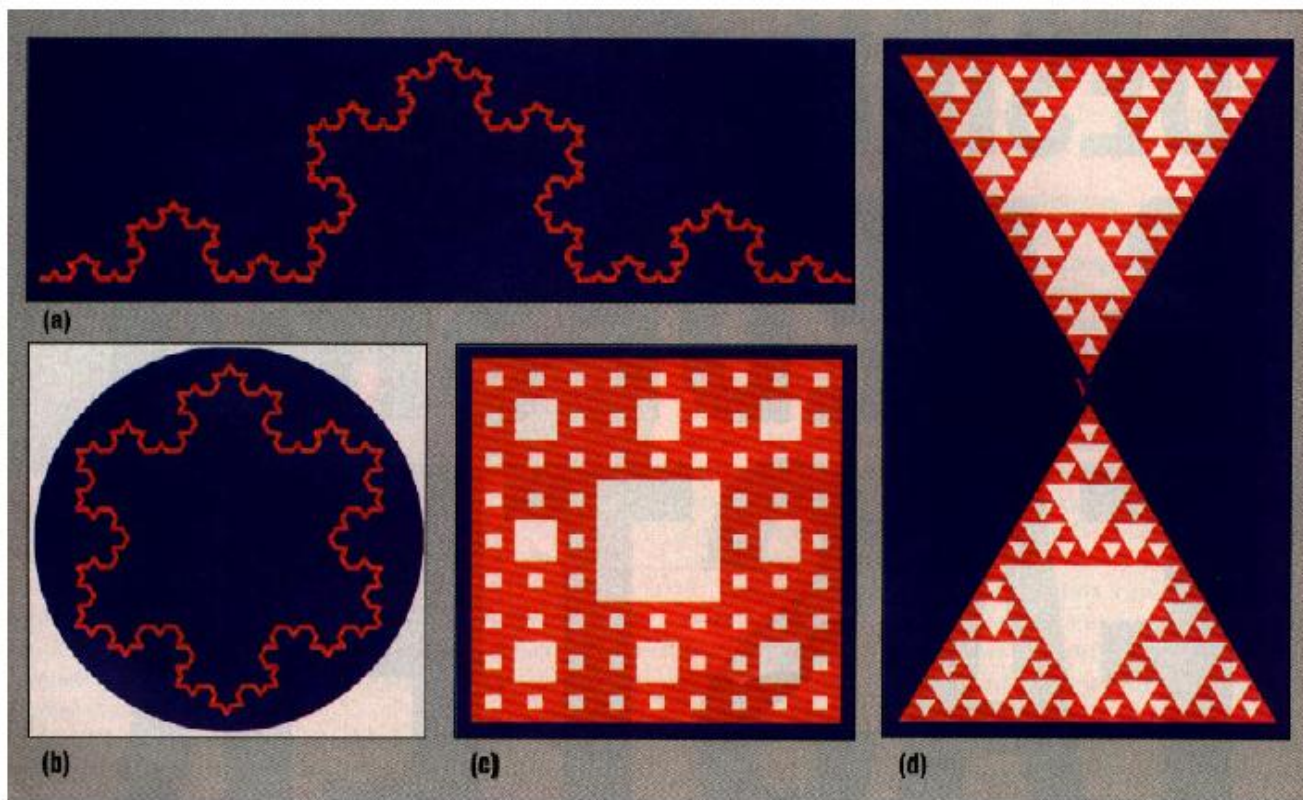


Standard Array

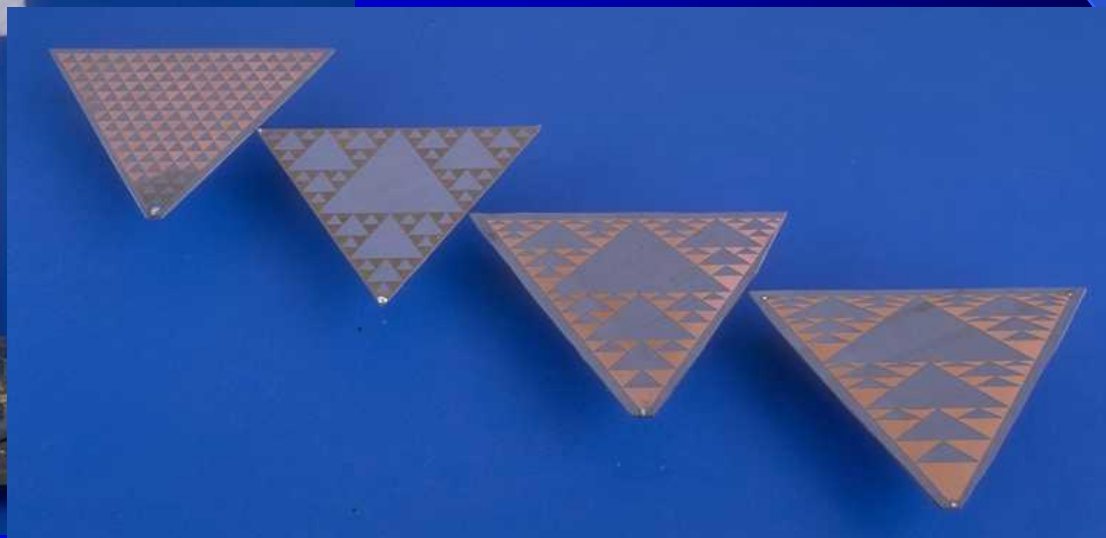
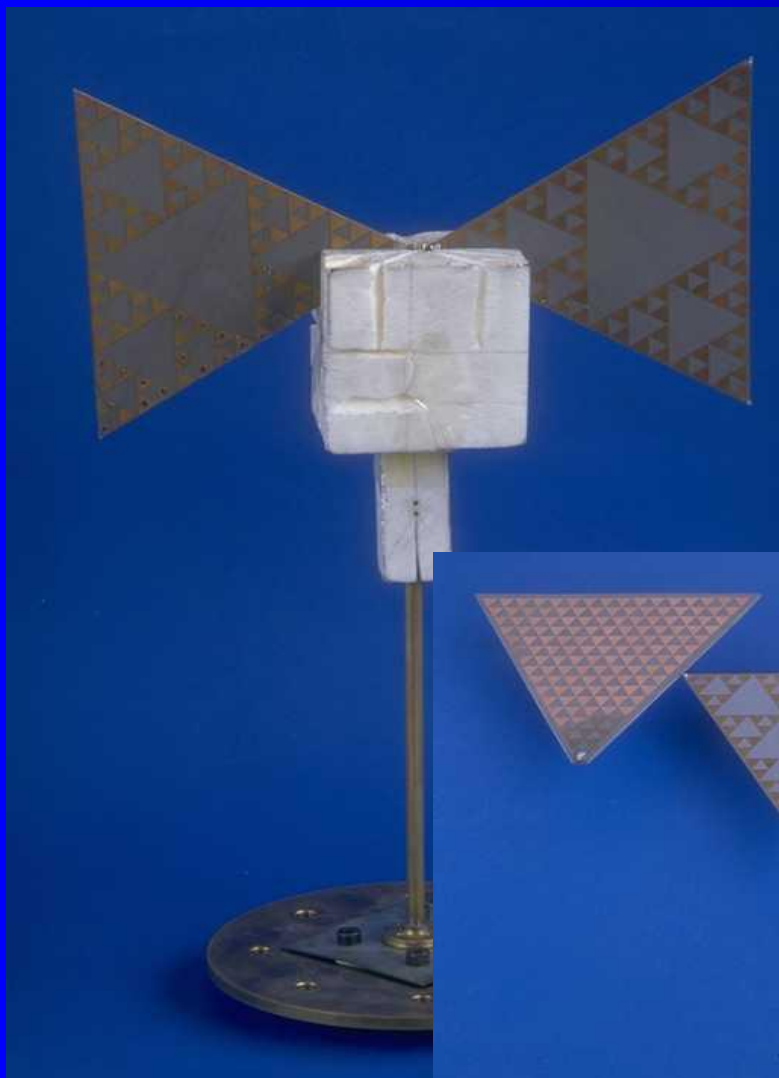


# Fractal antenna design

- Sample fractal antenna elements:

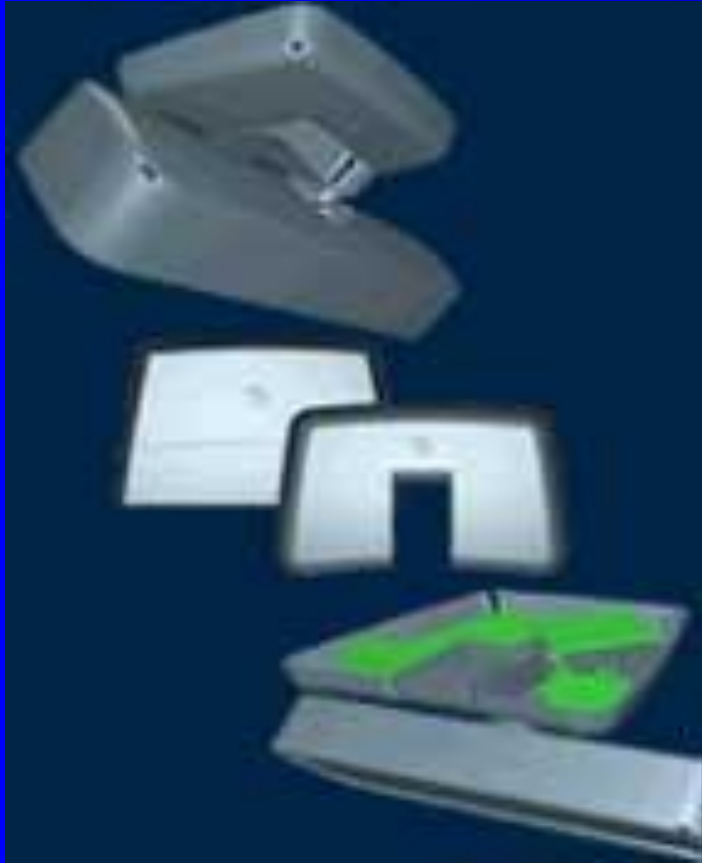


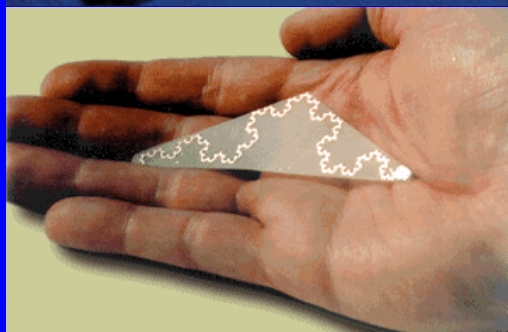
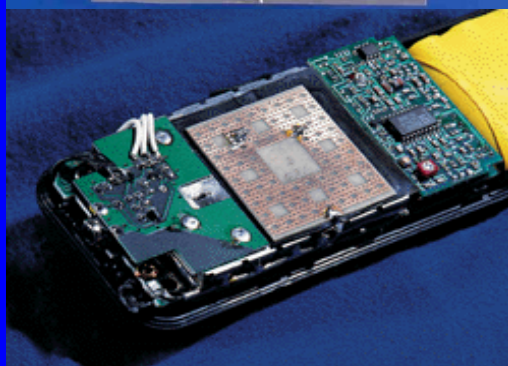
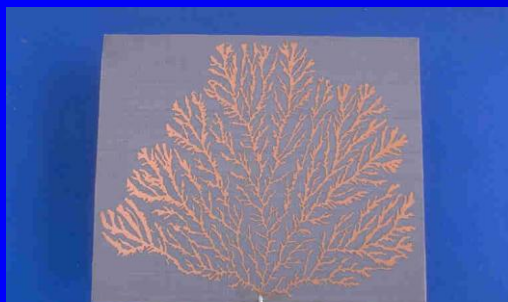
**(a)** Koch dipole    **(b)** Koch loop    **(c)** Cantor slot patch    **(d)** Sierpinski dipole



Fractals and Applications - November 8th, 2013  
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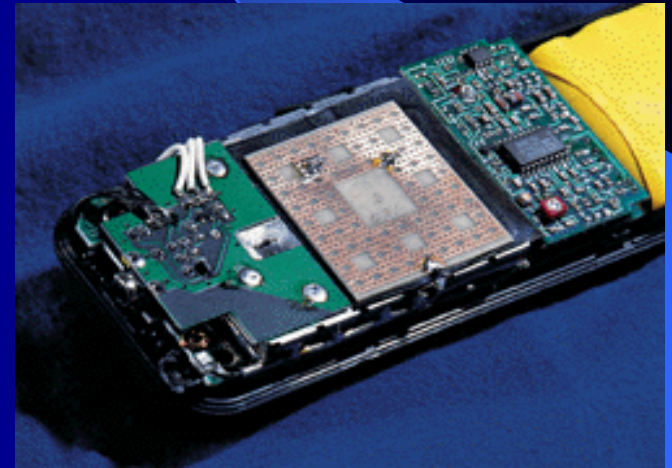
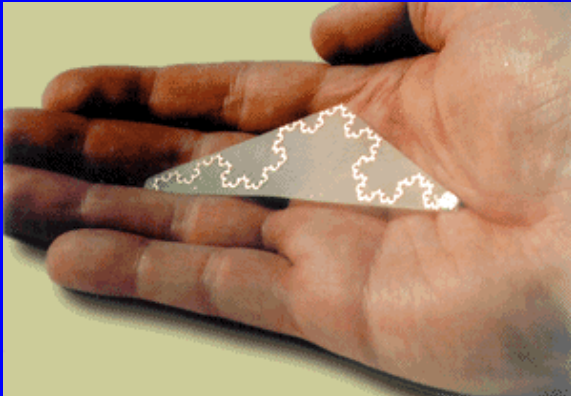




- Fractal antennas have superior multiband performance and are typically two-to-four times smaller than traditional aerials.
- Fractal antennas are the unique wideband enabler—one antenna replaces many.
- Multiband performance is at non-harmonic frequencies, and at higher frequencies the FEA is naturally broadband. Polarization and phasing of FEAs also are possible.

# Fractal Antenna

- Practical shrinkage of 2-4 times are realizable for acceptable performance.
- Smaller, but even better performance





Visualization of antenna (the brown layer)  
integrated on a package substrate



AiP integrated on Bluetooth® adapter

## Fractus® Julia-12 ISM 2.4 GHz VPOL

P/N: FR03-02-N-0-002

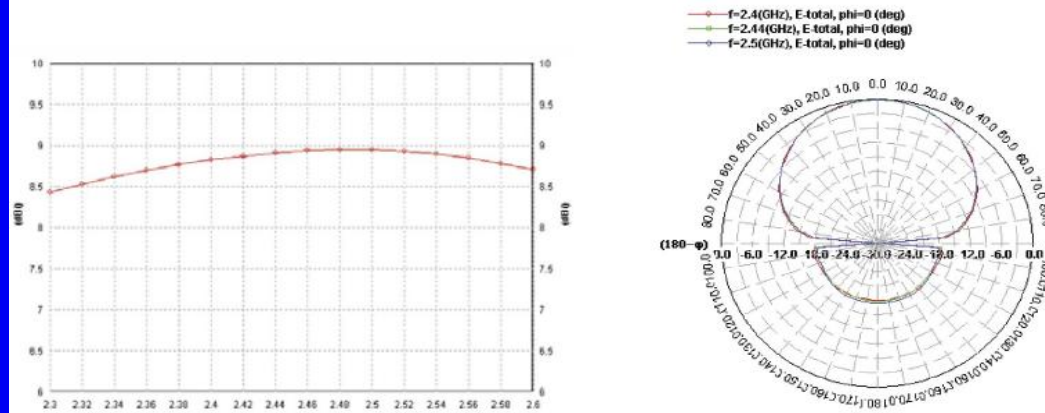
The **JULIA-12 ISM 2.4 GHz panel antenna** is a cost effective solution with an excellent broad coverage in a tiny package. The antenna features an internal Fractal shaped element and is suitable for both indoor and outdoor applications.



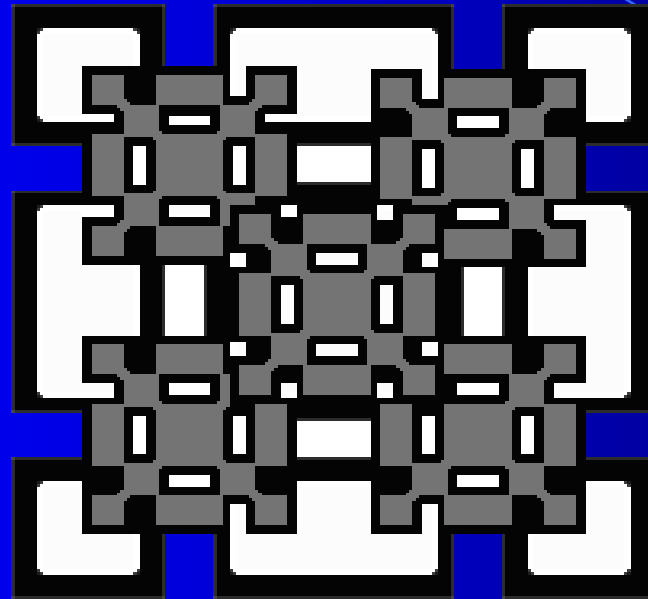
<b>Frequency Range</b>	2.4 - 2.5 GHz
<b>Directivity/Gain</b>	9.6 dBi / 8.8 dBi
<b>Impedance</b>	50 $\Omega$
<b>Polarisation</b>	VPOL
<b>F/B Ratio</b>	> 18 dB
<b>VSWR</b>	< 1.5 : 1
<b>Vertical Beamwith</b>	65°
<b>Horizontal Beamwith</b>	70°
<b>Connector (Pig Tail)</b>	RP-TNC or RP-SMA
<b>Radome</b>	ABS
<b>Dimensions</b>	10 x 10 x 3 cm

Measured results from a standard

**Patent Pending:** WO0154225, WO0122528, PCT/EP01/10589, PCT/EP02/07837, US60/613394, US60/627653 and PCT/EP02/07836



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## Fractus® Julia-10b ISM 2.4 GHz VPol

P/N: FR03-02-N-0-003

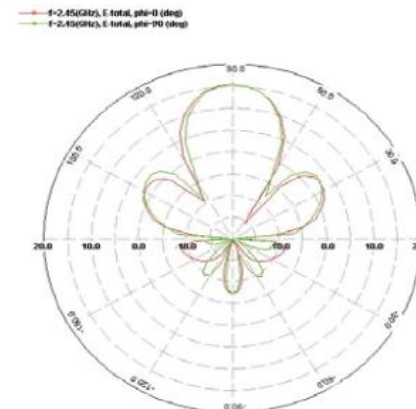
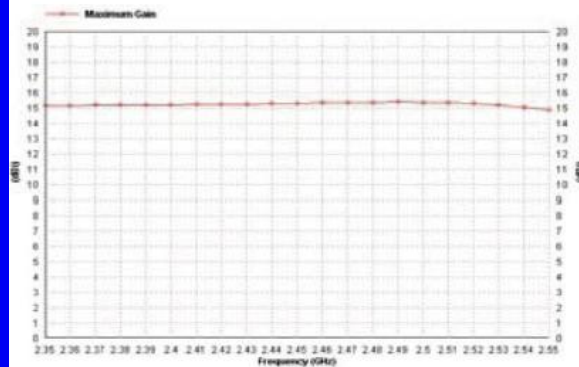
The **JULIA-10 ISM 2.4 GHz panel antenna** offers a superior gain to size ratio thanks to the Fractus' patented "Super Directive" patch design. JULIA-10 is the ideal choice to get extra range capacity in a tiny package.



<b>Frequency Range</b>	2.4 - 2.5 GHz
<b>Directivity/Gain</b>	16 dBi / 15 dBi
<b>Impedance</b>	50 $\Omega$
<b>Polarisation</b>	VPOL
<b>F/B Ratio</b>	> 20 dB
<b>VSWR</b>	< 1.5 : 1
<b>Vertical Beamwidth</b>	30°
<b>Horizontal Beamwidth</b>	35°
<b>Connector (Pig Tail)</b>	RP-TNC or RP-SMA
<b>Radome</b>	ABS
<b>Dimensions</b>	21 x 21 x 3 cm

Measured results from a standard

**Patent Pending:** WO0154225, WO0122528, PCT/EP01/10589, PCT/EP02/07837, US60/613394, US60/627653 and PCT/EP02/07836



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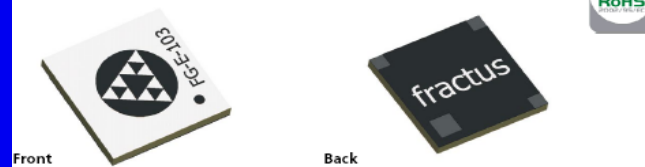
## Fractal Geofind™ GPS Slim Chip Antenna

P/N: FR05-S1-E-0-103

The **Fractal Geofind** is a slim chip antenna engineered specifically for consumer electronic devices operating with GPS system where low-cost and robust performance is mandatory.

Taking advantage of the space-filling properties of fractals, this **small planar monopole** antenna is ideal for use low-cost consumer electronic devices to add personal location functionalities. The **Fractal Geofind GPS Slim Chip Antenna** speeds your time-to-market by allowing you to integrate it within your industrial design easily (SMD mounting) and efficiently.

10 x 10 x 0.9 mm (image larger than actual size)



**Patent Pending:** WO0154225, WO0122528, PCT/EP01/10589, PCT/EP02/07837, US60/613394, US60/627653 and PCT/EP02/07836

### Product Benefits

#### ■ High performance/price ratio

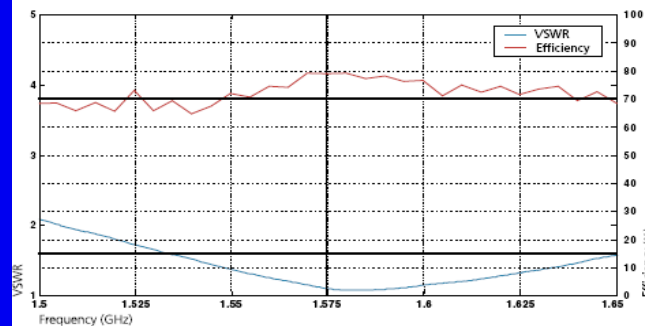
Raises your device's competitiveness by increasing satellite sensitivity and decreasing your device's BoM cost.

#### ■ Omnidirectional pattern

Optimises device usage due to a uniform radiation pattern.

#### ■ Small Volume

Allows integration into space limited areas easily and efficiently.



<b>Frequency Range</b>	1575 MHz
<b>Efficiency</b>	> 70 %
<b>Peak Gain</b>	> 1 dBi
<b>VSWR</b>	< 1.6:1
<b>Weight</b>	0.20 g
<b>Temperature</b>	-40 to +85 °C
<b>Impedance</b>	50 Ω unbalanced
<b>Dimensions</b>	10 x 10 x 0.9 mm

Measured results from a standard PCB of 70x30 mm

Please contact your sales representative at Richardson Electronics to obtain additional information on recommended configurations for different UWB devices. Richardson Electronics: [www.rell.com](http://www.rell.com) Fractus: [wireless@fractus.com](mailto:wireless@fractus.com) Reference: DS\_FR05-S1-E-0-103\_v01

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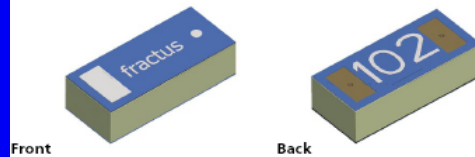
## Fractus® Compact Reach Xtend™ Chip Antenna

P/N: FR05-S1-N-0-102

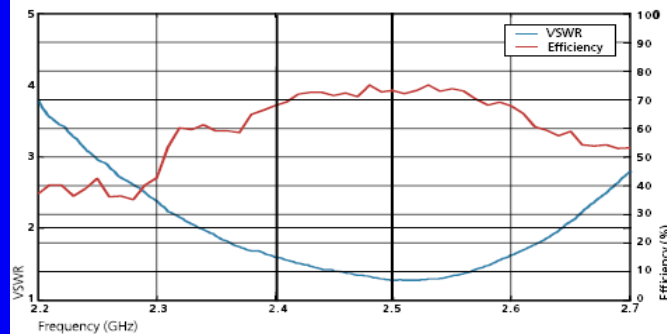
The **Fractus Compact Reach Xtend Chip Antenna** for Bluetooth® and 802.11 b/g WLAN is a tiny rectangular 3D-shaped antenna suitable for headset, compact flash (CF), secure digital (SD) and other small PCB devices operating at 2.4 GHz where high performance and low-cost are mandatory. Its broad bandwidth ensures high quality signal reception and transmission across wireless devices and different plastic housing designs.

Taking advantage of the space-filling properties of fractals, this **small monopole** antenna is ideal for use within indoor (highly scattered) environments. The **Fractus Compact Reach Xtend Chip Antenna** speeds your time to market by allowing you to easily integrate it within your industrial design (SMD mounting).

7 x 3 x 2 mm (image larger than actual size)



**Patent Pending:** WO0154225, WO0122528, PCT/EP01/10589, PCT/EP02/07837, US60/613394, US60/627653 and PCT/EP02/07836



### Product Benefits

#### ■ Small form factor

Allows integration into space limited areas easily and efficiently with minimum clearance area.

#### ■ Broad bandwidth

Ensures robust performance when considering different plastic housing and close body proximity.

#### ■ Omnidirectional pattern

Optimises device usage due to a uniform radiation pattern.

#### ■ Multi-mode support

Works for Bluetooth, and Wi-Fi 802.11b and g standards.

<b>Frequency Range</b>	2.4 - 2.5 GHz
<b>Efficiency</b>	> 70 %
<b>Peak Gain</b>	> 1 dBi
<b>VSWR</b>	< 2:1
<b>Weight</b>	0.20 g
<b>Temperature</b>	-40 to +85 °C
<b>Impedance</b>	50 Ω unbalanced
<b>Dimensions</b>	10 x 10 x 0.8 mm

Measured results from a standard PCB of 47x23 mm

Please contact your sales representative at Richardson Electronics to obtain additional information on recommended configurations for different UWB devices. Richardson Electronics: [www.rell.com](http://www.rell.com) Fractus: [wireless@fractus.com](mailto:wireless@fractus.com) Reference: DS\_FR05-S1-N-0-102\_v01

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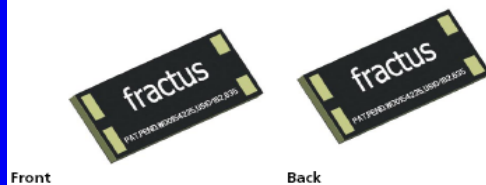
## Fractus® EZConnect™ Zigbee™ Chip Antenna

P/N: FR05-S1-R-0-105

The Fractus **EZConnect Zigbee Chip Antenna** is a compact rectangular antenna suitable for smart home, security and other industrial devices using the 915 MHz ISM band, where low power consumption and cost are top of mind. Taking advantage of the space-filling properties of fractals, this **compact monopole** antenna is ideal for use within indoor (highly scattered) as well as outdoor environments.

The **Fractus EZConnect Zigbee Chip Antenna** speeds your time to market by allowing you to easily integrate it within your industrial design (SMD mounting).

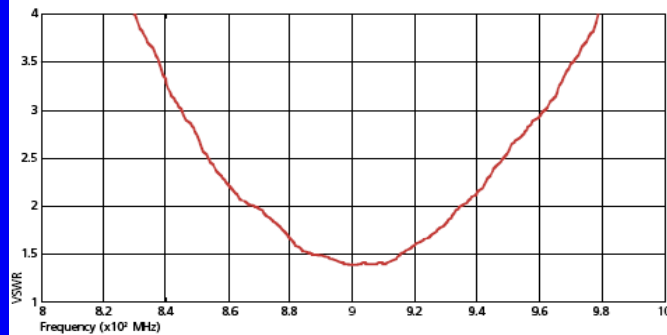
18 x 7,3 x 1 mm (image larger than actual size)



Front

Back

**Patent Pending:** WO0154225, WO0122528, PCT/EP01/10589, PCT/EP02/07837, US60/613394, US60/627653 and PCT/EP02/07836



### Product Benefits

#### ■ Small form factor

Allows integration into space limited areas easily and effectively.

#### ■ Broad bandwidth

Ensures robust performance in different PCB dimensions and plastic housing, without the need for a matching network.

#### ■ High performance

Optimises power consumption and increases device range.

#### ■ Omnidirectional pattern

Increases device robustness due to a uniform radiation pattern.

**Frequency Range** 902 - 928 MHz

**Efficiency** > 40 %

**Peak Gain** > 0 dBi

**VSWR** < 2:1

**Weight** 0.20 g

**Temperature** -40 to +85 °C

**Impedance** 50 Ω unbalanced

**Dimensions** 18 x 7,3 x 1 mm

Measured results from a standard PCB of 120x65 mm

Please contact your sales representative at Richardson Electronics to obtain additional information on recommended configurations for different UWB devices. Richardson Electronics: [www.rell.com](http://www.rell.com) Fractus: [wireless@fractus.com](mailto:wireless@fractus.com) Reference: DS\_FR05-S1-E-0-105\_v01

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*Customised Mobile Handset Antenna  
Pat. Pending: WO012258, VS2002140615,  
WO0154225, VS10/182,635*



*Fractus Compact Dual-Band Reach Xtend™  
WLAM 802.11 a/b/g/n Chip Antenna 2.4 & 5GHz*



**ELECTRONIC WARFARE**



**UAB™ Antenna**

Extreme wideband and omnidirectional performance with superior gain. Operates with or without a ground plane over a 25:1 frequency range, from VHF to microwave. Compact form factor packaged in a 7.7 inch-diameter, 10 inch-high radome weighing 4.8 pounds. Up to 250W input power. VSWR less than 2:1.



**UAD™ Antenna**

Extreme wideband performance with up to 250W power handling and superior gain. Operates over UHF to microwave. Low profile of 5.7 inches and easily concealable in a 7.7 inch-diameter radome. VSWR less than 2:1.



**UGS™ Antenna**

Single antenna integrated with an unattended ground sensor (UGS) providing superior omnidirectional long-range performance. Operates over high HF through VHF. Innovative raised phase center design minimizes ground losses, while improving radiation pattern and launch angle. Easily deployed in a compact, lightweight package measuring 2.5 inches in diameter and 3 feet in height.



**RFsabre™**

With outstanding lower frequency gain and less than 3:1 VSWR over a very wide frequency range, the RFsabre antenna delivers great performance in a distinctly compact form factor. The vehicle-mounted version can survive impacts with solid objects at speeds up to 25 MPH. Geared for security, communications, signal gathering, and high power transmit applications. New hanging or tripod mounted versions available.



Fractal antenna technology, implemented in transparent conductive film, makes covert capability possible with a mission-capable antenna system that operates over a huge frequency range.

- Outstanding gain
- Transparent
- Conformable
- Only 13 x 18 inches
- VSWR less than 3:1
- Inherently 50 Ohms
- Optional frequency lowering panels

Signal intelligence warfighters face a difficult challenge — the need to monitor communications over a very wide frequency range while remaining clandestine. Current electronic surveillance systems employ multiple antennas that are either large or noisy. Covertness and high performance are united in the Tranztenna™ optically transparent antenna: an extremely wideband antenna designed for vehicle or building window placement. This conformable, rugged, compact antenna is easy to transport and install in field operations. New missions to intercept and monitor enemy communications are possible with this breakthrough in transparent antenna technology.

Feature	Advantage	Benefit
Transparent	Visually unobtrusive	Covert use of antenna in vehicle or building window
Good Gain	Superior to ITO films	Excellent signal-to-noise ratio
Wideband	Operation over very wide frequency range	Instantaneously access most spectrum of interest
Compact Size	Effective use of small window apertures	Access to lower frequencies
Conformable	Flexible sheet	Easy transport and deployment

135 South Road  
 Bedford, MA 01730 USA  
 781-275-2300  
[www.fractenna.com](http://www.fractenna.com)

# GPS / GSM Antenna

ITEM NO.:GS-206

Frequency: GPS 1575MHz  $\pm$ 3MHz  
Band Width  $\pm$ 5 MHz  
Impedance: 50ohms  
SWI: 1.5:1  
Gain: >3dBi  
Cable: RG-174

Frequency: GSM 890-960MHz  
1710-1990MHz  
Impedance: 50 ohms  
SWI: <2  
Gain: 2.15dbi  
Cable: RG-174

Frequency: 76-110MHz(FM)  
525-1700KHz(AM)  
Gain: +6db(FM)  
+2db(AM)  
Impedance: 75 ohms  
Cable: 3C-2V

Voltage:10-14V  
Cable length: 8"  
Dia of installation hole:  $\Phi$ 15mm  
Fit VW, GM, Audi, BWM, Peugeot





## ● Detailed Product Description

### ● Features:

- 1) Item no.: GS-205
- 2) Frequency: GPS 1,575MHz  $\pm$  3MHz
- 3) Band width:  $\pm$ 5MHz
- 4) Impedance: 50 $\Omega$
- 5) SWI: 1.5:1
- 6) Gain: >3dBi
- 7) Cable: RG-174
- 8) Frequency: GSM 890-960MHz, 1,710-1,990MHz
- 9) Impedance: 50 $\Omega$
- 10) SWI: <2
- 11) Gain: 2.15dBi
- 12) Cable: RG-174
- 13) Frequency: 76-110MHz (FM), 525-1,700kHz (AM)
- 14) Gain: +20dB (FM), +5dB (AM)
- 15) Impedance: 75 ohms
- 16) Cable: 3C-2V
- 17) Voltage: 10-14V
- 20) Fits for VW, GM, Audi, BWM and Peugeot



# G-Antetech Industrial Co., Ltd

- **Detailed Product Description**
- Item no.: GS-208 Frequency:
- GPS 1,575MHz+/-3MHz
- Band width: +/-5MHz
- Impedance: 50Ω SWIR: 1.5:1
- Gain: >3dBi
- Cable: RG174
- Frequency: 76-110MHz (FM), 525-1,700MHz (AM)
- Gain: +20dB (FM), +5dB (AM)
- Impedance: 75Ω Cable: 3C-2V
- Voltage: 10-14V Cable length: 8"
- Dia. of installation hole: diameter 15mm

# Shanghai Sky Year Technology Co., Ltd.

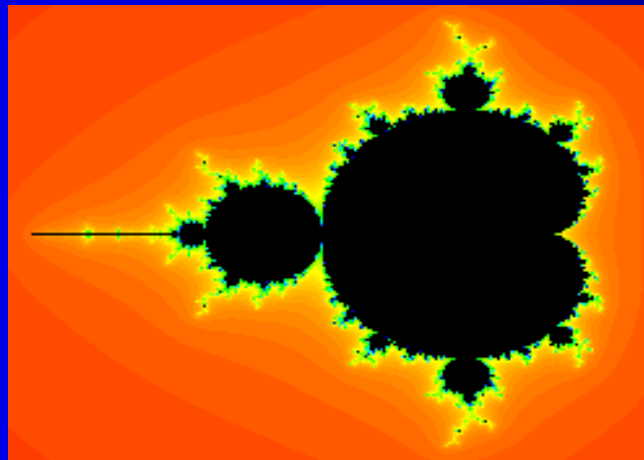
The only patented AM/FM roof mounted shark fin antenna that completely integrates GPS, GSM, AMPS/PCS and satellite radio frequencies





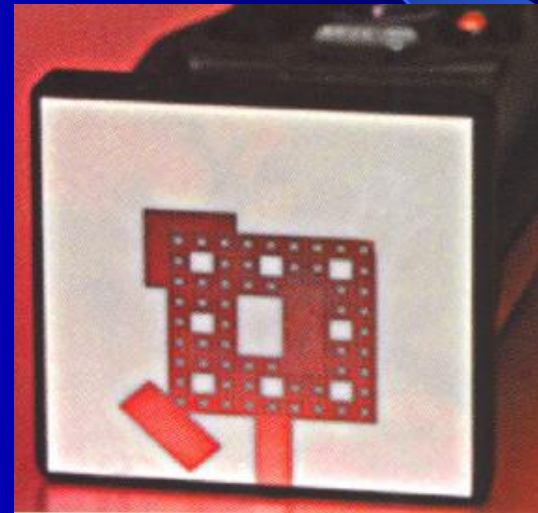
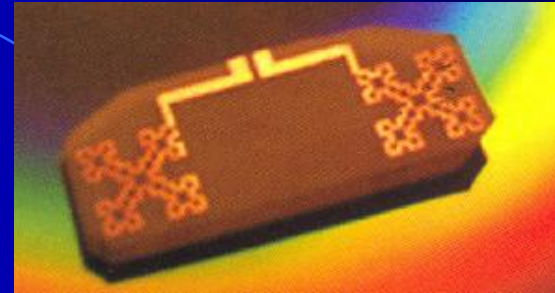
# Data Compression

- A color full-screen GIF image of Mandelbrot Set occupies about 35 kilobytes
- Formula  $z = z^2 + c$ , 7 bytes! (99.98% )
- It could work for any other photos as well
- The goal is too find functions, each of which produces some part of the image.
- IFS (Iterated function system) is the key.



# RF ID applications

- RFID tag antenna, dubbed Tagtenna antenna for 900 MHz.
- Readtenna RFID antenna that is 1/3 the form factor area of a patch antenna of equal performance. This FEA is a microstrip patch based on a fractalised ground plane and a Sierpinski carpet fractal.



*Tagtenna™ and Readtenna™ antennas are available in evaluation kits from Fractal Antenna Systems, Inc. The antennas are protected by US patents 6140975, 6127977, and 6104349 and pending patents US and foreign.*

# Enhanced Read-out distance

In a recent study carried out by researchers at the [Tampere University of Technology's Rauma Research Unit](#), in Finland, a fractal [UHF RFID](#) handheld [reader antenna](#) performed better than traditional antenna designs. The research findings were published in a paper entitled "[Read Range Performance Comparison of Compact Reader Antennas for a Handheld UHF RFID Reader](#)," in the April 2007 edition of the online magazine *IEEE Applications & Practices*.



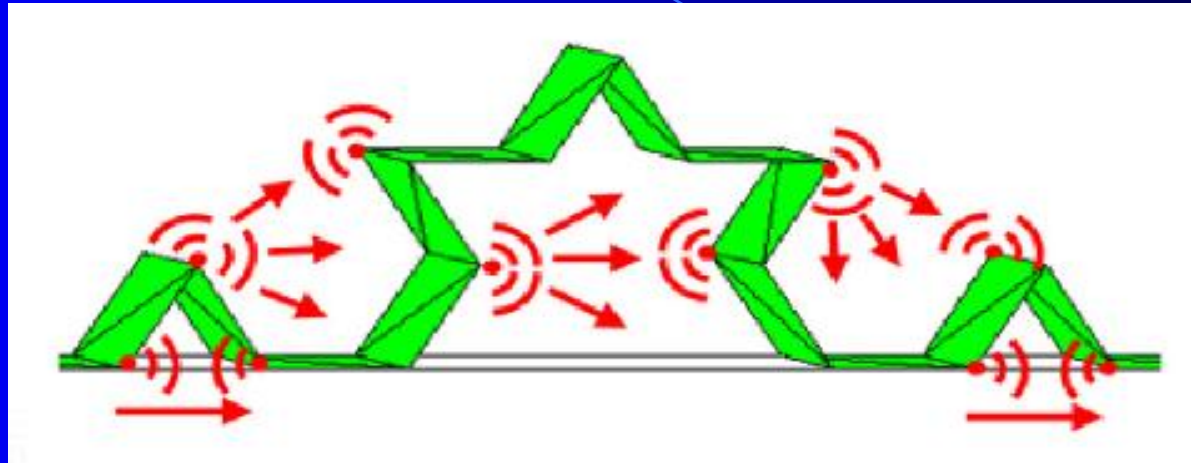


How far can we scale down the fractal structures?

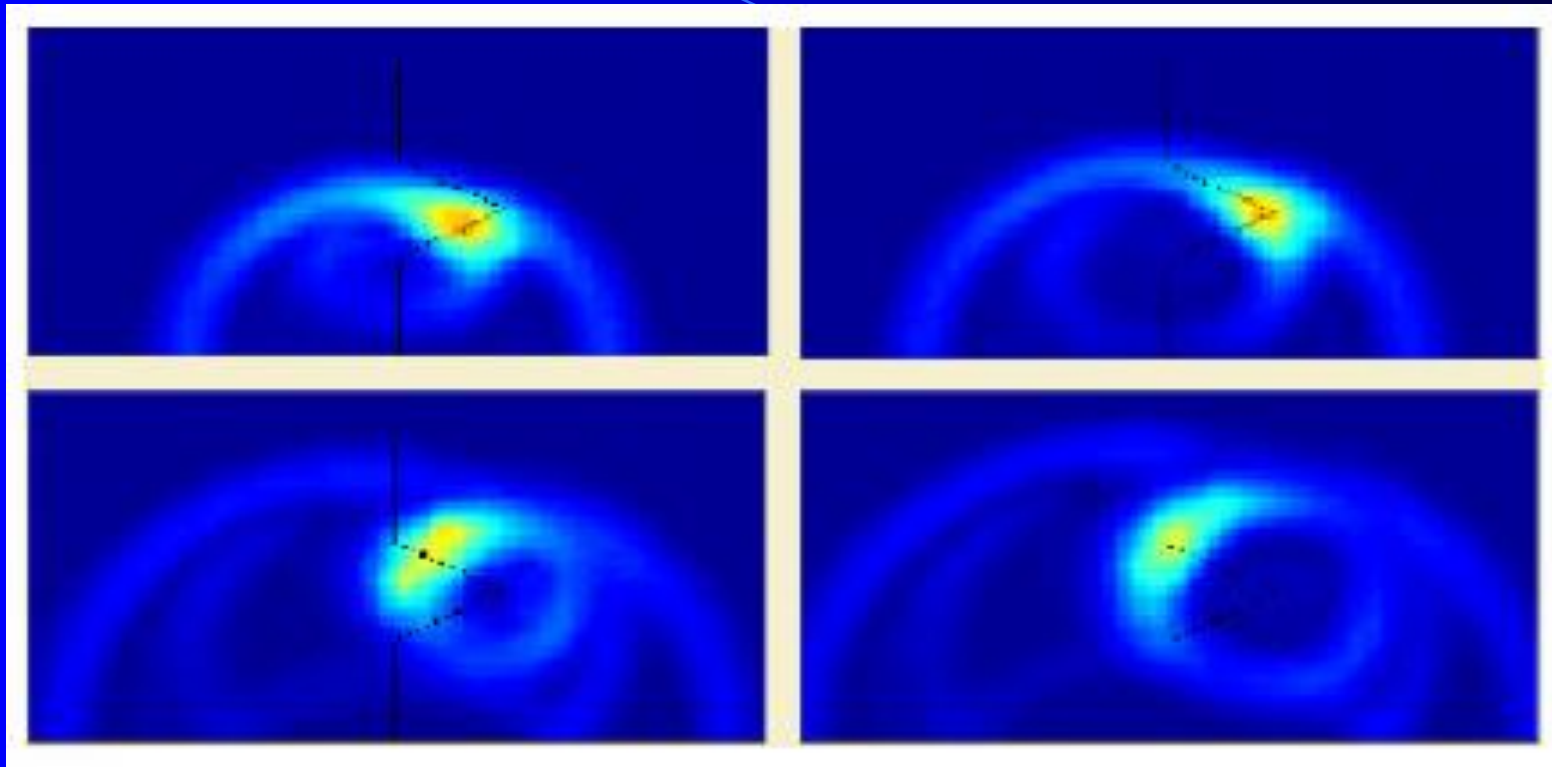
What is the smallest feature size of a microelectronic fractal object?

**HARD LIMIT !!!**  
**FRACTAL ELECTRODYNAMICS !!**

# Fractal electrodynamics



The angles radiate a spherical wave with phase center at the vertex. Each angle not only radiates, but also receives the signal radiated by other angles. As a consequence, part of the signal does not follow the wire path, but takes “shortcuts” that start at a radiating angle. The length of the path traveled by the signal is, therefore, shorter than the total wire length. The higher iteration number in the Koch antenna, the more angles it has and the closer to each other they are, so the more signal takes shortcuts and the less signal follows the whole curve path.



*Near fields in the time domain in the vicinity of a single-iteration Koch monopole (K1) with short-pulse excitation. The sharp angles of the pre-fractal curve become the center of spherical wave radiation, which corroborates the coupling or shortcut effect hypothesis.*

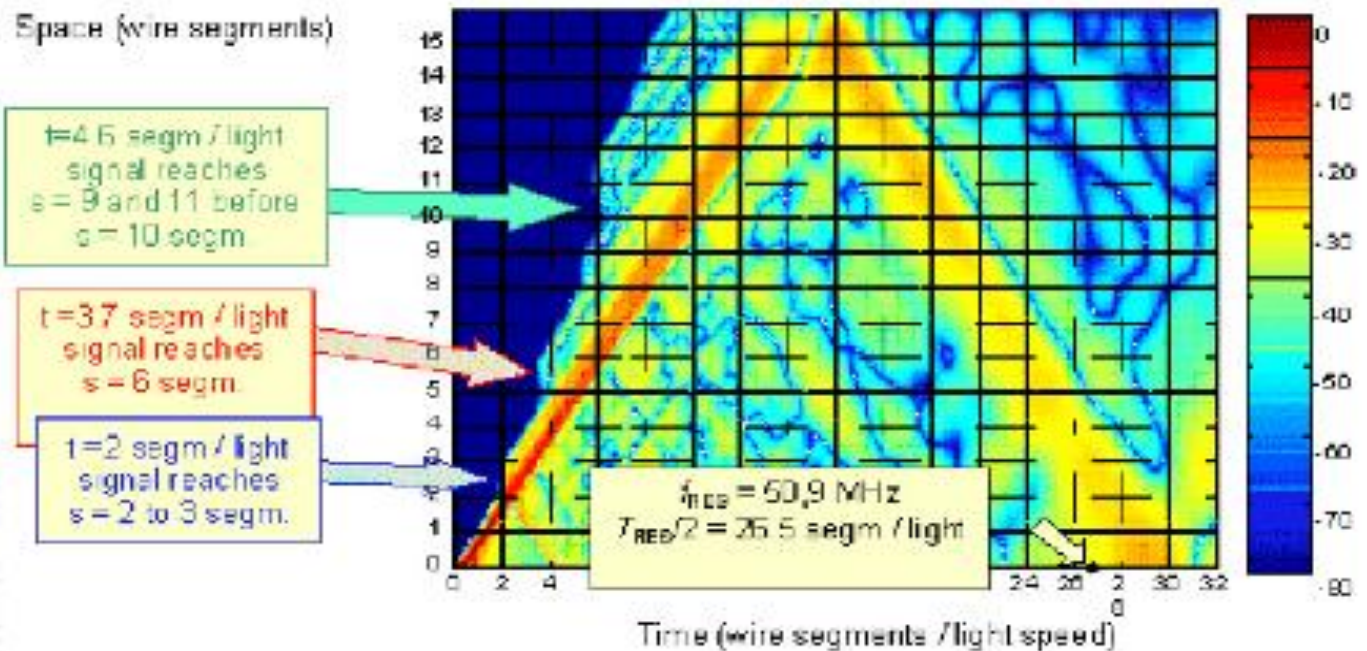
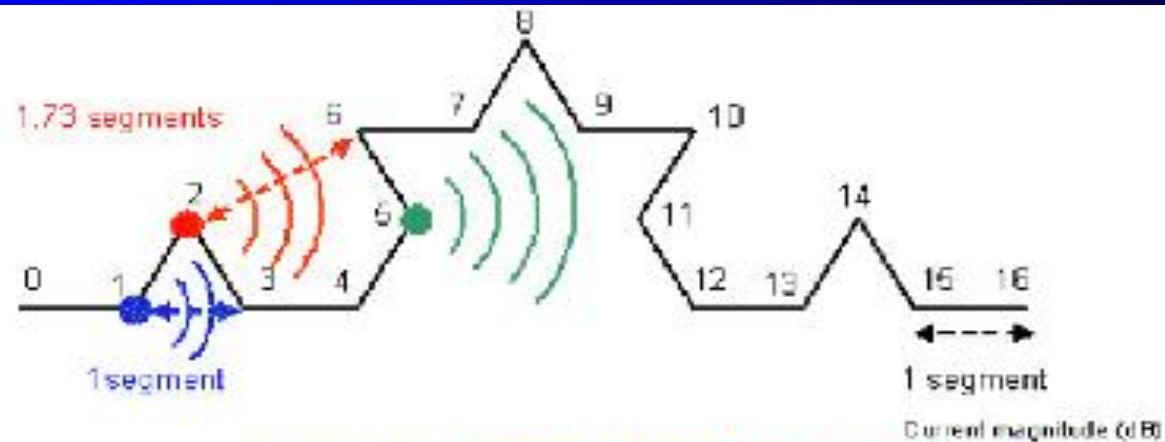


Figure 7: Space-time diagram for short-pulse excitation to a 1m-height K2 monopole. The antenna has been modeled as a thin wire using DOTG code from University of Granada. The signal shortcuts from angles 1 to 3 (blue color), 2 to 6 (red color) and 5 to 9 (green color) can be clearly observed.

# Entering nano and tera

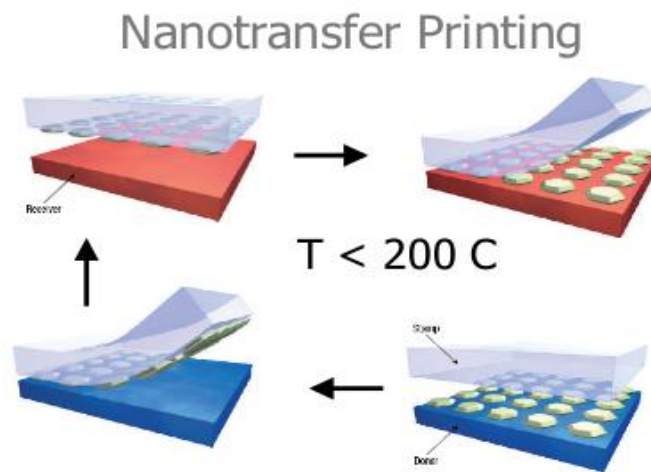
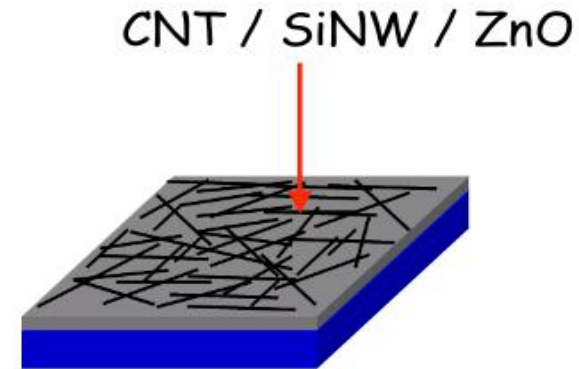
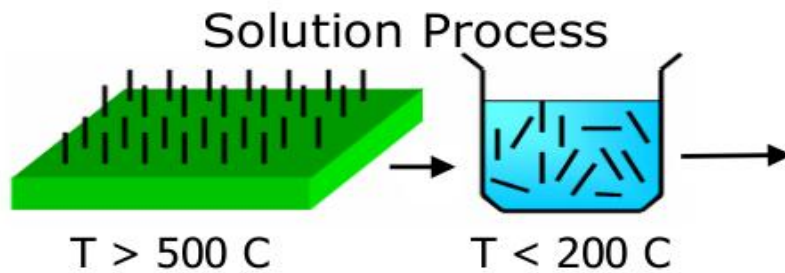
If we cannot decrease the feature size what is the use of fractal geometries?

Change the fractal paradigm!

Do not build „artificial” fractals –

Use fractal nature of surfaces created in new technologies!

# how to make nanonet transistors ?

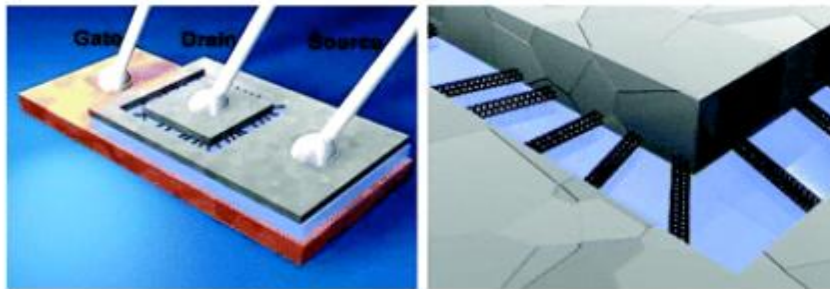
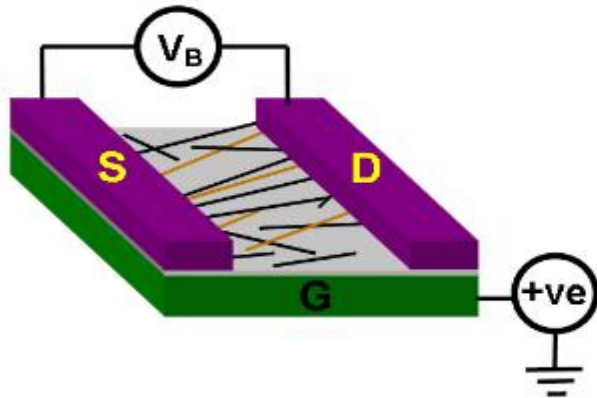


## Advantages

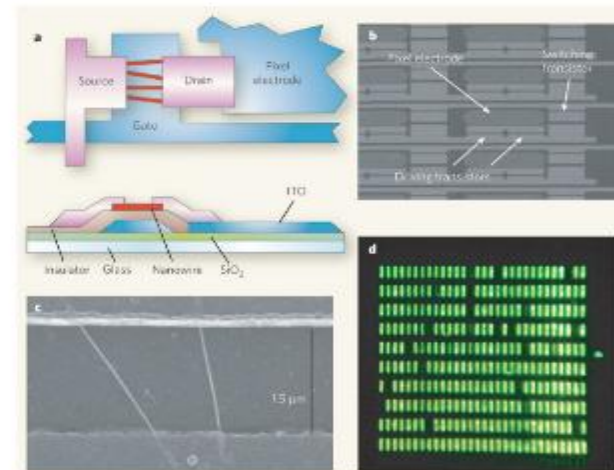
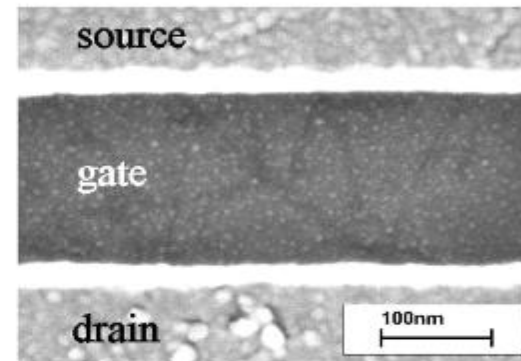
- Highly crystalline CNT / SiNW by high temperature process
- Plastic, glass or organic substrate :  
Low temperature final step
- Transparent and conducting

• Rogers et al, Nature 2006

# Short Channel nanonet transistors

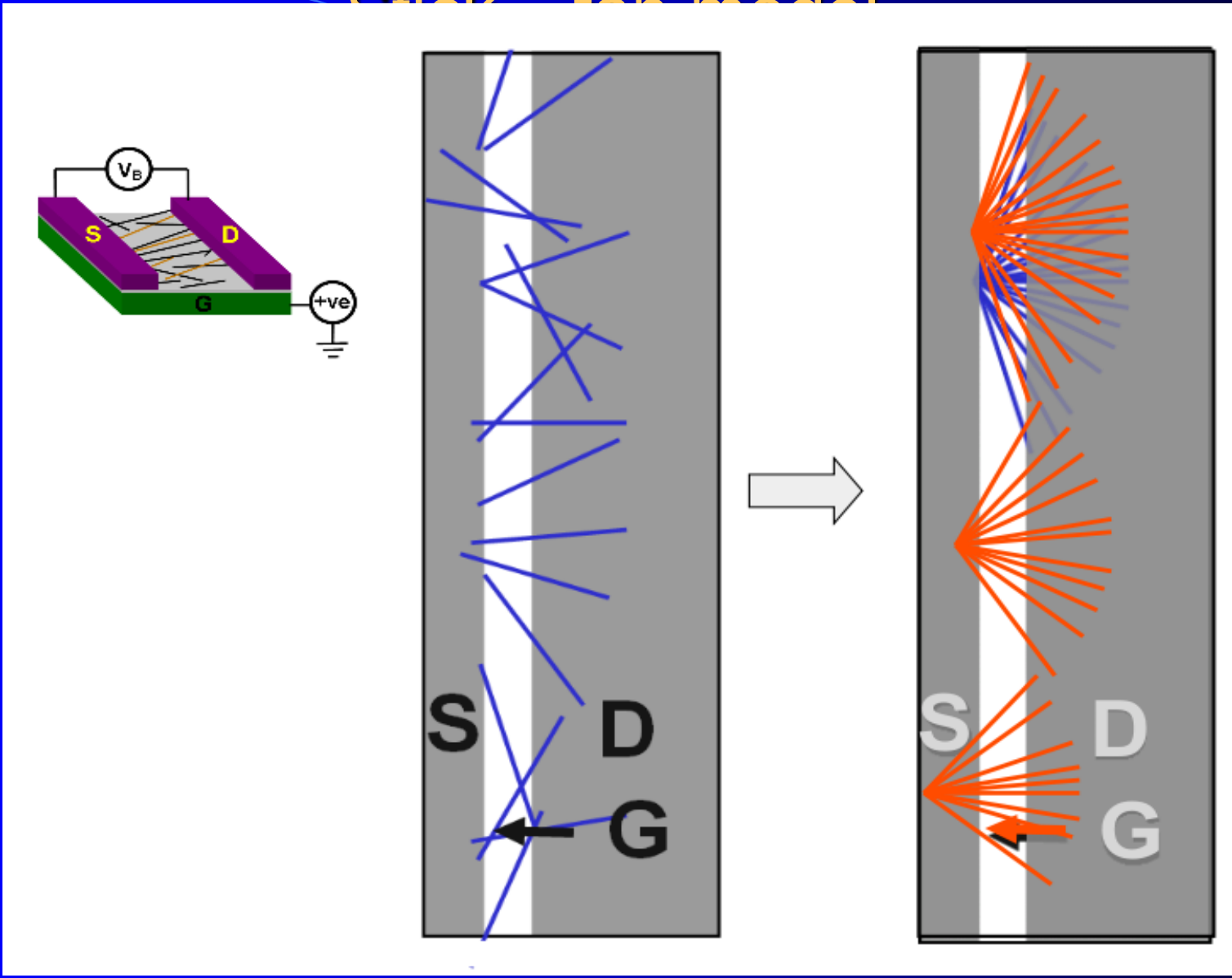


Seidel, *NanoLetters*, 2004.



Janes, *Nature Nanotech*, 2008.

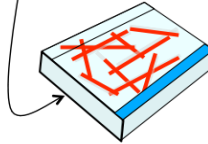
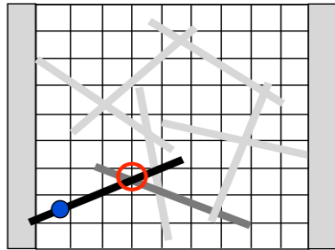
# Stick for model





# Long channel nanonet transistors

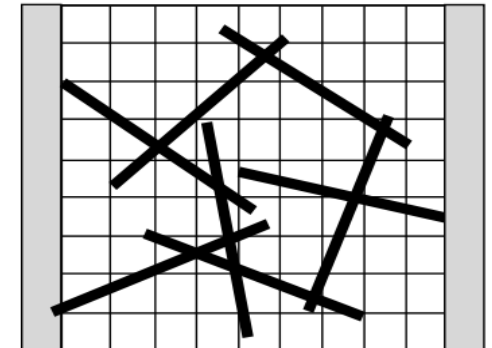
$$\sum_i \nabla^2 \Phi_i + \frac{\rho_i}{\epsilon} = \frac{d^2 \Phi_i}{ds^2} + \frac{\rho_i}{\epsilon} + \sum_{j \neq i} \frac{\Phi_j - \Phi_i}{\lambda_{ij}^2} - \frac{\Phi_i - V_G}{\lambda^2} = 0$$



$$J_{n,i} = qn\mu E - qD \frac{dn}{ds}$$

$$\sum_i \frac{dJ_{n,i}}{ds} - \sum_{i \neq j} c_{ij}^n (n_i - n_j) = 0$$

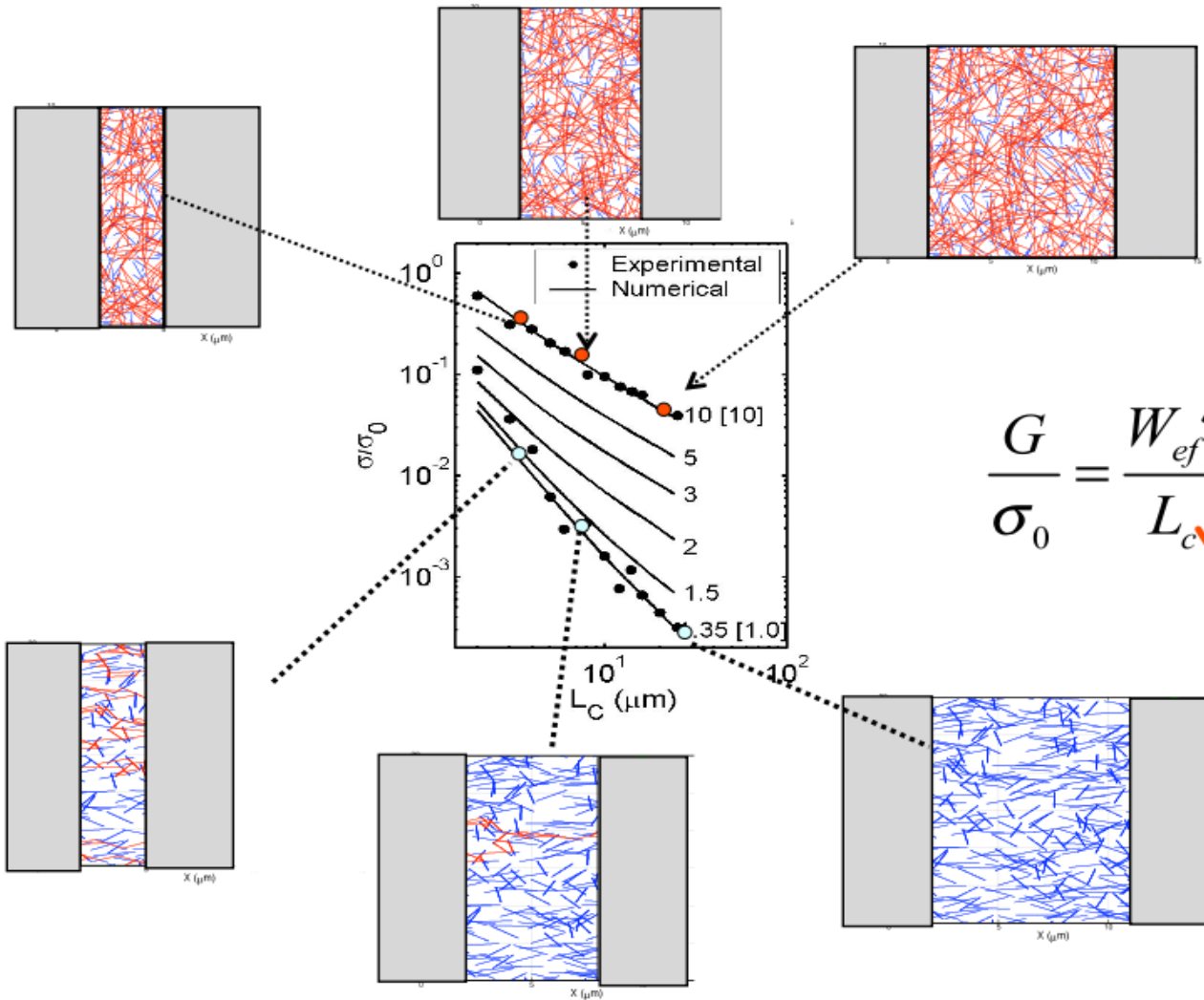
- Analytical solution not possible
- Self consistent numerical DD-Poisson solver
- Solve for hundreds of configuration
- Solve for various biases



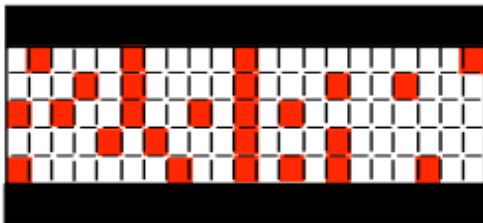
Simulator at [www.nanohub.org](http://www.nanohub.org) as 'NanoNET'

# the end of Ohm's law ...

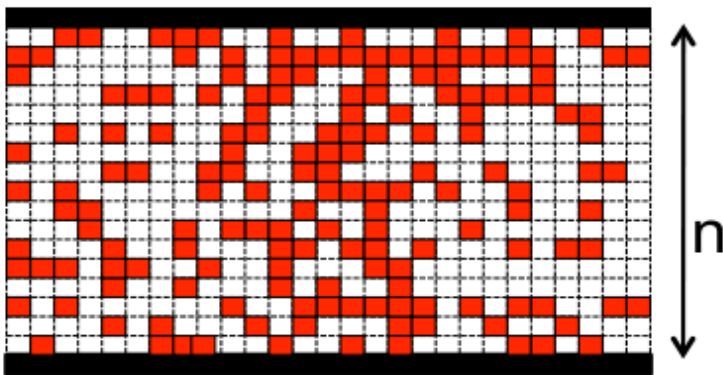
$$I_D = f(V_D, V_G) \times \xi \left( \frac{L_S}{L_C}, DL_S^2 \right)$$



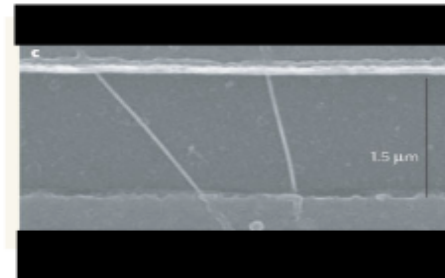
$$G \sim \sigma_{row} p^L \frac{W}{L}$$



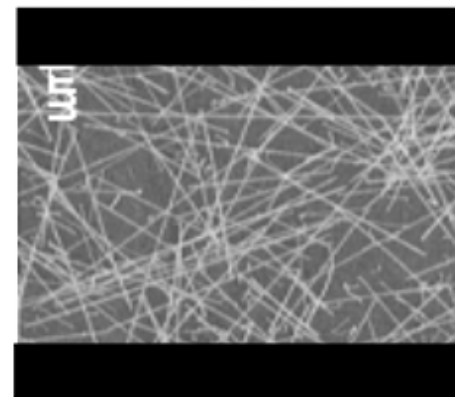
$$G \sim \sigma_{row} \frac{W}{L^\alpha}$$



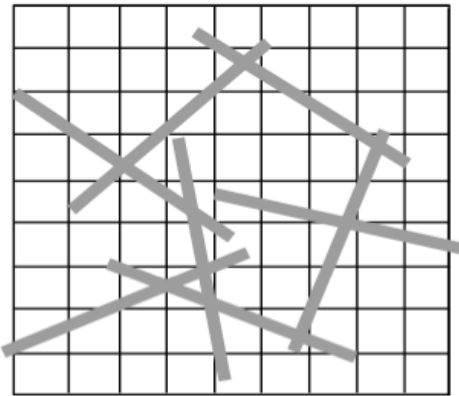
$$G = \frac{2q^2}{\pi^2 \hbar} D_C L_S \left[ \sqrt{1 - \left( \frac{L_C}{L_S} \right)^2} - \frac{L_C}{L_S} \cos^{-1} \frac{L_C}{L_S} \right]$$



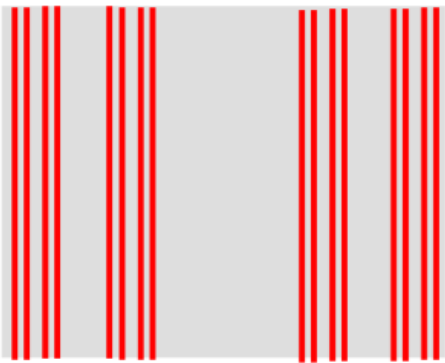
$$G \sim \frac{1}{L_S} \left( \frac{L_S}{L_C} \right)^{m(DL_S^2)}$$



## 2D to 2D Cantor transform



$$D_{F,CT} = D_{F,stick}$$



$$D_{F,CT} = 1 + \log(m) / \log(n)$$

For  $D_{F,stick} = 1.5$

Let  $m=2$ , solve for  $n$ :

$$\log(n) = \log(2) / (D_{F,stick} - 1)$$

Result:  $n=4$

### Generation algorithm:

Take a line segment

Remove the fraction  $(n-2)/n$

from its centre (result:  $\frac{1}{2}$ )

repeat ...

After : Lectures of M. A. Alam

Electrical and Computer Engineering, Purdue University

2009 NCN@Purdue-Intel Summer School

# Fractal analysis of quantum dots

*K.T. Lam, L.W. Ji / Microelectronics Journal 38 (2007) 905–909*

907

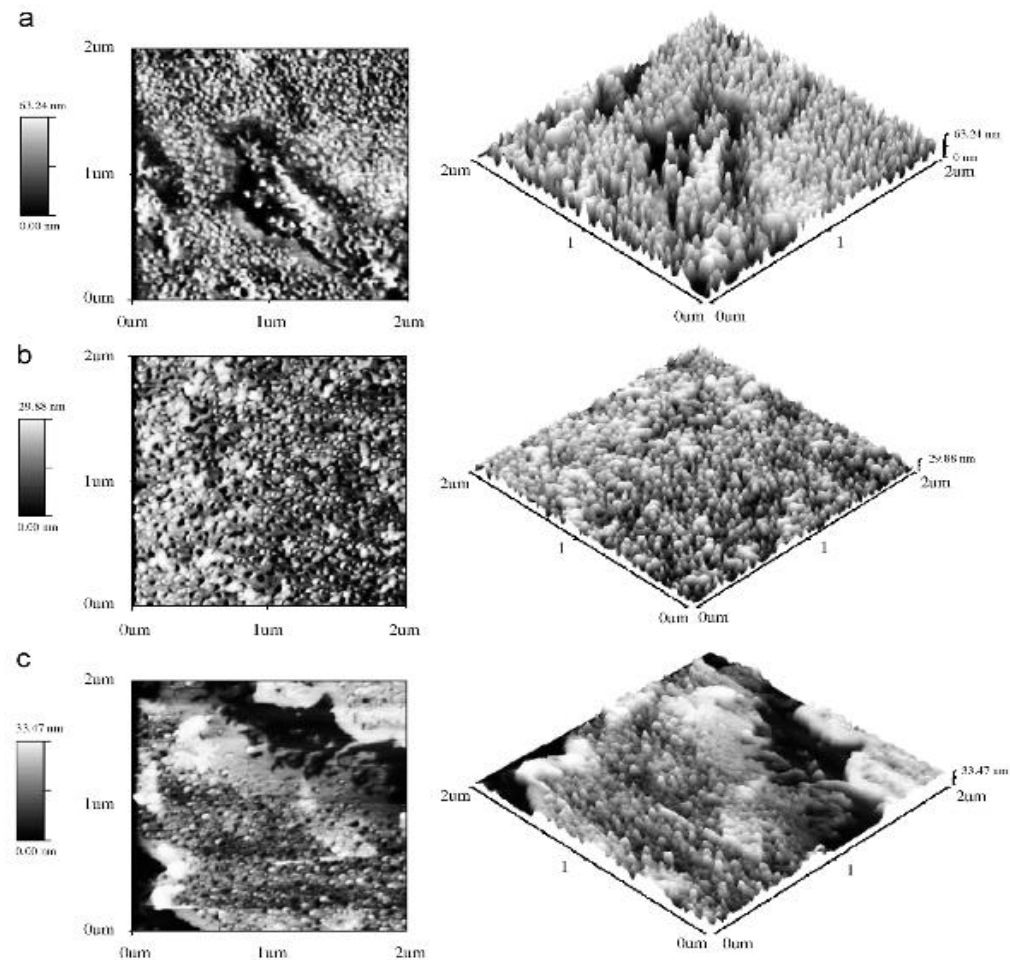
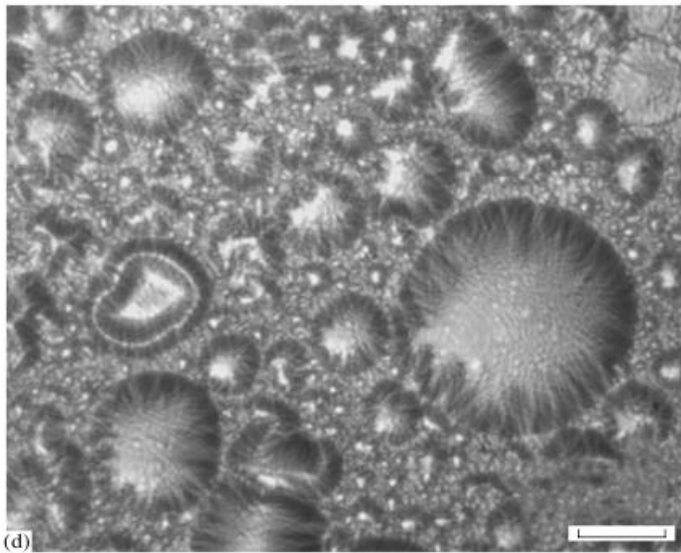
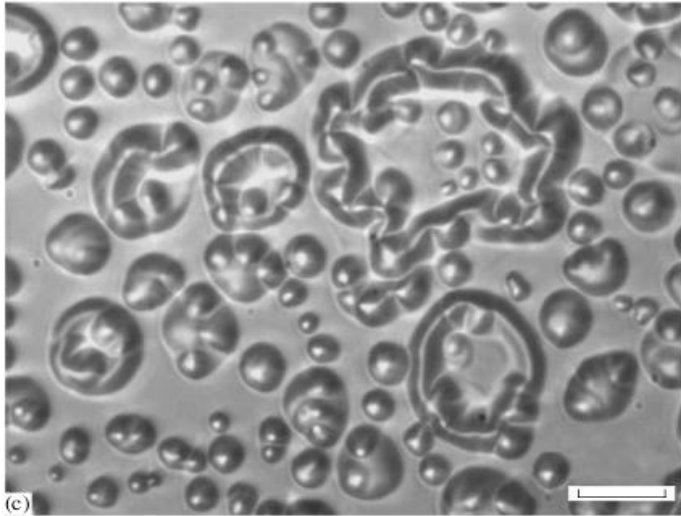
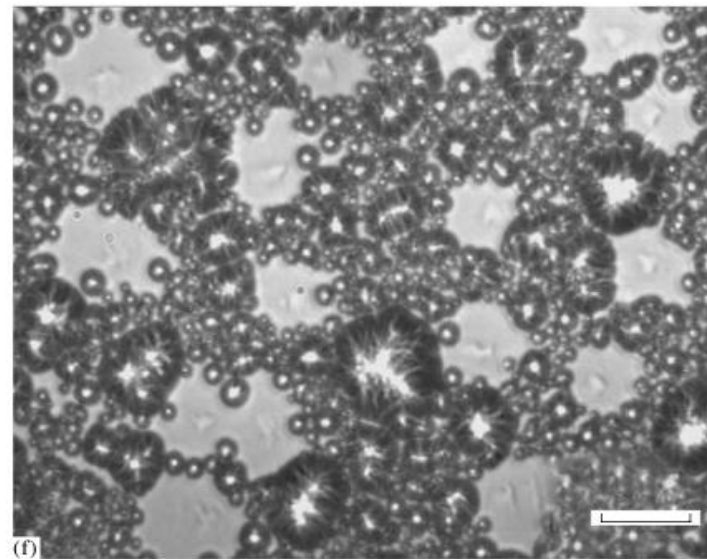
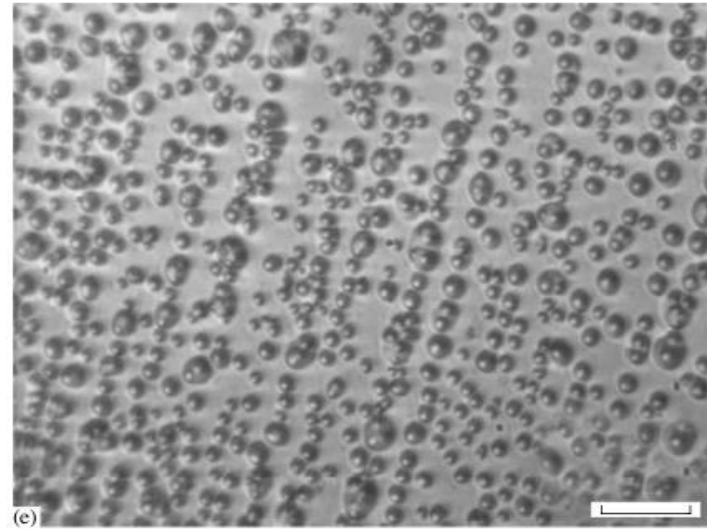


Fig. 1.  $2000 \times 2000 \text{ nm}^2$  AFM images of (a) sample A, (b) sample B (annealed at 750 °C) and (c) sample C (annealed at 800 °C), respectively.

DEPOSITION OF NANOSCALE FILMS WITH FRACTAL TOPOGRAPHY

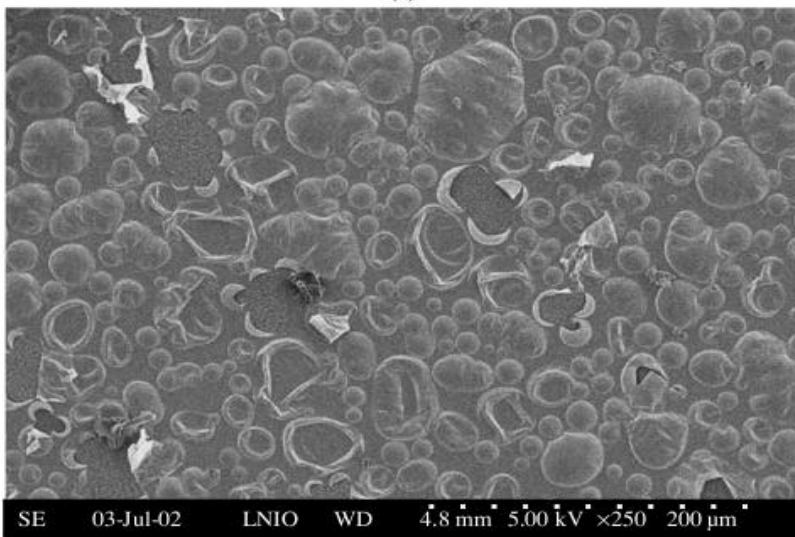


SEROV *et al.*

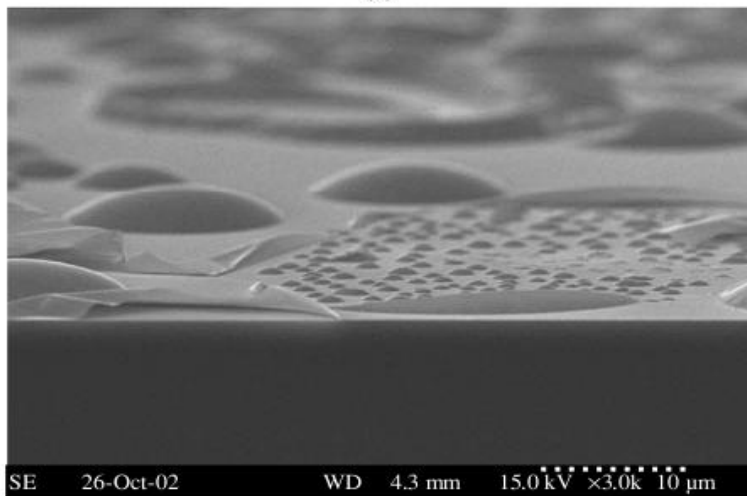


DEPOSITION OF NANOSCALE FILMS WITH FRACTAL TOPOGRAPHY

(a)

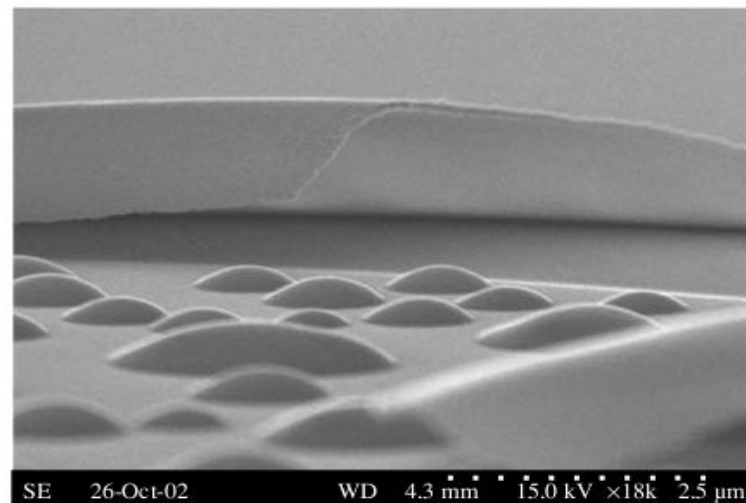


(b)

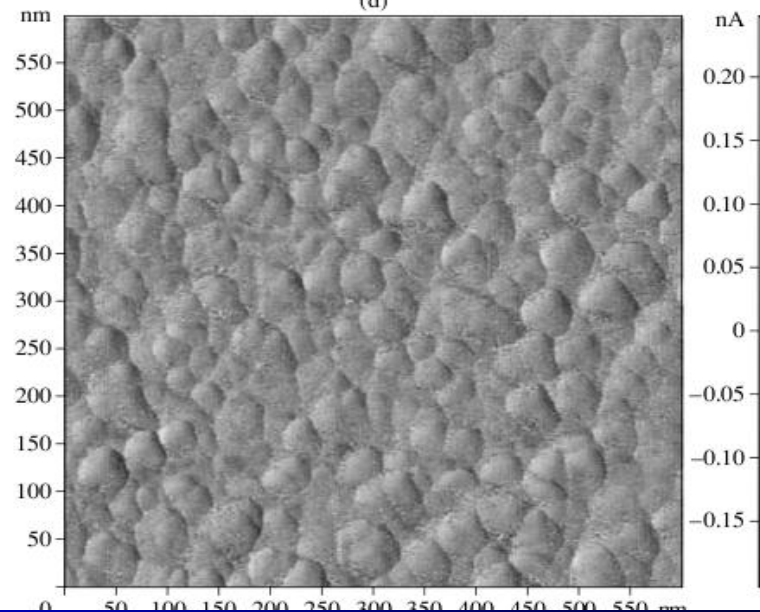


SEROV *et al.*

(c)



(d)



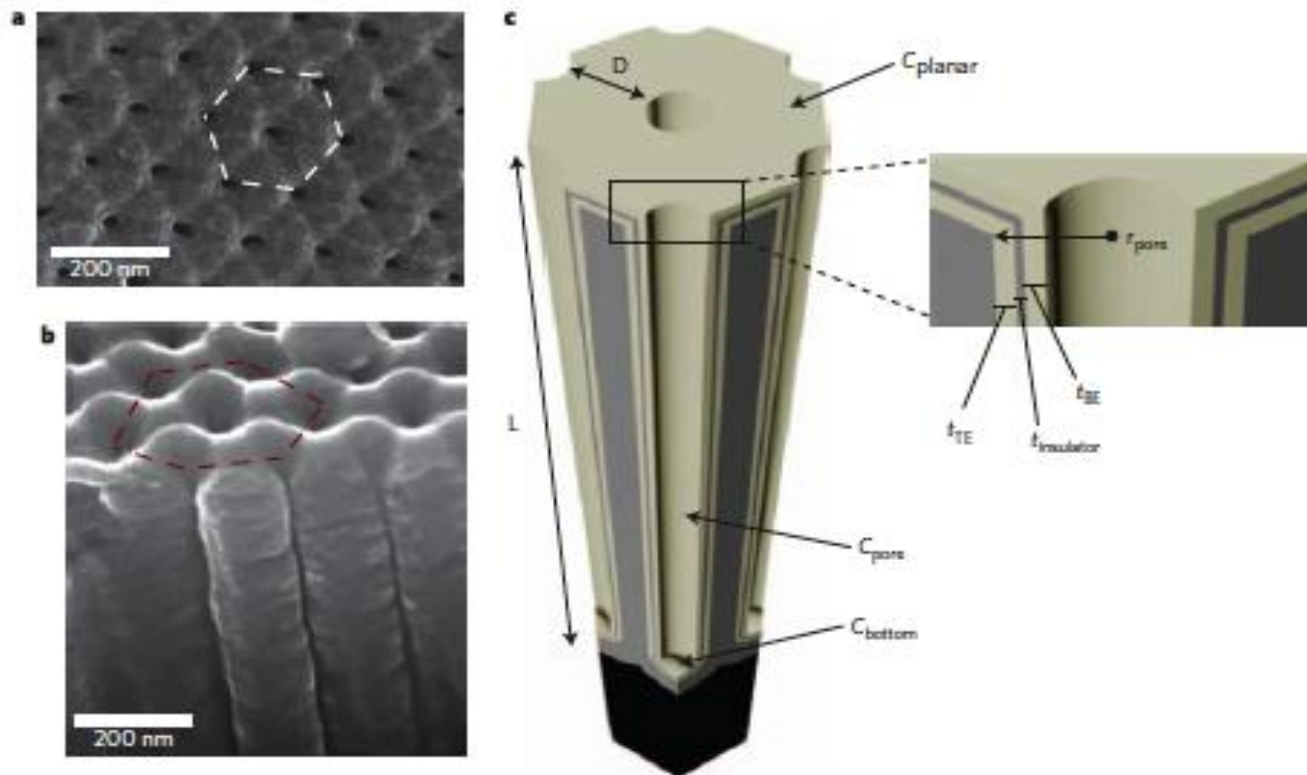
One of very few descriptors is

**FRACTAL DIMENSION !!**

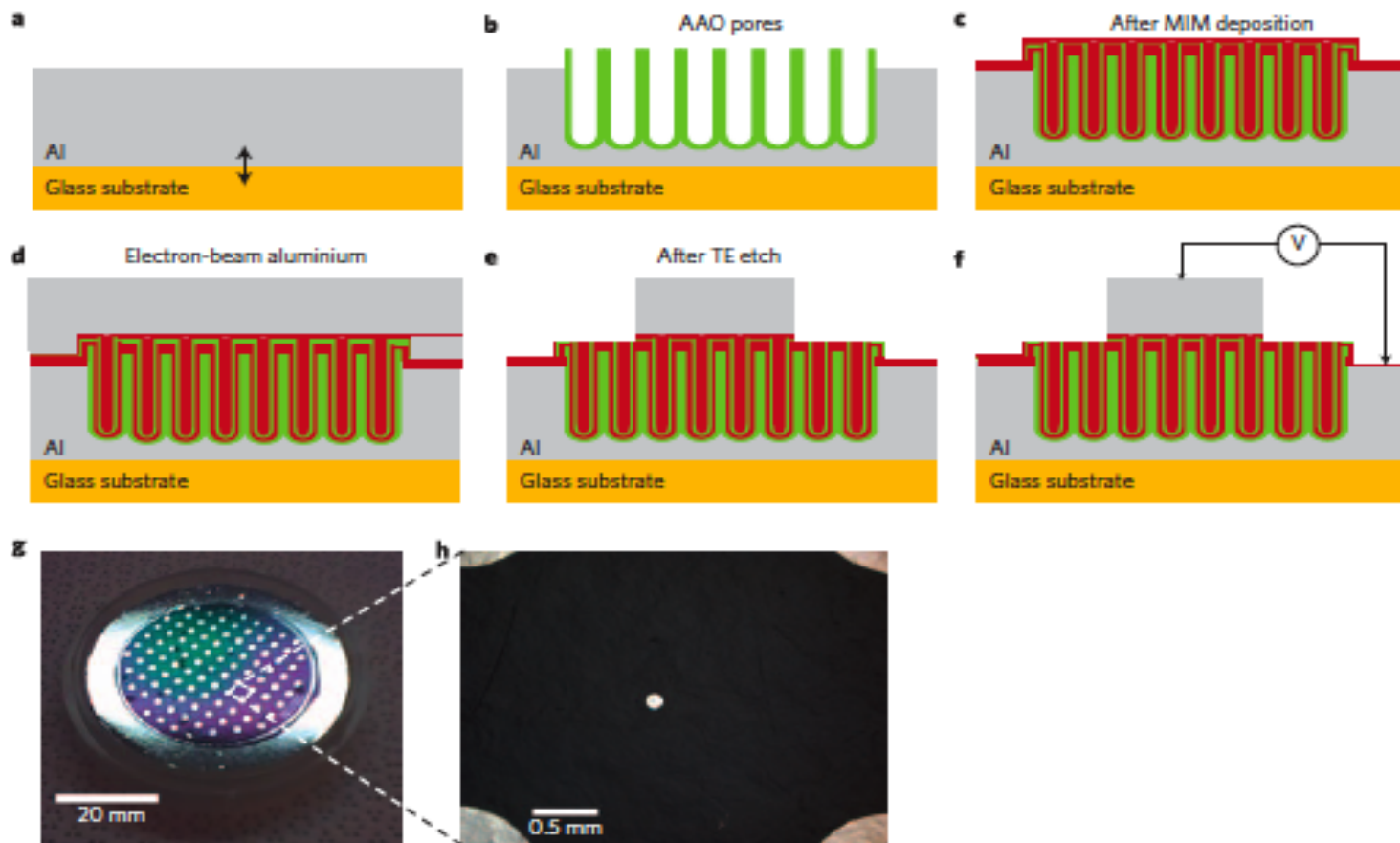


# Nanotubular metal-insulator-metal capacitor arrays for energy storage

Parag Banerjee<sup>1,2</sup>, Israel Perez<sup>1,2</sup>, Laurent Henn-Lecordier<sup>1,2</sup>, Sang Bok Lee<sup>3,4\*</sup> and Gary W. Rubloff<sup>1,2,5\*</sup>



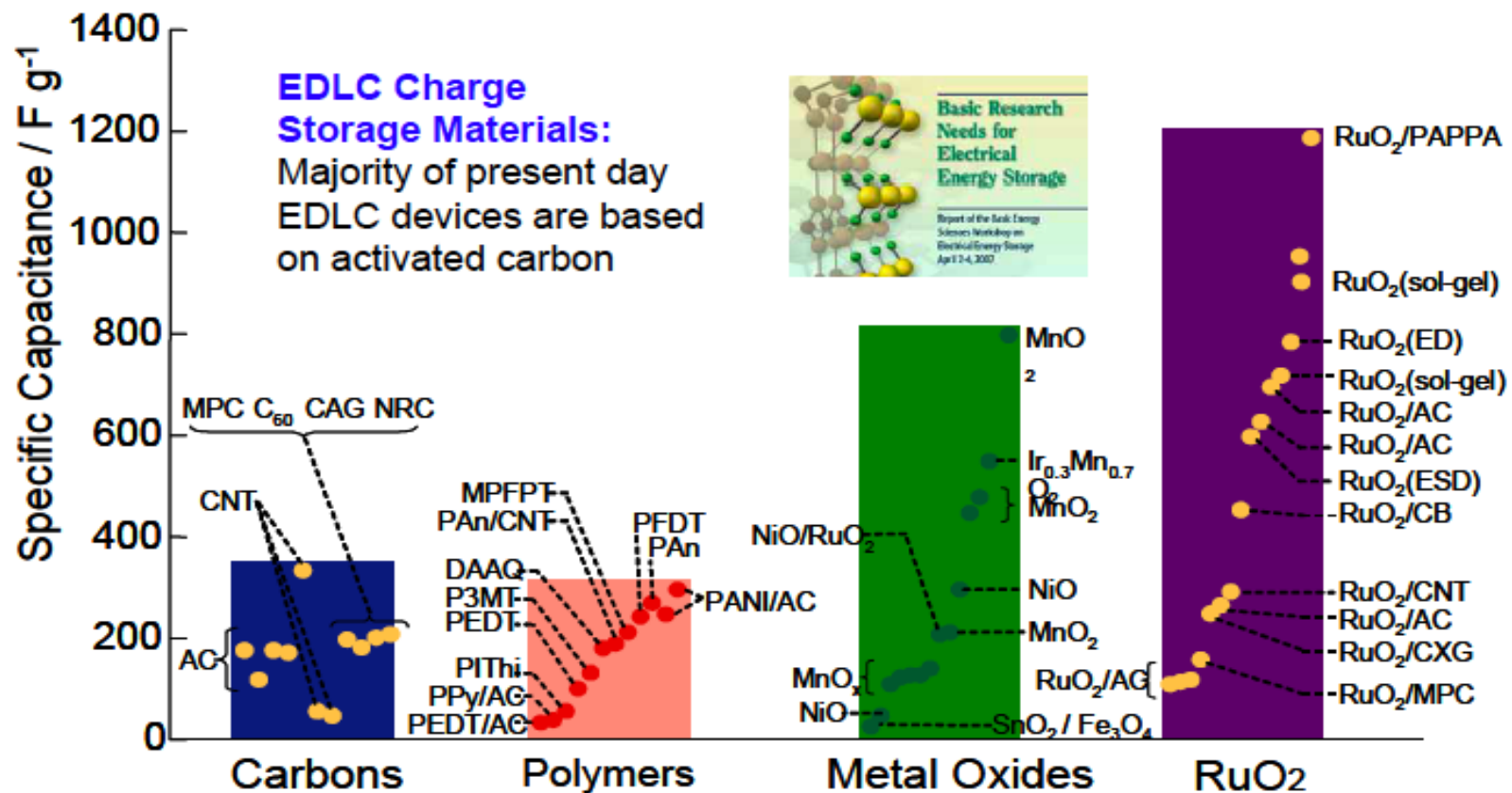
**Figure 3 | Relationship between MIM nanotubular structure and the parameters used to calculate total capacitance.** **a**, Plan-view SEM of an AAO MIM structure showing the hexagonal unit cell. **b**, Cross-section of the sample in **a**. **c**, Schematic of a unit cell of an AAO MIM capacitor defining the various parameters used to compute total capacitance of the structure. Here,  $t_{\text{TE}}$  is the thickness of the top electrode (TE),  $t_{\text{insulator}}$  is the thickness of the insulating film,  $t_{\text{BE}}$  is the thickness of the bottom electrode (BE),  $r_{\text{pore}}$  is the radius of the pore,  $D$  is the inter-pore edge-to-edge distance and  $L$  is the depth of the pores. The contribution to total capacitance comes from the sum of the top planar part  $C_{\text{planar}}$ , the cylindrical region of the pore  $C_{\text{pore}}$ , and the bottom part of the pore  $C_{\text{bottom}}$  next to the barrier layer.



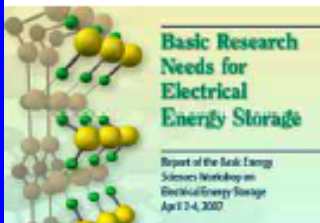
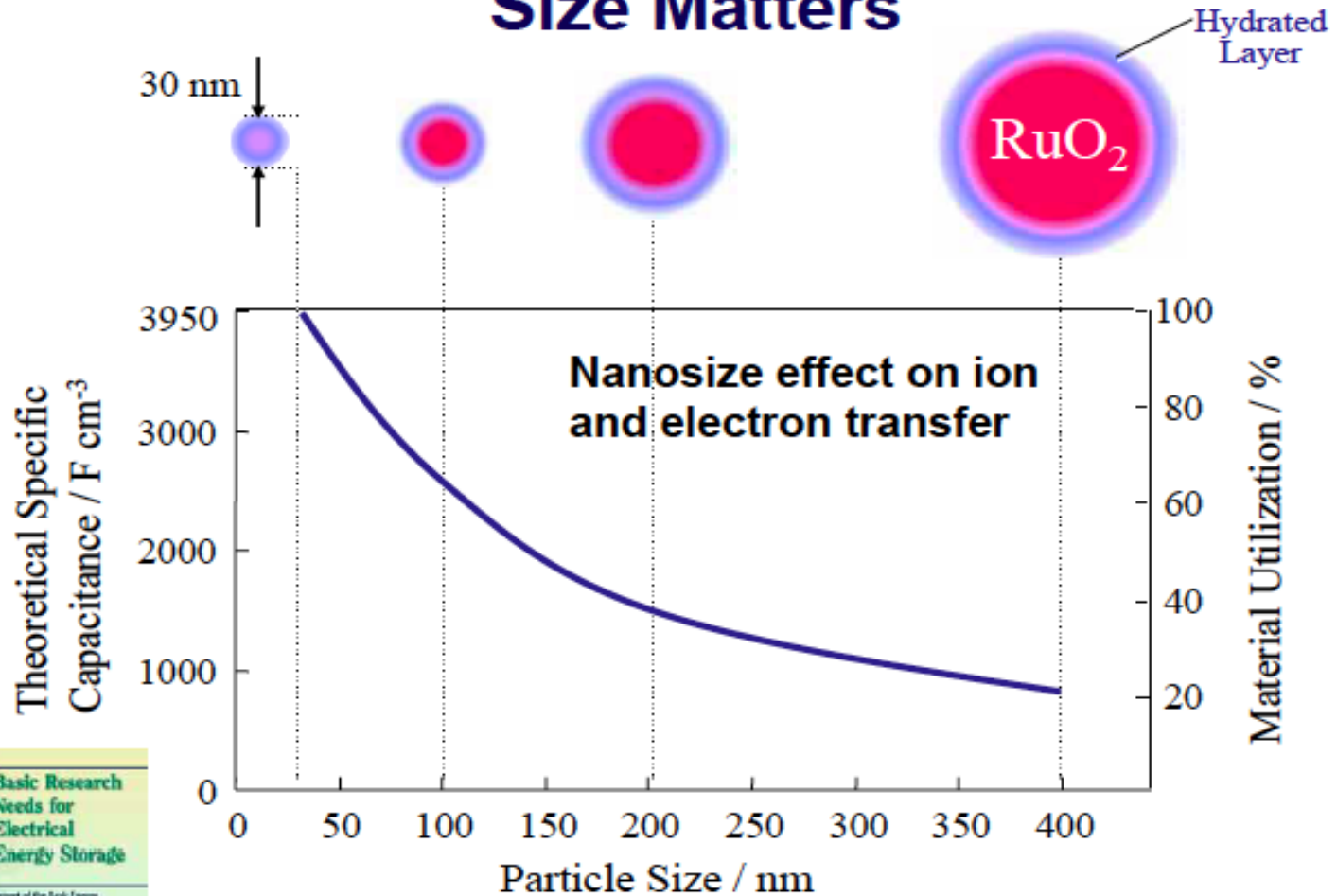
**Figure 4 | Process sequence to prepare MIM capacitors.** **a**, Al foil is anodically bonded to a glass substrate. **b**, AAO pore formation. **c**, MIM deposition via ALD processes. **d** Electron-beam Al is deposited on top. **e**, Photolithography, masking and etching of the Al electrode, then the top electrode (TE) TiN, to define the capacitor area. **f**, Electrical testing using the Al foil (which is in contact with the bottom electrode TiN) as a back contact and electron-beam Al as the top contact. **g**, Two-inch wafer with capacitors of different areas defined on the surface. **h**, A blown-up image of an actual 'dot' capacitor tested. Each such dot capacitor is 125  $\mu\text{m}$  wide and contains  $\sim 1 \times 10^6$  nanocapacitors.

# Fractal capacitors in nano structures

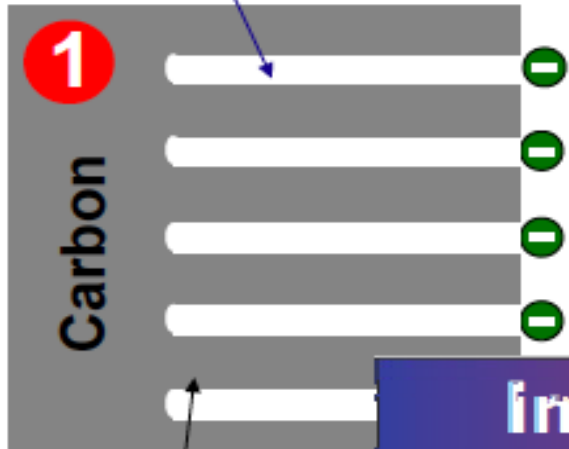
## Materials for Supercapacitors



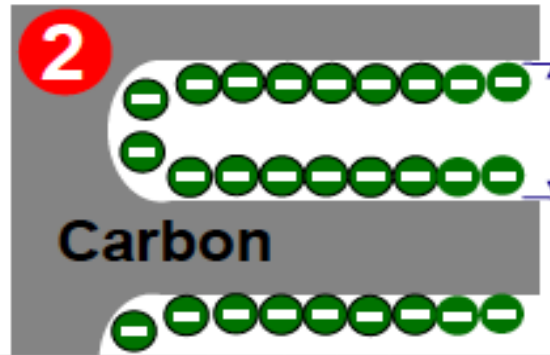
# Size Matters



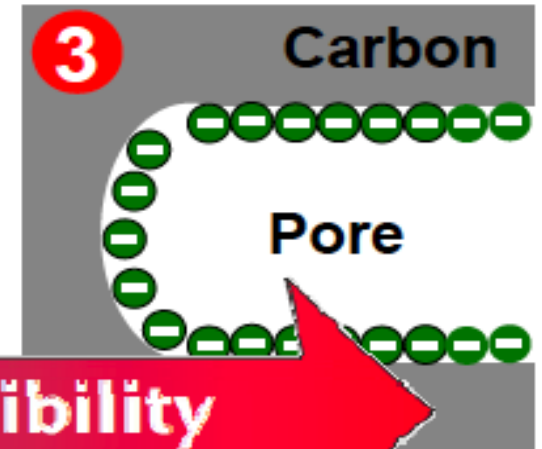
Too small pore size



Ideal pore size

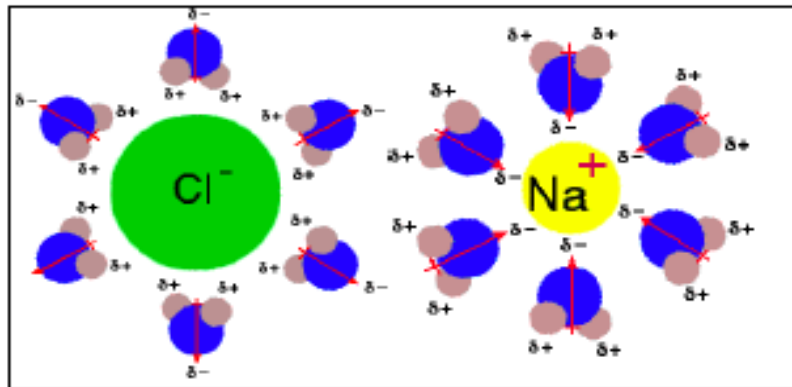


Too large pore size



**Increasing accessibility**

**Decreasing surface area**



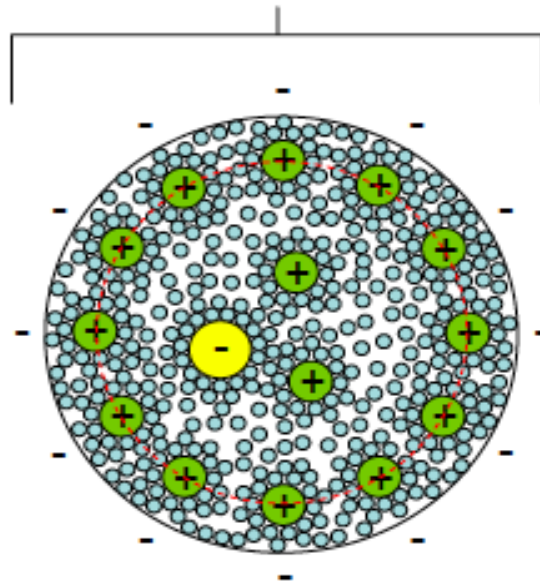
Conventional wisdom says increasing surface area is only good inasmuch as the pores are large enough to accommodate the ion and its solvation shell

M. Endo *et al.*, *Carbon* 40, 2613 (2002).

M. Hahn *et al.*, *Electrochemical and Solid-State Letters* 7, A33 (2004).

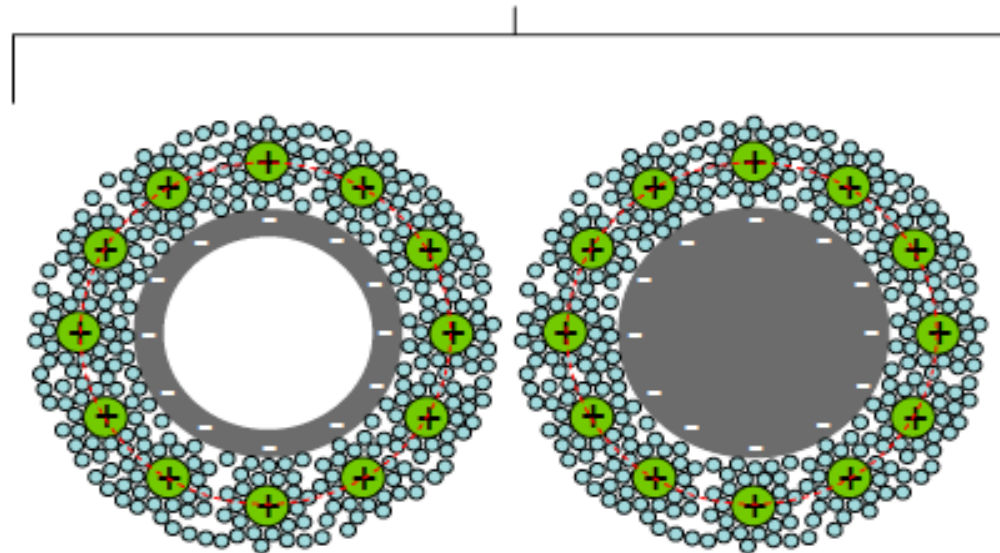
# Double cylinder capacitor

Endohedral type



Nanoporous carbons

Exohedral type

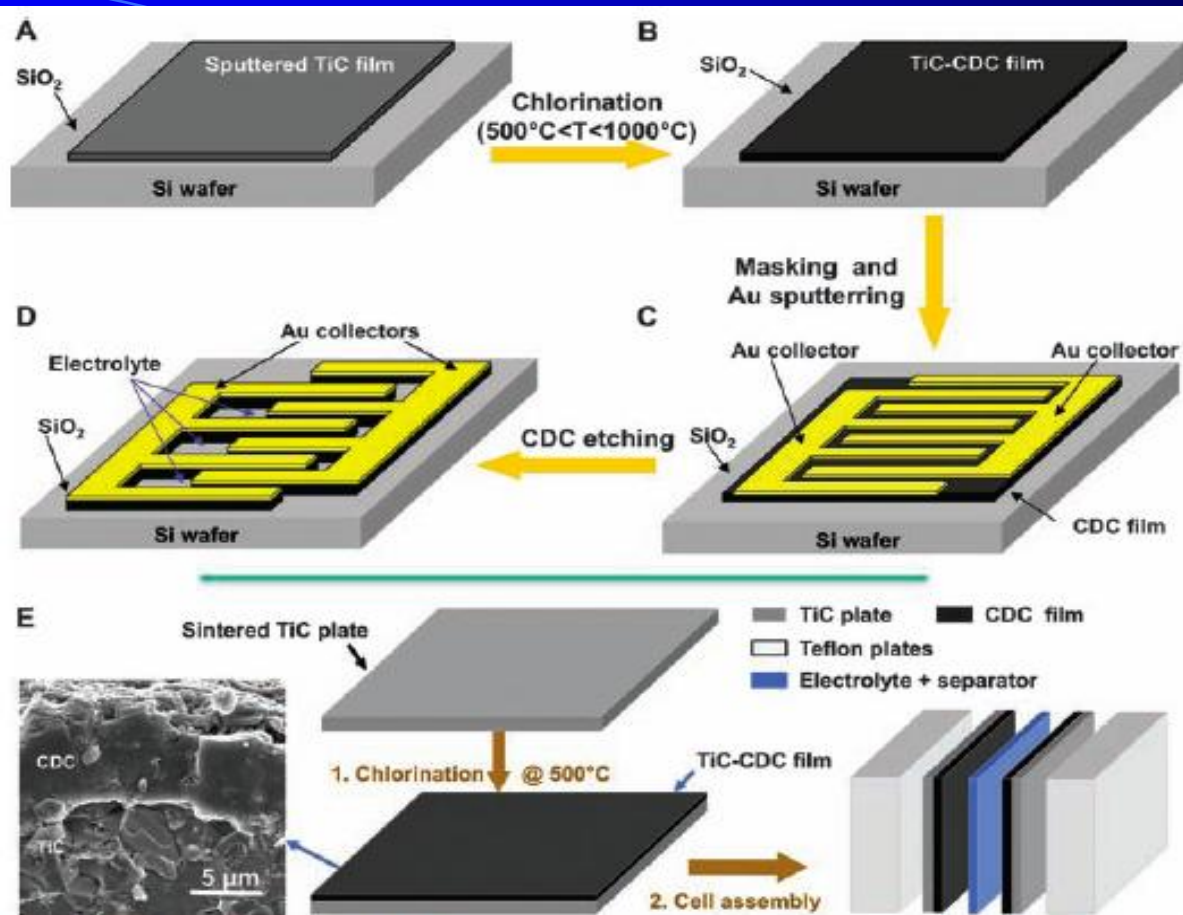


Nanotubes (hollow)

Nanowires (solid)

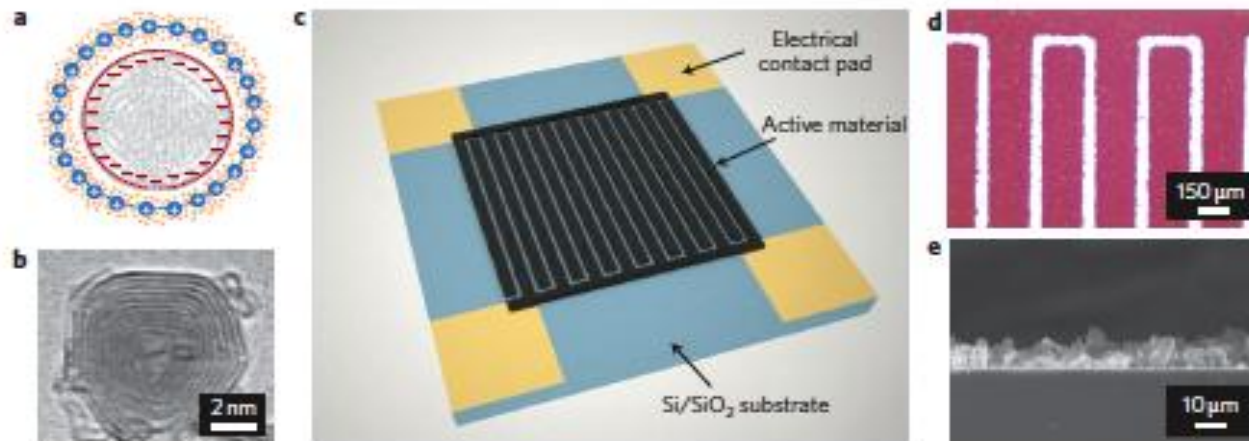
With double layer formed in the pores, it should be a double cylinder capacitor, not a parallel plate capacitor.

Huang, J.; Sumpter, B. G.; Meunier, V. *Angew. Chem. Int. Ed.* 2008, 47, 520.



**Fig. 1.** (A to D) Schematic of the fabrication of a micro-supercapacitor integrated onto a silicon chip based on the bulk CDC film process. Standard photolithography techniques can be used for fabricating CDC capacitor electrodes (oxidative etching in oxygen plasma) and deposition of gold current collectors. (E) CDC synthesis and electrochemical test cell preparation schematic. Ti is extracted from TiC as TiCl<sub>4</sub>, forming a porous carbon film. Two TiC plates with the same CDC coating thickness ranging from 1 to 200 μm are placed face to face and separated by a polymer fabric soaked with the electrolyte. SEM micrograph shows a representative image of a CDC/TiC interface, with a good film adhesion and a glassy film fracture surface typical of amorphous carbon.

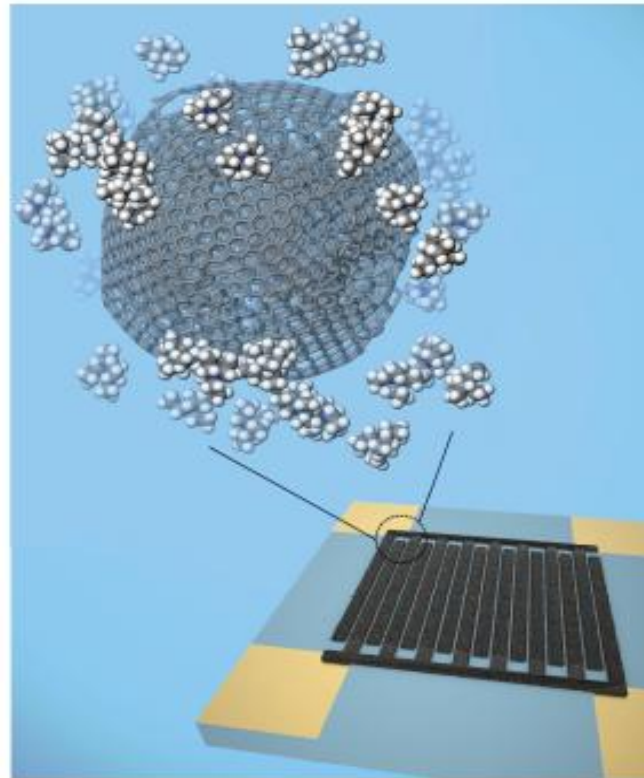




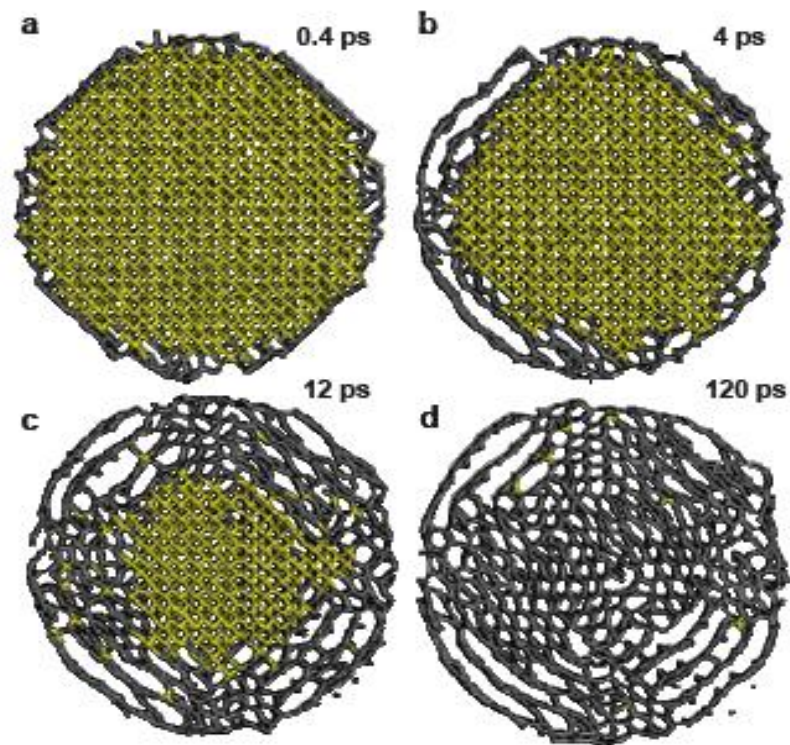
**Figure 1 | Design of the interdigital microsupercapacitor with OLC electrodes.** **a**, Cross-section of a charged zero-dimensional OLC (grey) capacitor, consisting of two layers of charges (blue and pink) forming the inner and outer spheres, respectively. **b**, Transmission electron microscopy image of a carbon onion produced at 1,800 °C. Lattice spacing between the bent graphitic layers in the onions is close to 0.35 nm. **c**, Schematic of the microdevice (25 mm<sup>2</sup>). Two gold current collectors made of 16 interdigital fingers were deposited by evaporation on an oxidized silicon substrate and patterned using a conventional photolithography/etching process. Carbon onions (active material) were then deposited by electrophoretic deposition onto the gold current collectors. **d**, Optical image of the interdigital fingers with 100-μm spacing. **e**, Scanning electron microscope image of the cross-section of the carbon onion electrode. A volumetric power density of 1 kW cm<sup>-3</sup> was obtained with a deposited layer thickness in the micrometre range, not the nanometre range.

**Ultrahigh-power micrometre-sized supercapacitors  
based on onion-like carbon**

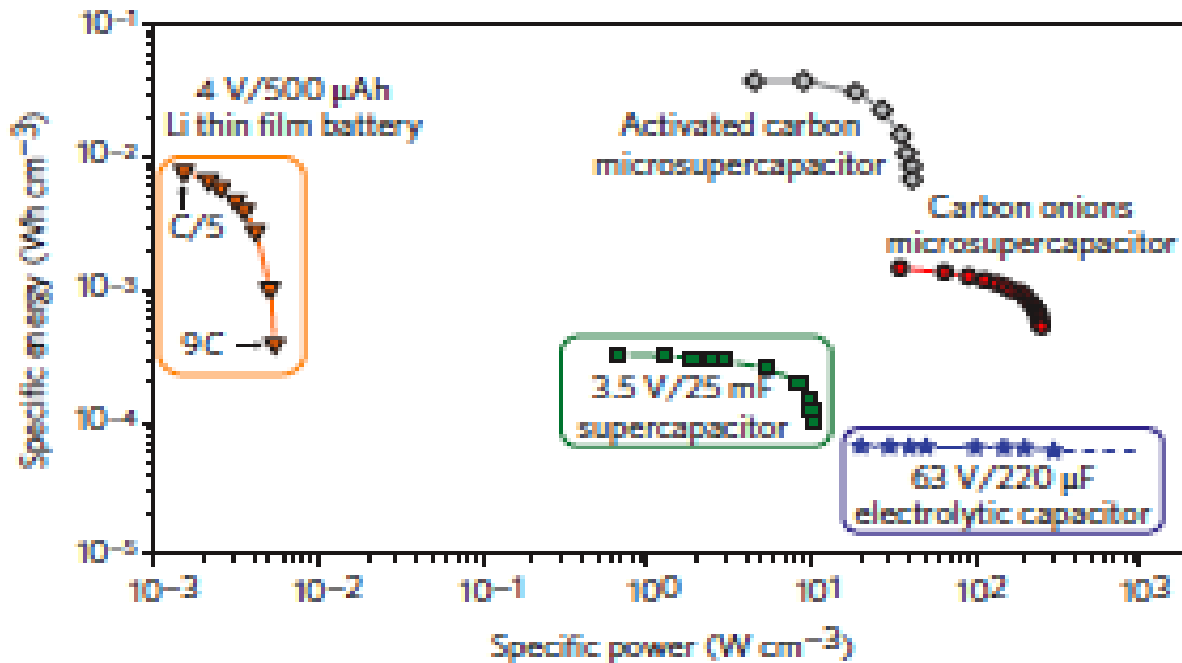
David Pech, Magali Brunet, Hugo Durou, Peihua Huang, Vadym Mochalin, Yury Gogotsi,  
Pierre-Louis Taberna and Patrice Simon



**Micro supercondensateur constitué de nano oignons de carbone déposé « pur »  
sur des microélectrodes en or en forme de doigts interdigités fabriquées sur lame de silicium**

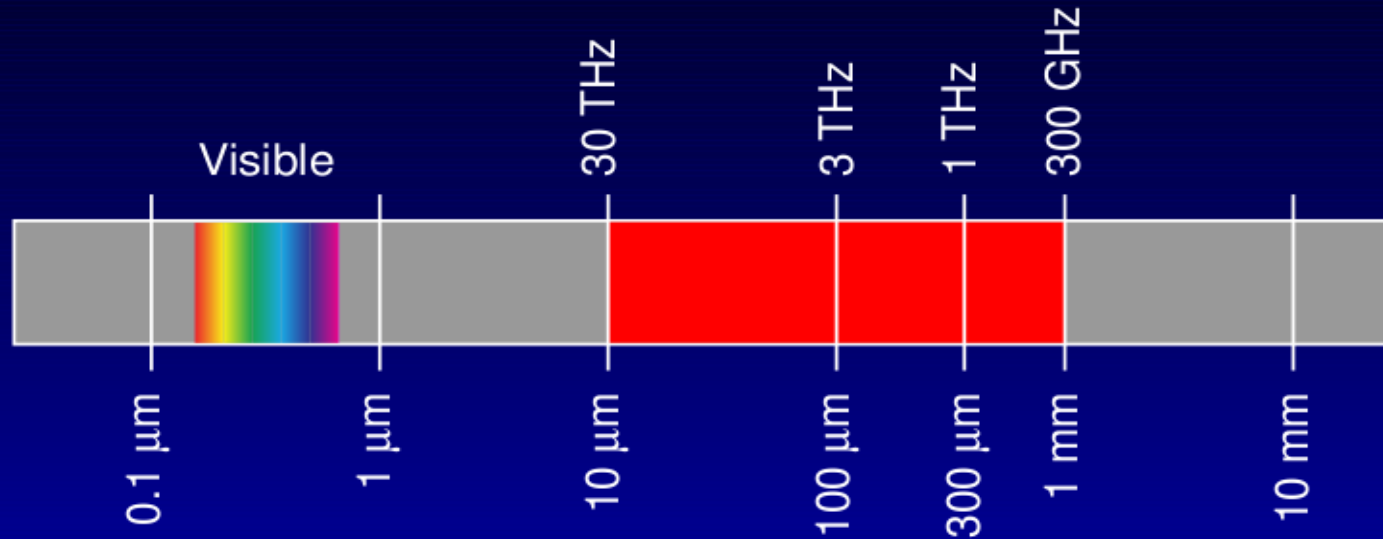


**Supplementary Figure S1.** Onion-like carbon. **a-d**, Molecular dynamics simulation of evolution of diamond into OLC for a nanodiamond crystal of 4 nm in diameter. 0.6 nm thick slices through the center of the particle are shown for four different times starting from the initial stage (diamond) to the fully formed graphitic onion.



**Figure 4 | Comparison, in a Ragone plot, of the specific energy and power density (per  $\text{cm}^3$  of stack) of typical electrolytic capacitors, supercapacitors and batteries with the microdevices. All the devices (macro and micro) were tested under the same dynamic conditions. A very high energy density was obtained with the AC-based microsupercapacitor, whereas ultrahigh power density was obtained with the OLC-based microsupercapacitor.**

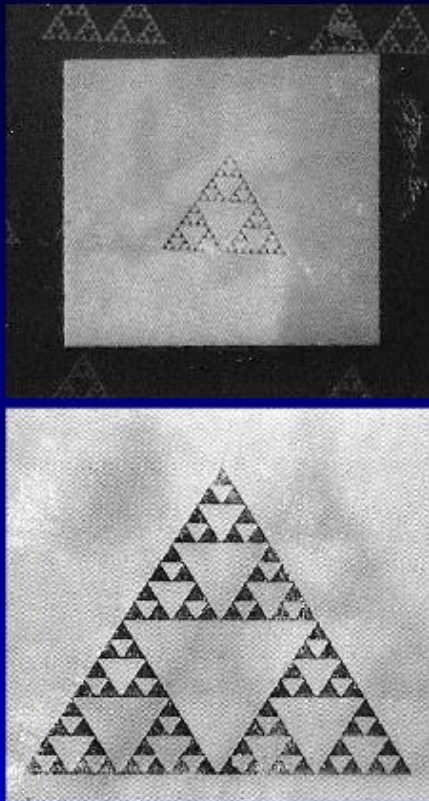
# What is THz?



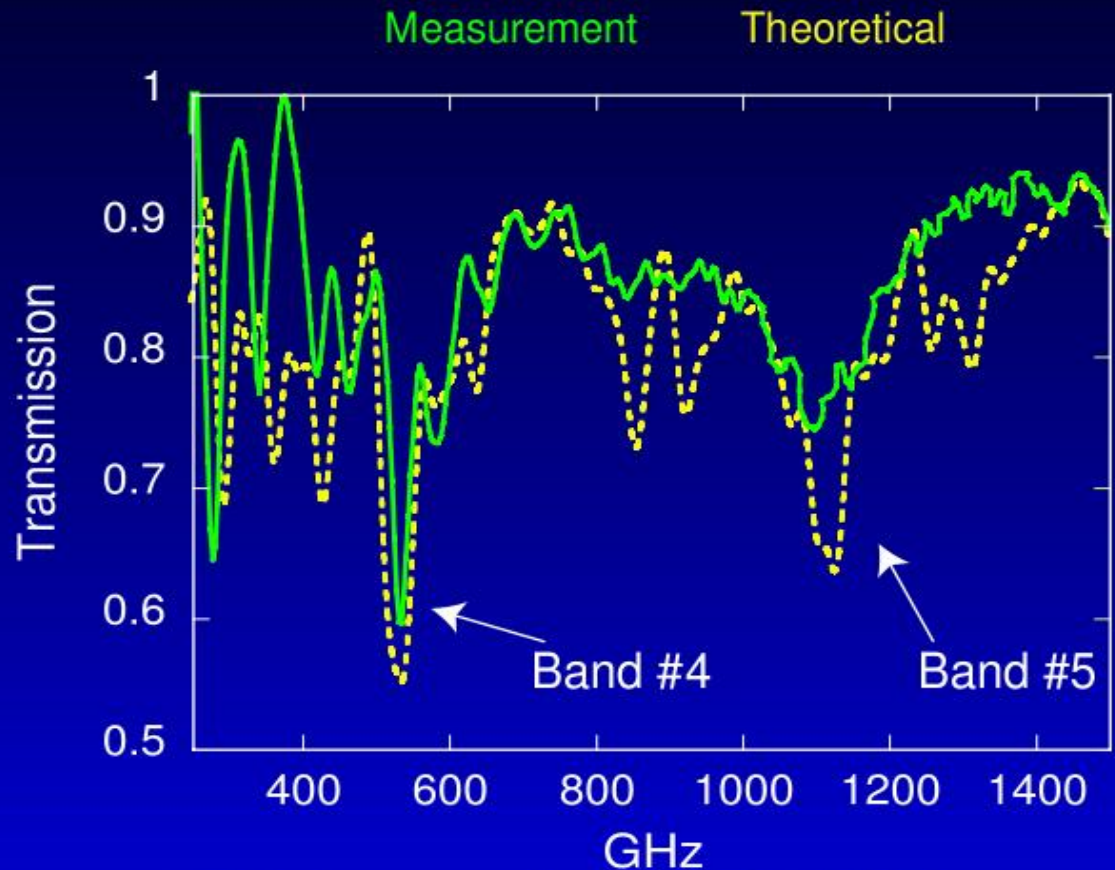
Go-between for Microwave and Optics

- 1THz =  $10^{12}$ Hz
- $\lambda = 300\mu\text{m}$  in vacuum
- $\Delta E = 4.1\text{meV}$

# Sierpinski Gasket – Isolated Antenna



Thin SiN<sub>x</sub> film  
(CEM2)



THz transmission (LAHC)

# Towards THz Integrated Sources

*After:*

*THz fractal antennas for electrical and optical semiconductor emitters and receptors*

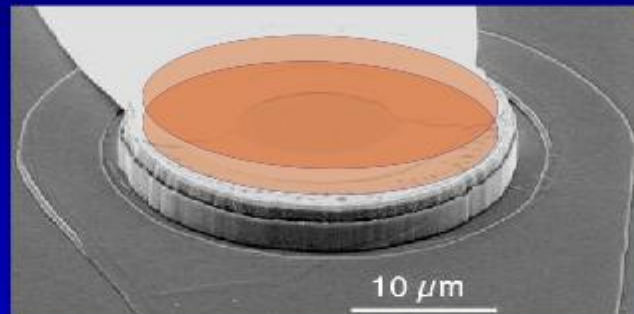
L. CHUSSEAU, C. GAUBERT, A. GIANI, D. GASQUET, F. GARET<sup>†</sup>,  
F. AQUISTAPACE<sup>†</sup>, L. DUVILLARET<sup>†</sup>, J.-L. COUTAZ<sup>†</sup> and W. KNAP<sup>‡</sup>

CEM2, UMR n5507 CNRS, Université Montpellier II, FRANCE

<sup>†</sup>LAHC, Université de Savoie, FRANCE

<sup>‡</sup>GES, UMR n5650 au CNRS, Université Montpellier II, France

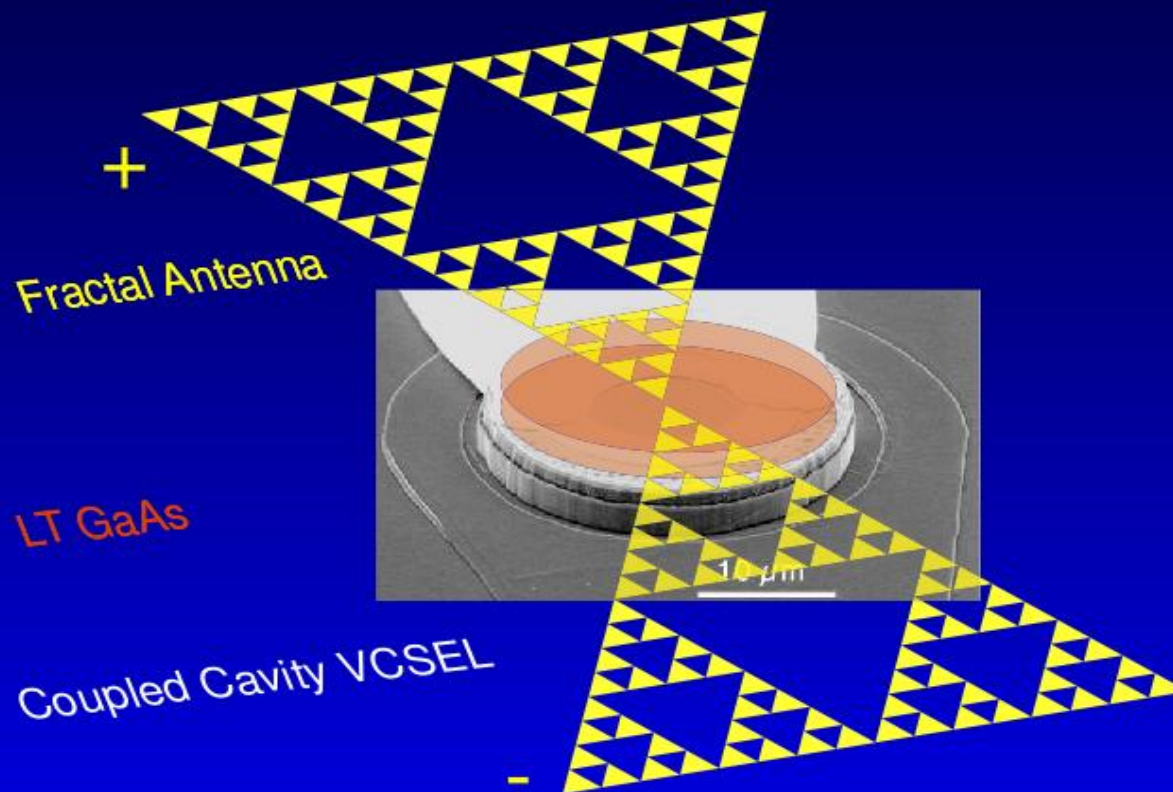
LT GaAs



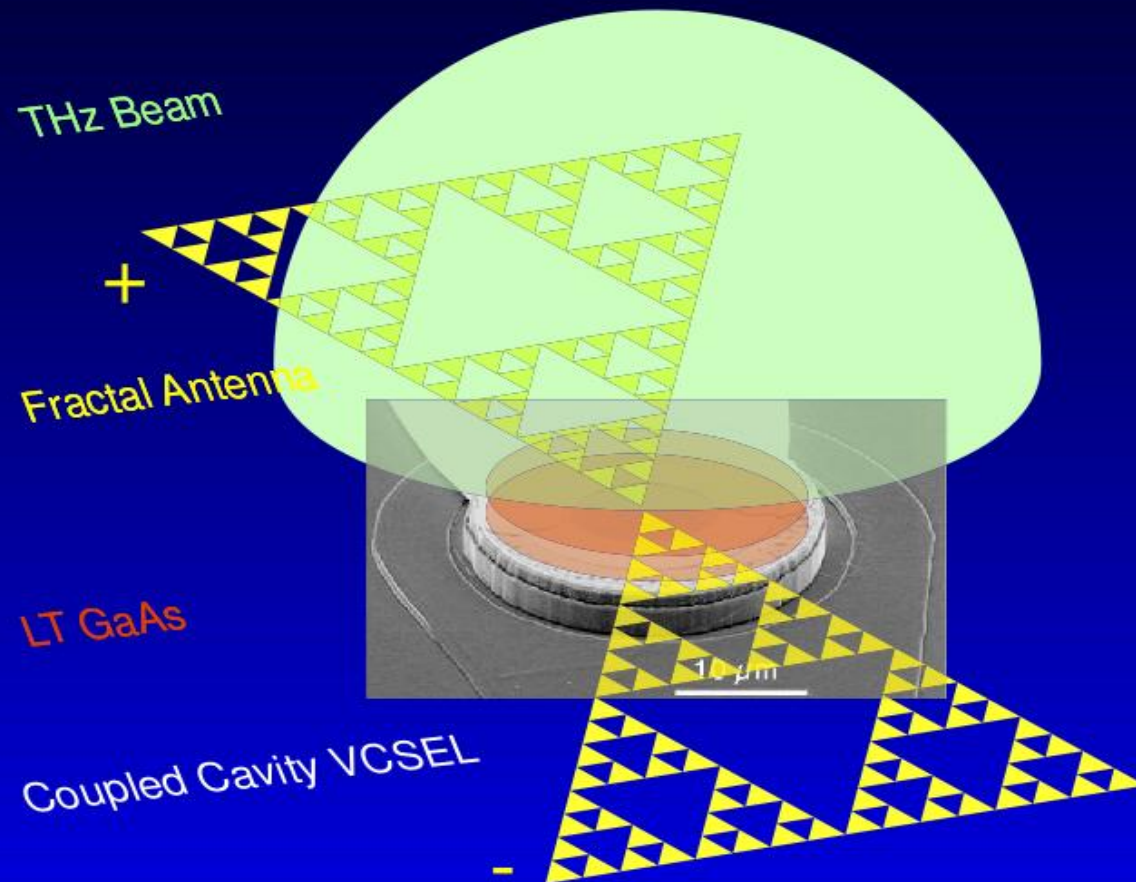
Coupled Cavity VCSEL



# *Towards THz Integrated Sources*



# *Towards THz Integrated Sources*



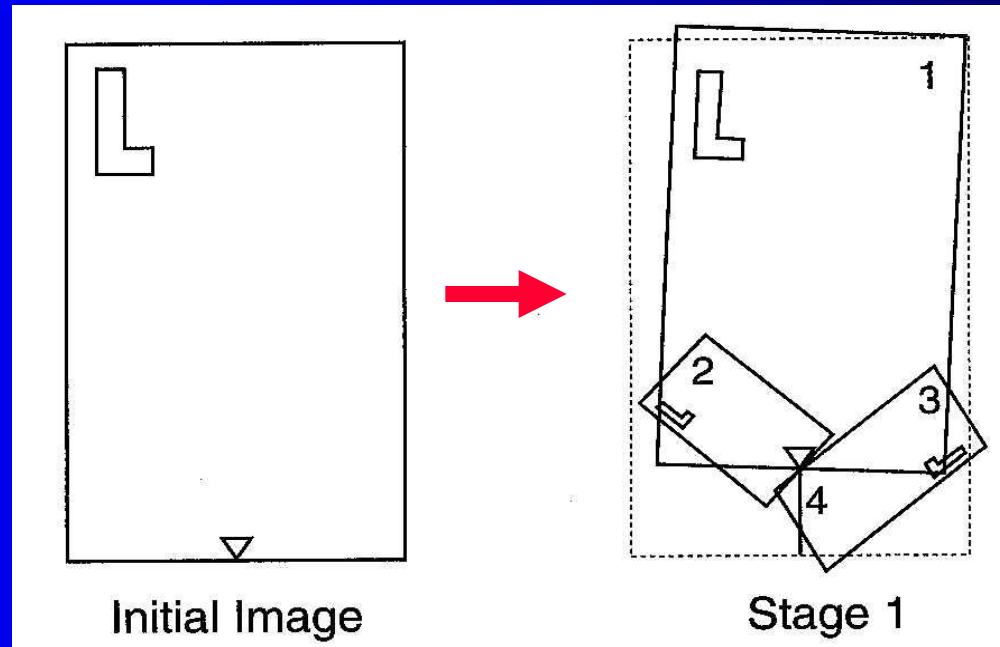
# ***APPLICATIONS***

## Encoding images

# APPLICATIONS

## Fractal from Iterative Function System

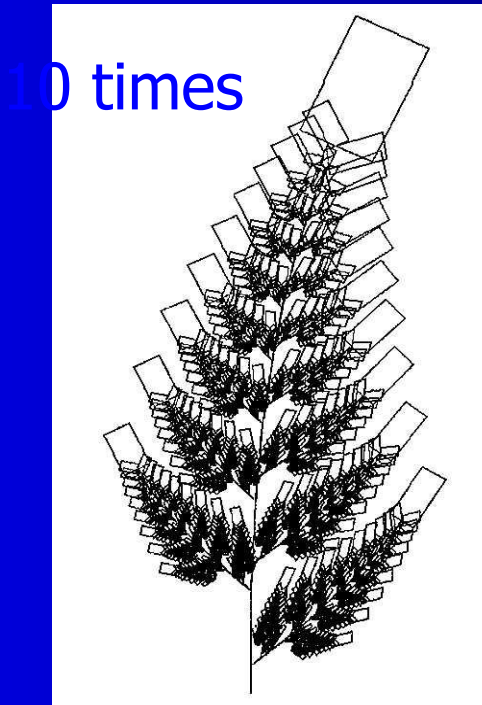
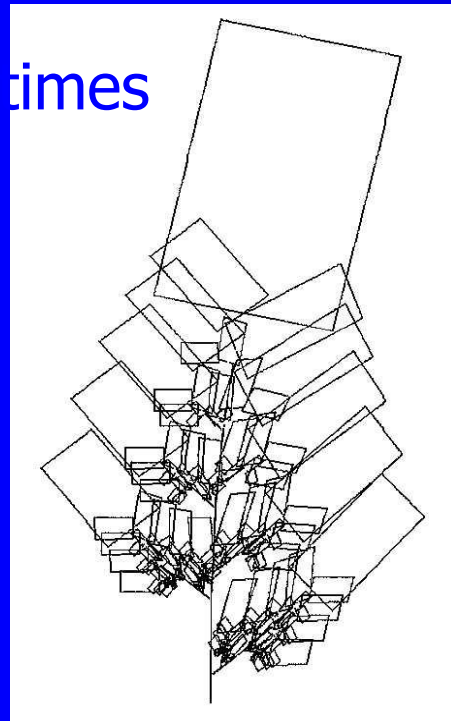
- IFS
  - Multiple Reduction Copy Machine



Blueprint for  
Barnsley's fern

# MRCM (IFS machine)

- Repeat the copying process  $x_{n+1} = W(x_n)$



# Very high compression ratio

- The whole fern is compressed to four set of numbers

Translation		rotation		scaling	
e	f	$\phi$	$\psi$	r	s
0	1.6	-2.5	-2.5	0.85	0.85
0	1.6	49	49	0.3	0.34
0	0.44	120	-50	0.3	0.37
0	0	0	0	0	0.16

- If each number needs 32 bits to represent, then we need  $32 \times 24$  bits for the coding. *If the picture is  $m \times n$  pixels,*
- *the compression rate =  $m \times n / 32 \times 24$  !! VERY HIGH!*

## Question: how long does decoding take?

- Suppose
  - The initial rectangle is 500x200 pixels
  - reduction factor = 0.85
- We want the object to shrink to one pixel in  $N$  steps.
  - $500 * 0.85^N = 1$
- Thus,  $N \approx 39$ .
- In each step, 4 times more rectangles are drawn. So, we need to draw
  - $1 + 4 + 4^2 + 4^3 + 4^4 + \dots + 4^{39} = (4^{39}-1)/3$  objects
  - i.e.,  $\approx 3 \times 10^{23}$  objects
- Suppose the computer draws 1 million rectangles per second. **We need about  $3 \times 10^{17}$  sec or  $10^{10}$  years to complete!**

# Problems

- How to decode in reasonably short time with reasonably good resolution?

*Deterministic (brute-force) approach*

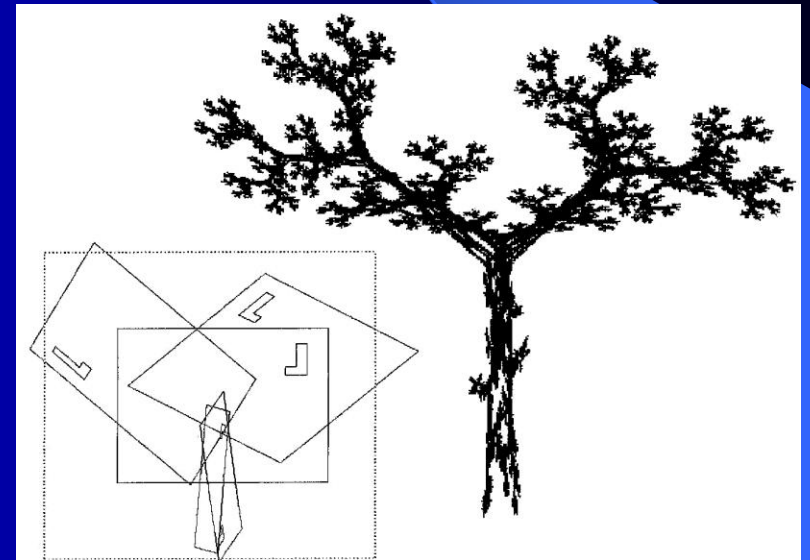
*Chaos approach*

*Adaptive cut approach*

- How to encode an image?

*Similarity method*

*- No efficient or general approach*





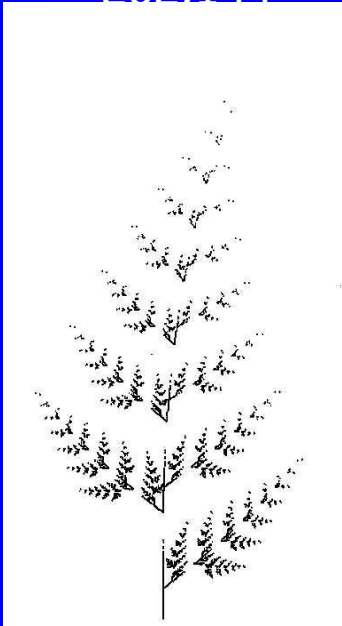
# Decoding

- IFS machine:  $y = w_1(x) \cup w_2(x) \cup w_3(x)$
- **Chaos approach:**
  - Iterate randomly, with weighted probability for each transformation
- **Adaptive cut approach:**
  - Stop iterating when neighboring points are close enough.

# Decoding

IFS

262,144



Chaos (equal prob)

100,000



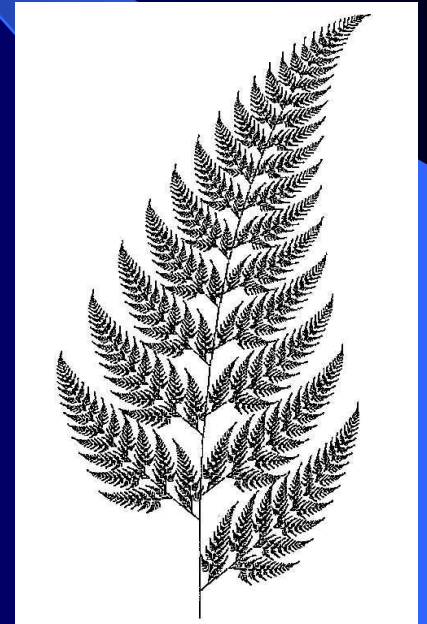
Chaos (weighted prob)

100,000

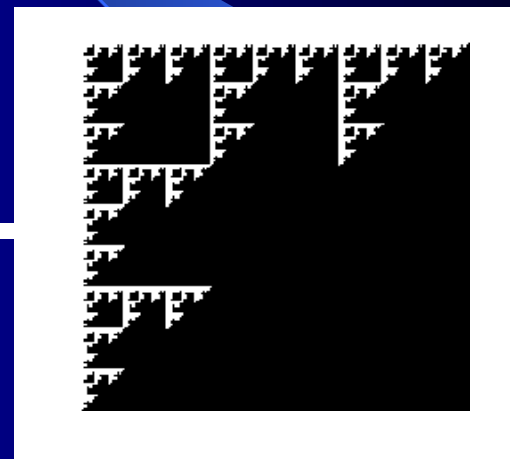
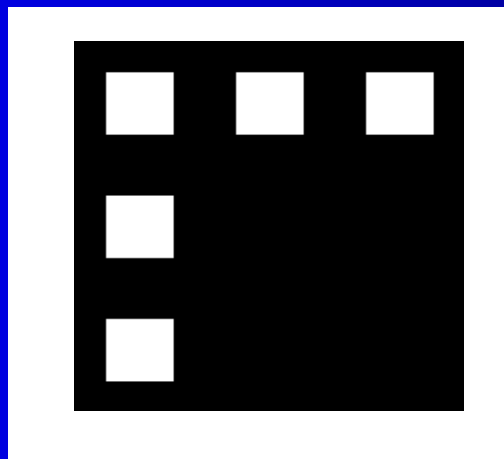
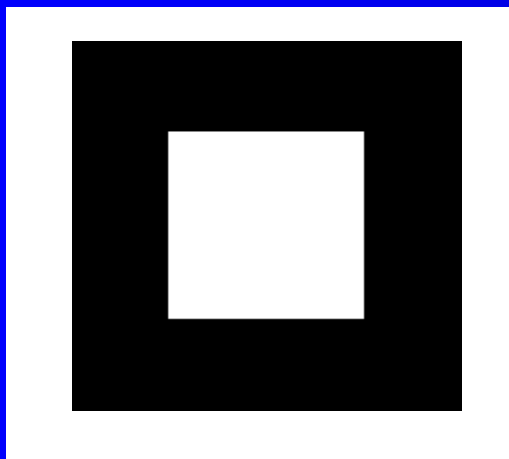


Adaptive cut

198,541



# Example

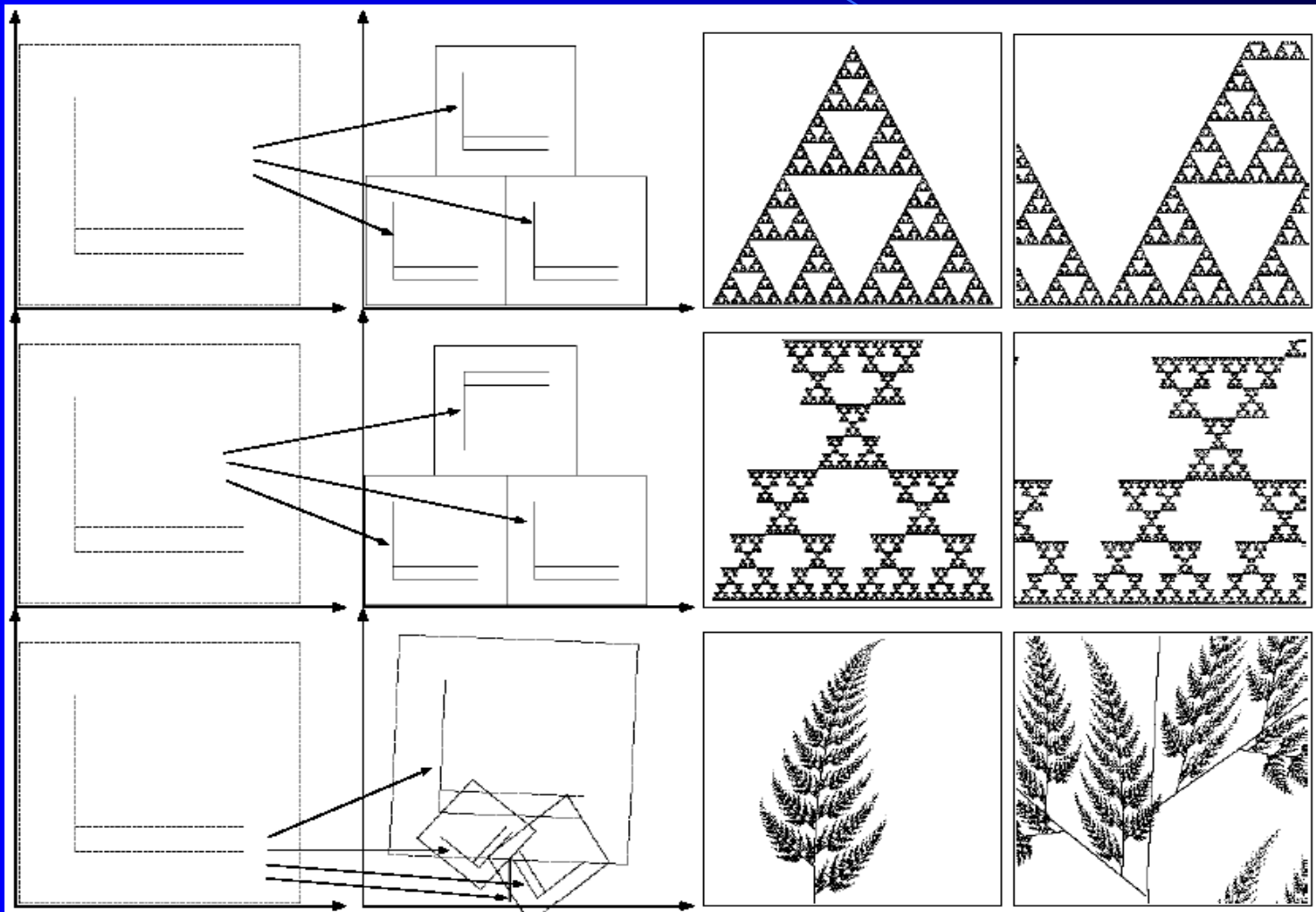


# Examples

The Transformation

Attractor

Zoom in



# Contractive Mapping

Let  $(X, d)$  be a metric space.

A map  $w: X \rightarrow X$  is **contractive**

if there exists a constant  $s \in [0, 1)$ ,  
such that  $\forall x, y \in X$ :

$$d(w(x), w(y)) \leq s d(x, y)$$

$s$  is called the **contraction factor** of  $w$ .

## An Example of Contractive Mapping

- Let  $d$  be the Euclidean distance.

Let  $w(x) = x/2$

Then it is easy to see that  $s = 1/2$ ,

Therefore  $w$  is a contractive mapping.

# Contractive Mapping Theorem

- If  $w$  is a contractive mapping then there exists a unique  $x^*$  such that

$$w(x^*) = x^*$$

and for any  $x \in X$ ,

$$\lim_{n \rightarrow \infty} d(w^{(n)}(x), x^*) = 0$$

# Contractive Mapping

Proving the uniqueness of the fixed point by contradiction:

Assume the fixed point is not unique.

Let  $x^*$  and  $y^*$  be the two fixed points

Since  $w$  is contractive,  $d(w(x^*), w(y^*)) \leq s d(x^*, y^*)$

But  $x^*, y^*$  are fixed points, so LHS =  $d(x^*, y^*)$ .

This is a **contradiction** because  $s < 1$ .



# Iterated Function System

- An IFS consists of
    - a complete metric space  $(X, d)$
    - a set of contractive mappings  $w_n$  defined on  $X$ .
- i.e.  $\{X, w_n: n=1, \dots, N\}$

# Hausdorff Distance

$$h(A, B) = \max(d(A, B), d(B, A))$$

$$d(A, B) = \max_a \min_b d(a, b)$$

For a metric space

$$h(A, B) = 0 \quad \text{iff } A = B$$

$$h(A, B) = h(B, A)$$

$$h(A, B) + h(B, C) \geq h(A, C)$$

# Definition of Fractal Transform

- Let  $(H(X), h)$  denote the metric space, s.t.
  - $H(X)$  consists of nonempty compact subsets of  $X$
  - $h$  is the Hausdorff Distance
- The fractal transform associated with an IFS is defined as  $W: H(X) \rightarrow H(X)$



for all  $B \in H(X)$

# The Contraction Mapping Theorem for Fractal Transform

- If  $w_i$  are contractive with the contraction factor  $s_i$ . Then  $W$  is also contractive with contraction factor

$$s = \max_i s_i$$

- $W$  is contractive, by contraction mapping theorem, there exists a unique fixed point  $A$  i.e.



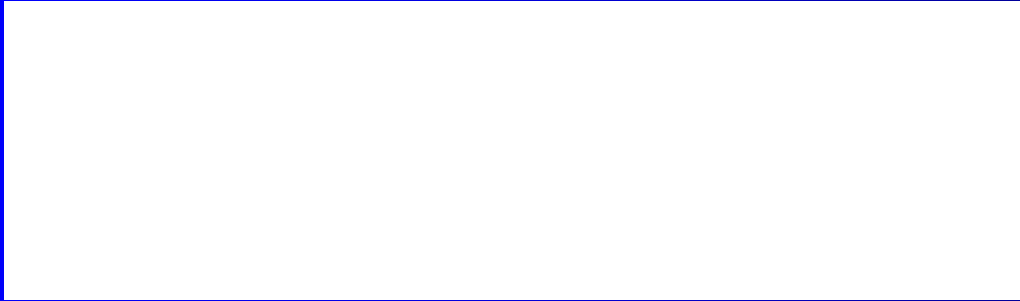
- $A$  is called the ***attractor*** of the IFS.

# Fractal Transform

- For all  $B \in H(X)$ ,  $\lim_{n \rightarrow \infty} W^{(n)}(B) = A$
- What does this say about coding?
- Encode an image  $I$  by with the IFS of  $I$
- Decode the image by  $I = \lim_{n \rightarrow \infty} W^{(n)}(J)$  where  $J$  is any random image.

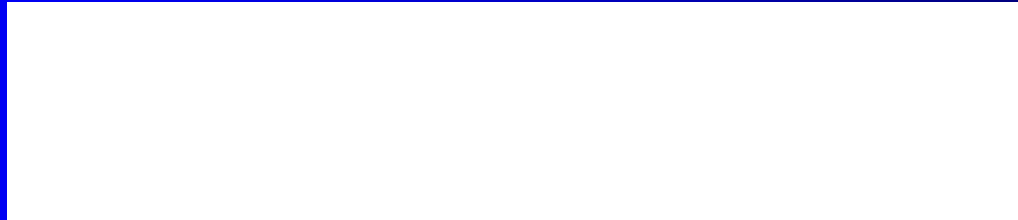
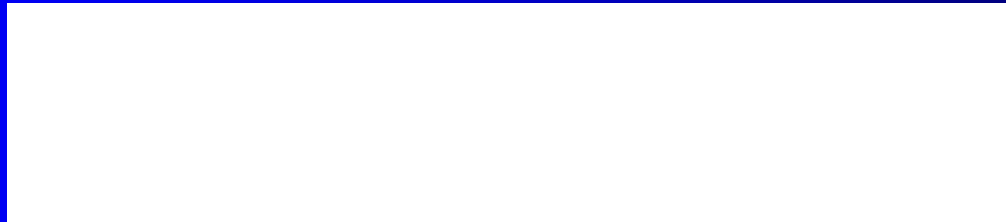
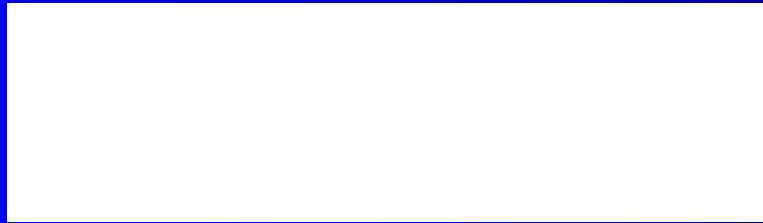
# Example

- $w_i$ 's are usually chosen to be affine transformations



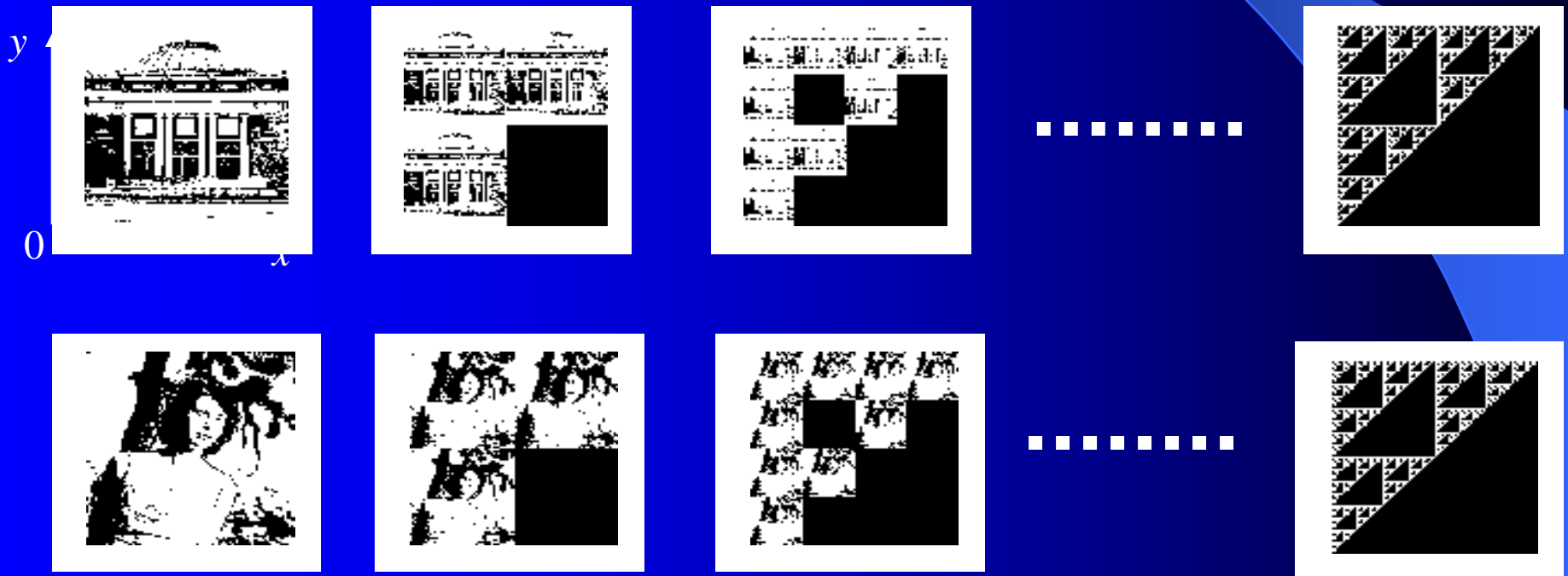
# Example

- Consider an IFS of the form  $\{R^2; w_1, w_2, w_3\}$



# Example (cont.)

- $A$  is completely described by  $W$  and is independent of  $B$





# Fractal Encoding

- Problem definition:

Given the image  $I$ ,  
Find an IFS s.t. its attractor is  $I$ .

- Several methods have been adopted
- Not solved in general case.
- The *Collage Theorem* provides a guideline.

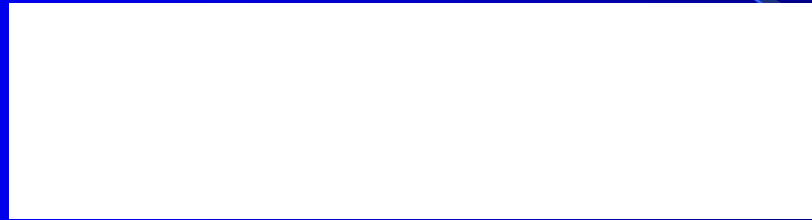
# The Collage Theorem

- For a set  $C$  and a contractive transform  $W$  with attractor  $A$ , there exists  $s \in [0, 1)$ ,



- IOW, to make  $C$  and  $A$  close, it is sufficient to make  $C$  and  $W(C)$  close.
- $W(C)$  is called the *collage* of  $C$ .

# Proving Collage Theorem

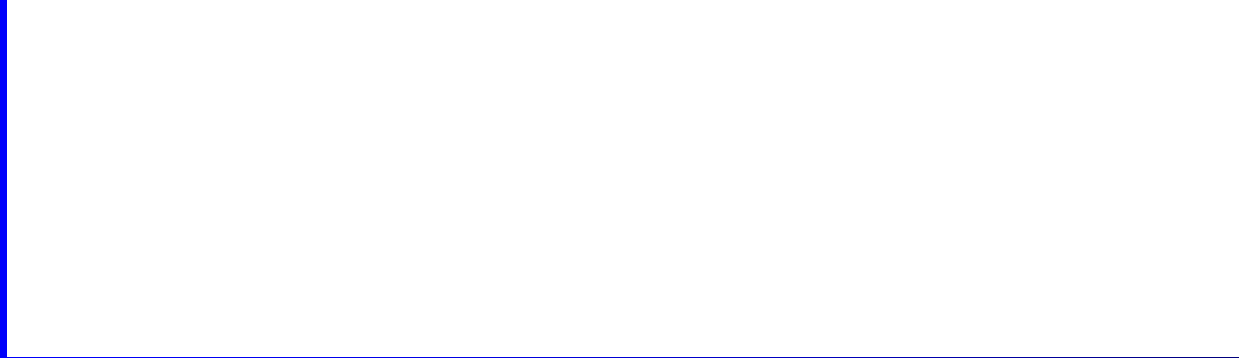


because  $A$  is an attractor

by def. of contractive transform

# The Collage theorem

- In terms of each mapping  $w_i$ ,



- $w_i$  can be found by partitioning  $C$  into parts  $C_i$ , s.t. each part is approximated by the contractive transformation  $w_i$  of the whole set  $C$ .



# Local Iterated Function Systems

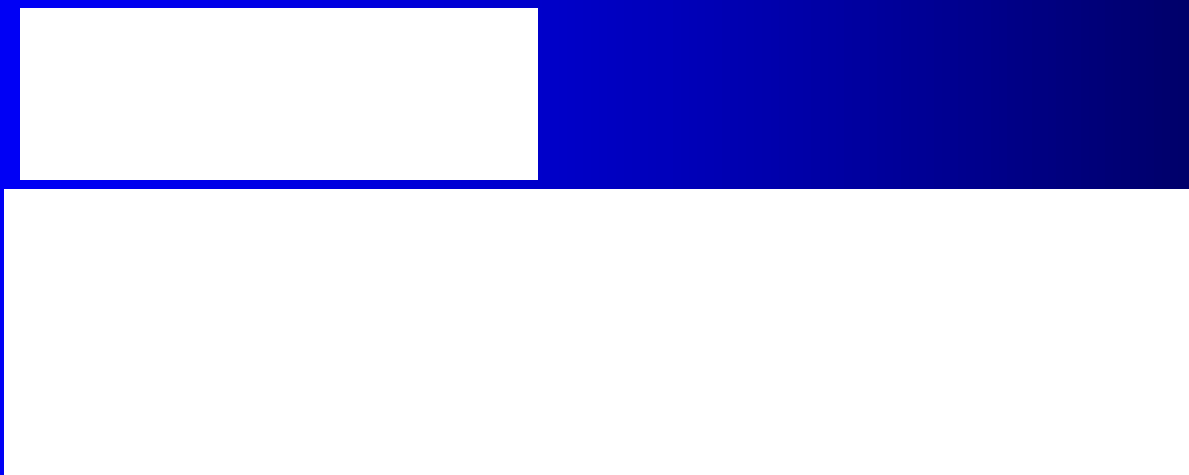
- Intuitions:
  - Natural images generally do not contain parts that are affine transforms of the whole image.
  - Different parts of the image may become similar under certain affine transformation.

# Local Iterated Function Systems



# Local Iterated Function Systems

- IFS
  - approximates each part of the image by a transformed version of the *whole* image
- **local** IFS
  - approximates each part of the image by a transformed version of the *another part* of the image



# Implementation Issues

- How to segment the image?
- What transformations to use?
- How to find the parameters of the transformations?
- Where to find the matching segments?



# Encoding Images

- Given an image  $f$
- How to find  $w_1, w_2, \dots, w_N$  s.t.  $f$  is the fixed point of  $W$ ?
  
- Partition  $f$  into  $N$  range blocks  $R_i$
- Find the domain blocks  $D_i$  and  $w_i(\cdot)$
- that minimize the distance  $d(R_i, w_i(D_i))$ ,  $i = 1, \dots, N$ 
  - The best matching domain  $D_i$  is said to *cover* the range  $R_i$

# Machine Problem 3

- Original Image 128 x 128
- Range blocks 4 x 4 → 1024 blocks (*non-overlapping*)
- Domain blocks 8 x 8 → 121 x 121  
=14641 (*overlapping*)
- Need to compare 14641 squares with each of the 1024 range blocks
- Since the size of domain block is 4 times the size of range block, we need to down-sample.

# Machine Problem 3

- $w_i$  include
  - translation and down-sampling

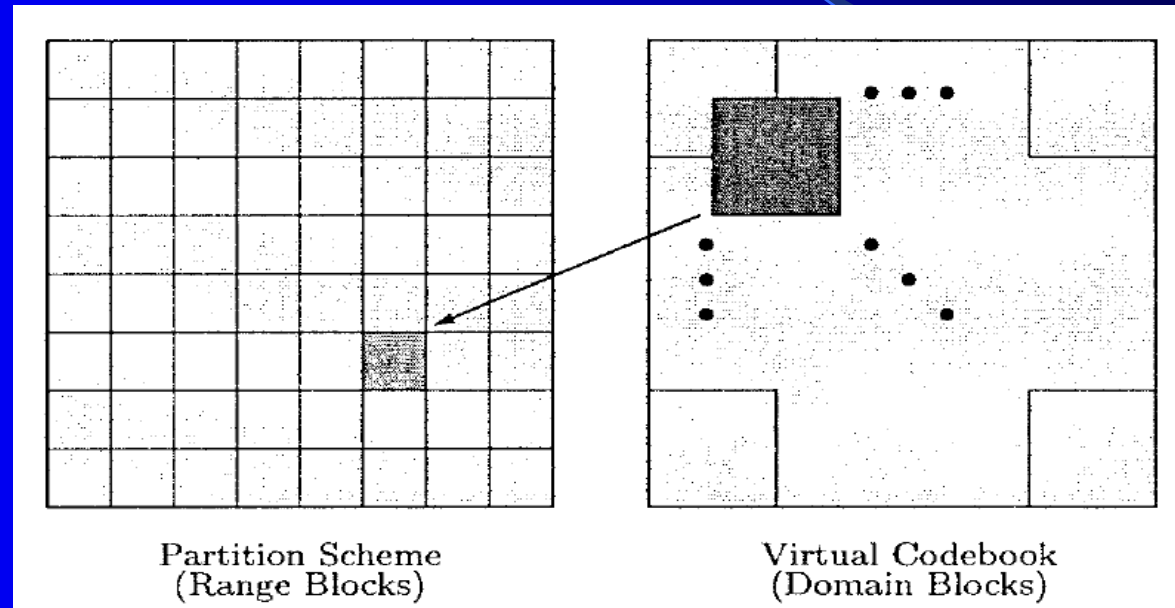


- adjust contrast  $a$  and brightness  $b$



# Encoding Images = Finding $w_i$

Search for best Spatial Transformation



Search for best Grayscale Transformation

$$a = \{0, 0.2, 0.4, 0.6, 0.8\}$$

$$b = \text{mean}(\text{range1D}) - a * \text{mean}(p);$$

# Things you can do for extra credits

- Add rotation and flip
  - Eight types of spatial transformations:
    - 1 ---> Rotate counterclockwise 0 degree.
    - 2 ---> Rotate counterclockwise 0 degree and flip.
    - 3 ---> Rotate counterclockwise 90 degree.
    - 4 ---> Rotate counterclockwise 90 degree and flip.
    - 5 ---> Rotate counterclockwise 180 degree.
    - 6 ---> Rotate counterclockwise 180 degree and flip.
    - 7 ---> Rotate counterclockwise 270 degree.
    - 8 ---> Rotate counterclockwise 270 degree and flip.

## Things you can do for extra credits

- Solve both a and b analytically
  - Minimize

$$error = \sum_{i=1}^n (a \cdot p_i + b - q_i)^2$$

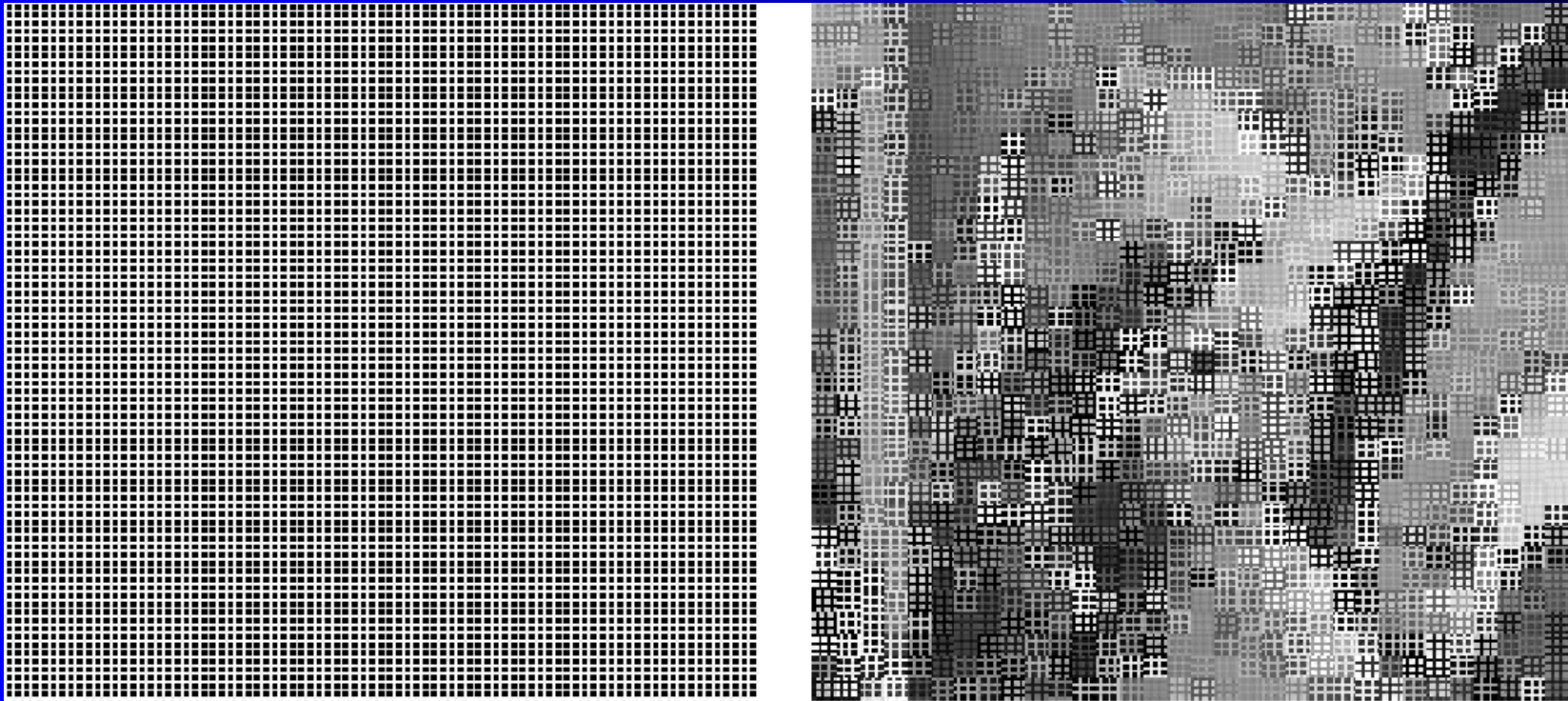
- By setting the partial derivatives to zero therefore

$$a = \frac{n^2 (\sum_{i=1}^n p_i q_i) - (\sum_{i=1}^n p_i) (\sum_{i=1}^n q_i)}{n^2 \sum_{i=1}^n p_i^2 - (\sum_{i=1}^n p_i)^2}$$

$$b = \frac{1}{n} \left[ \sum_{i=1}^n q_i - a \sum_{i=1}^n p_i \right]$$

# Results

- Left: original
- Right: after first iteration



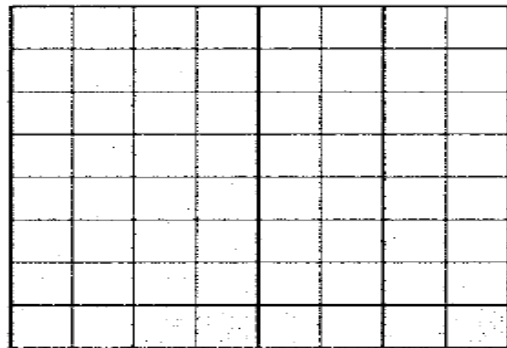
# Results

- Left: after the second iteration
- Right: After the tenth iteration

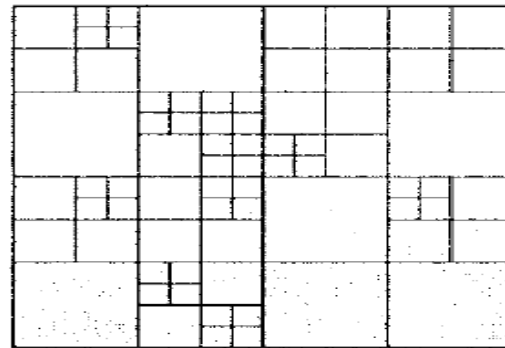




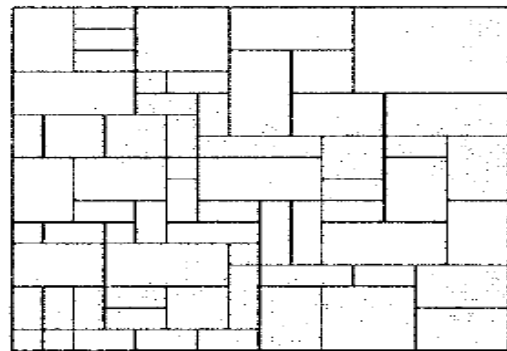
# Other Ways to Partition the Image



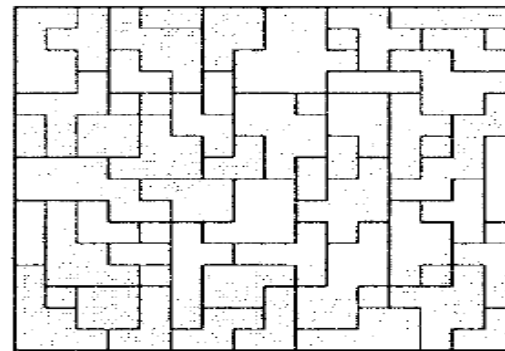
(a)



(b)



(c)



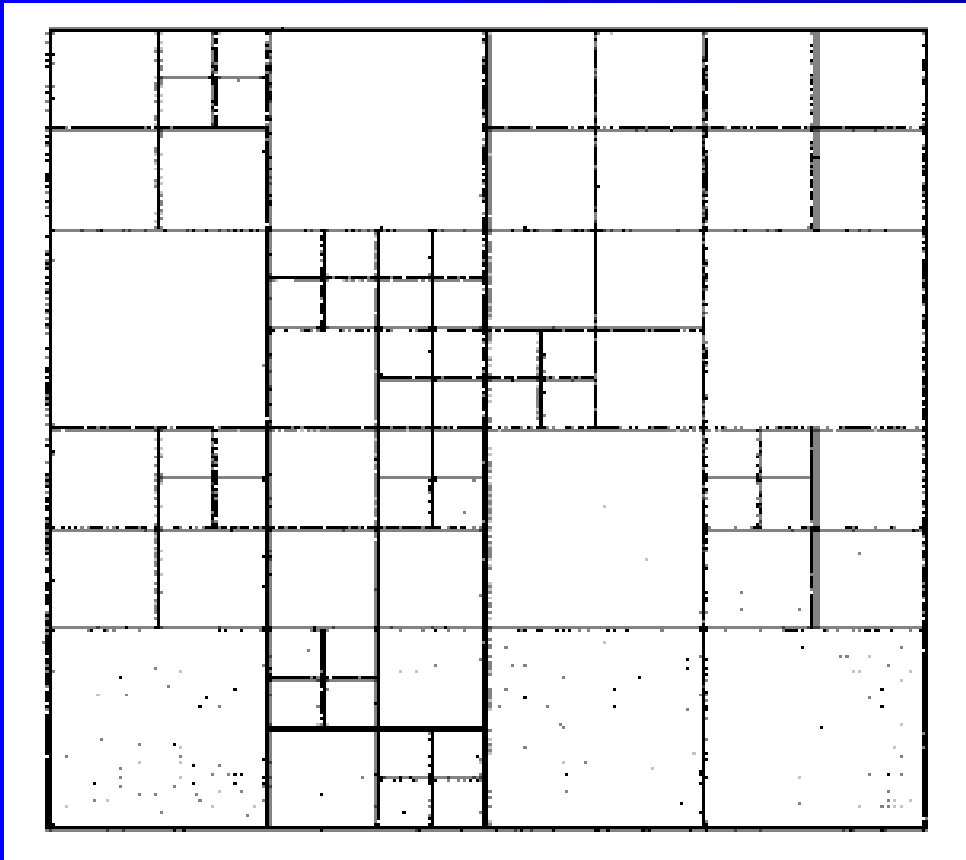
(d)

Fig. 2. Right-angled range partition schemes. (a) Fixed block size. (b) Quadtree. (c) Horizontal-vertical. (d) Irregular partition.

# Other Ways to Partition the Image

- Motivation:
  - Different regions should be covered by different sizes of range blocks.
- Quadtree partitioning
  - Divide a square into 4 equally sized sub-squares.
  - Repeat divisions recursively until the squares are small enough.

# An Example of Quadtree Partitioning



# Other Ways to Partition the Image

- Motivation:
  - Use rectangular instead of square
- HV-Partitioning
  - A rectangular image is recursively partitioned either horizontally or vertically to form two new rectangles.
  - More flexibility than Quadtree
  - Can make the partitions share certain similar structures.

# An Example of HV-Partitions

- HV-Partitions

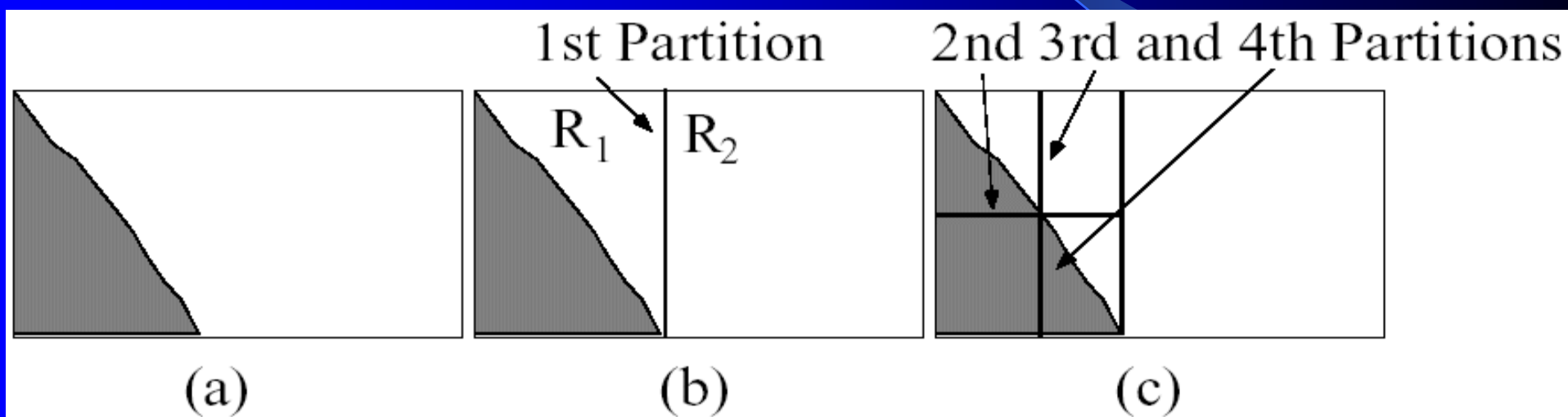


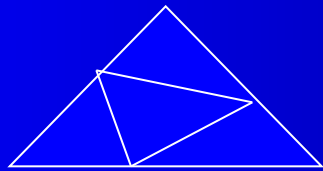
Figure 11. The HV scheme attempts to create self similar rectangles at different scales.

# Results Using HV-Partitions



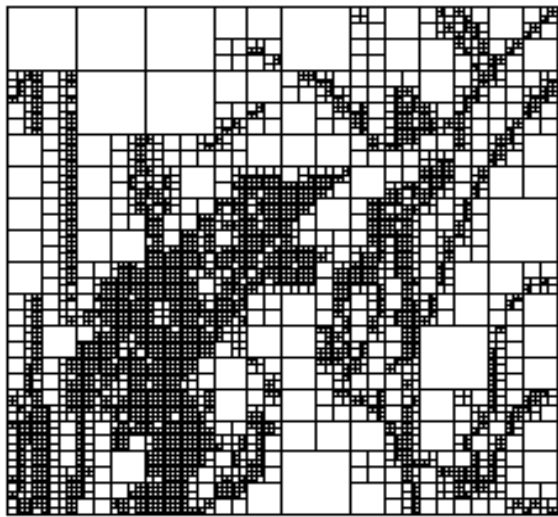
## Other Ways to Partition the Image

- Triangular partitioning
  - A rectangular image is divided diagonally into two triangles.
  - Each triangle is recursively subdivided into 4 triangles by joining 3 partitioning points on the sides of the original triangle.

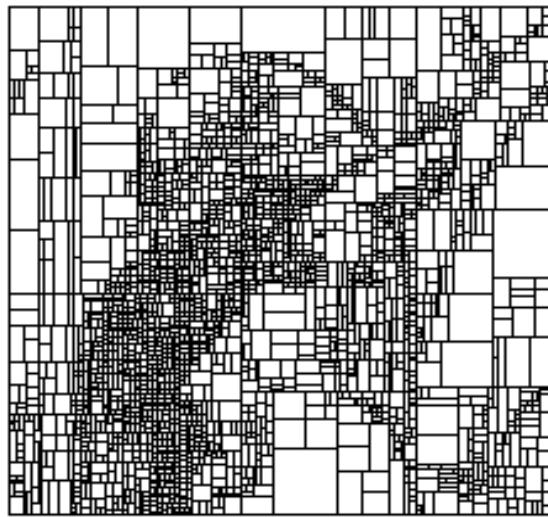


- More flexible: triangles can have self-similarities.
- The artifacts do not run horizontally and vertically.

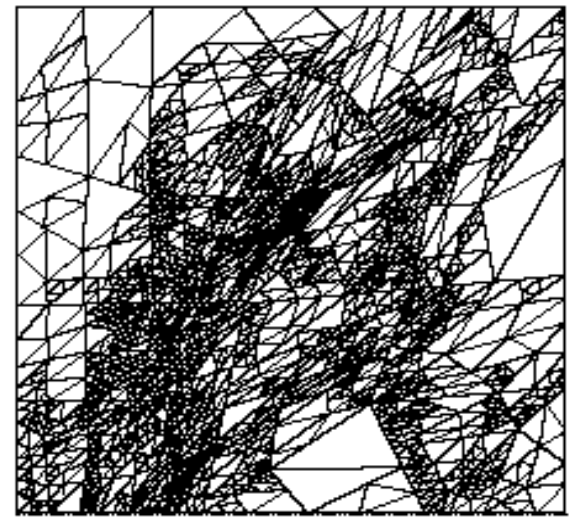
# Comparing Different Ways to Partition an Image



quad tree  
5008 squares



HV-partition  
2910 rectangles



triangular partition  
2954 triangles

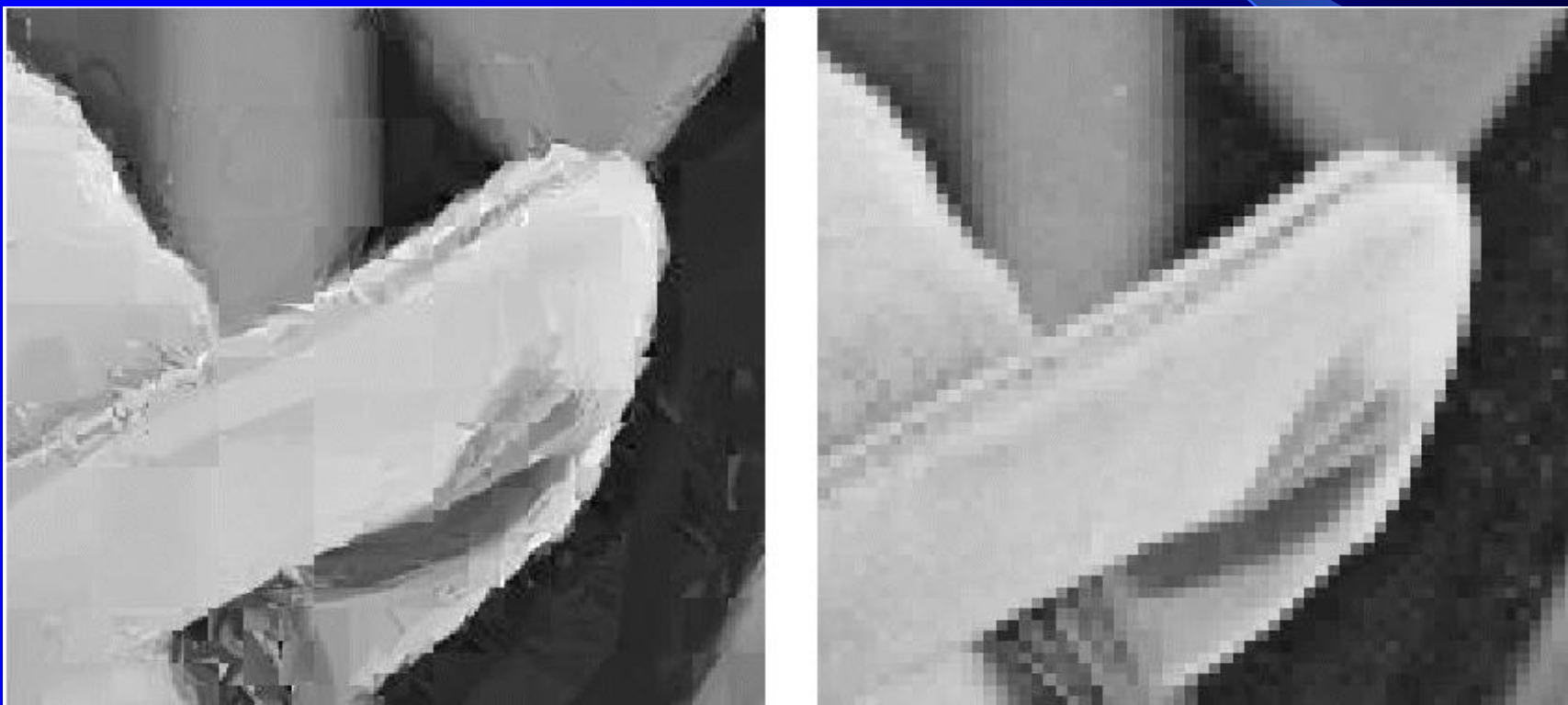


# Fractal Zoom

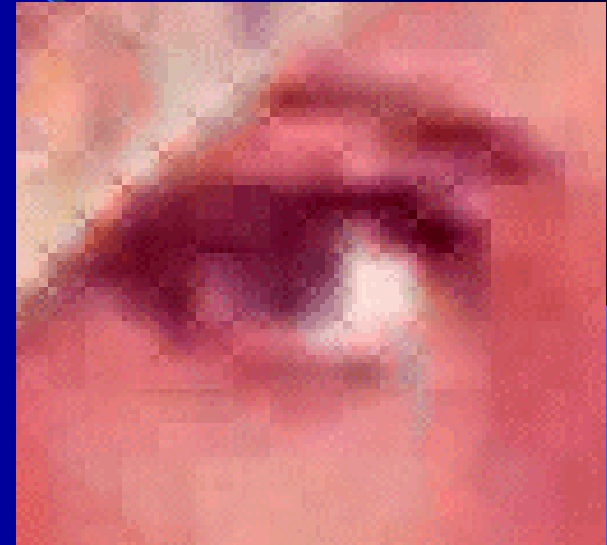
- Resolution Independence
  - Decoded image can have higher resolution than the original image.
- The additional resolution is generated because the domain block is larger than its range block.
- Assumption: details of the domain block is also similar to details of the range block,
  - although details of the range block are not given in the original image.

# Fractal Zoom

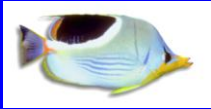
- Left: Decoding at 4 times its encoding size
- Right: Original image enlarged to 4 times the size



# Fractal Zoom



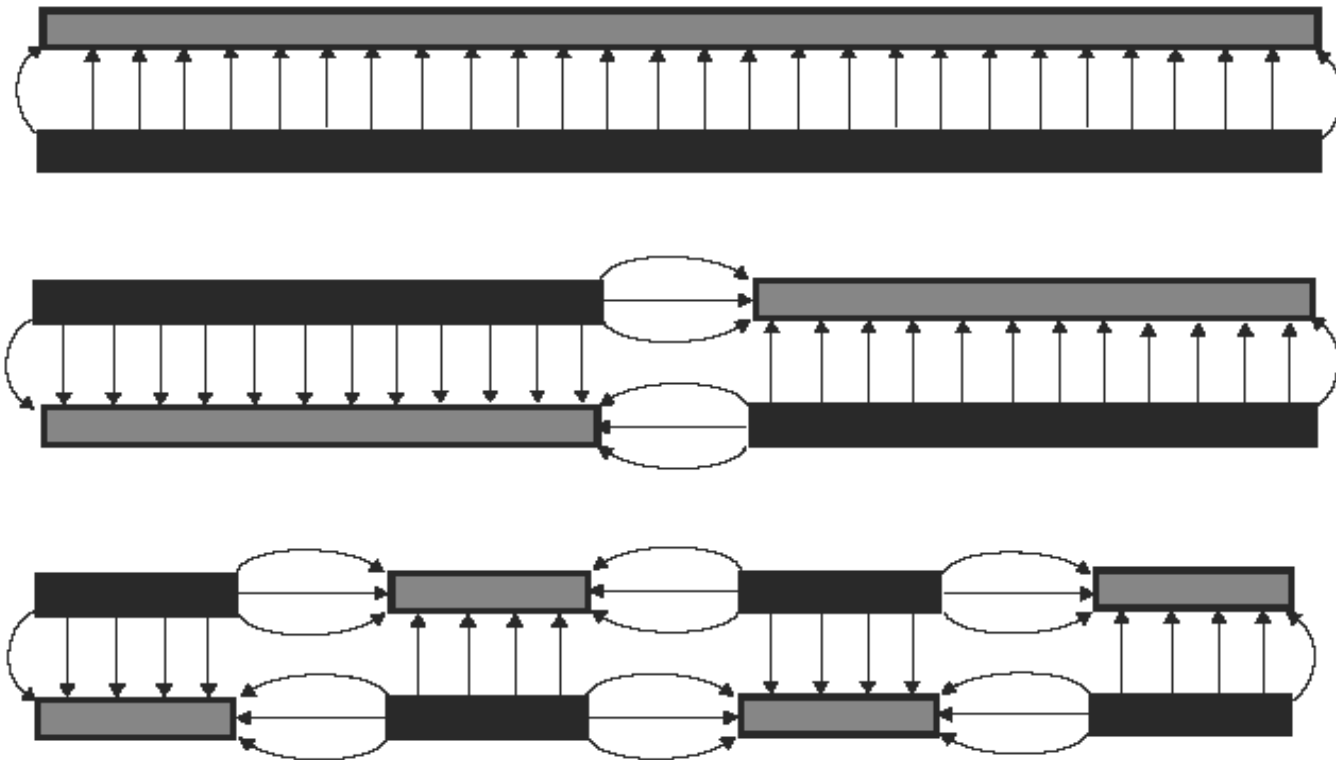
# Fractal Zoom



# Vertical vs. Lateral Flux

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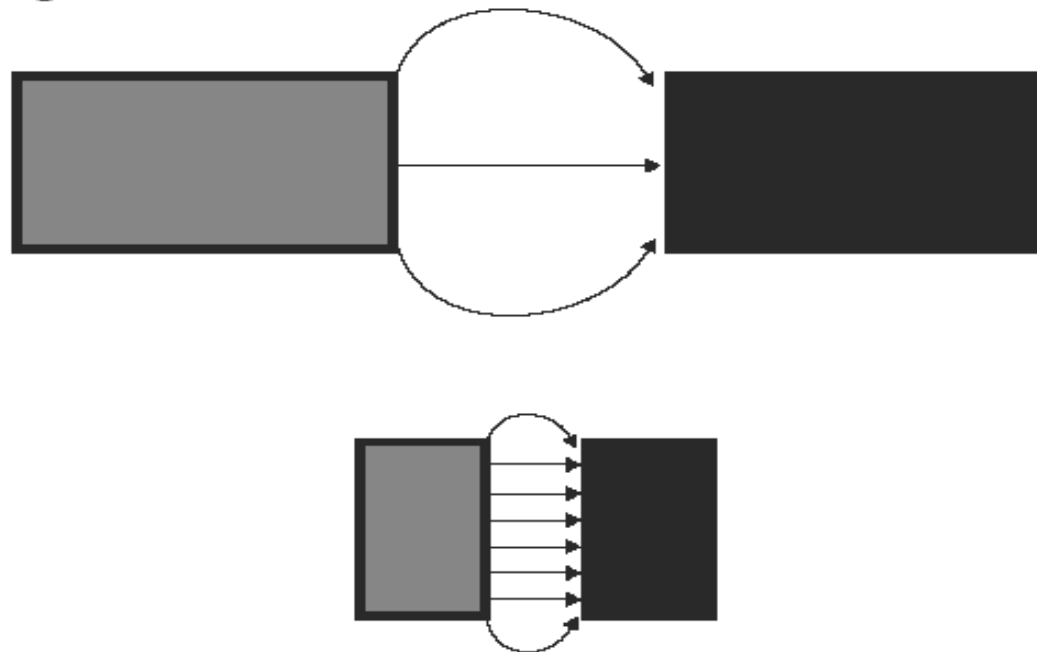
- Lateral flux increases the total amount of capacitance.



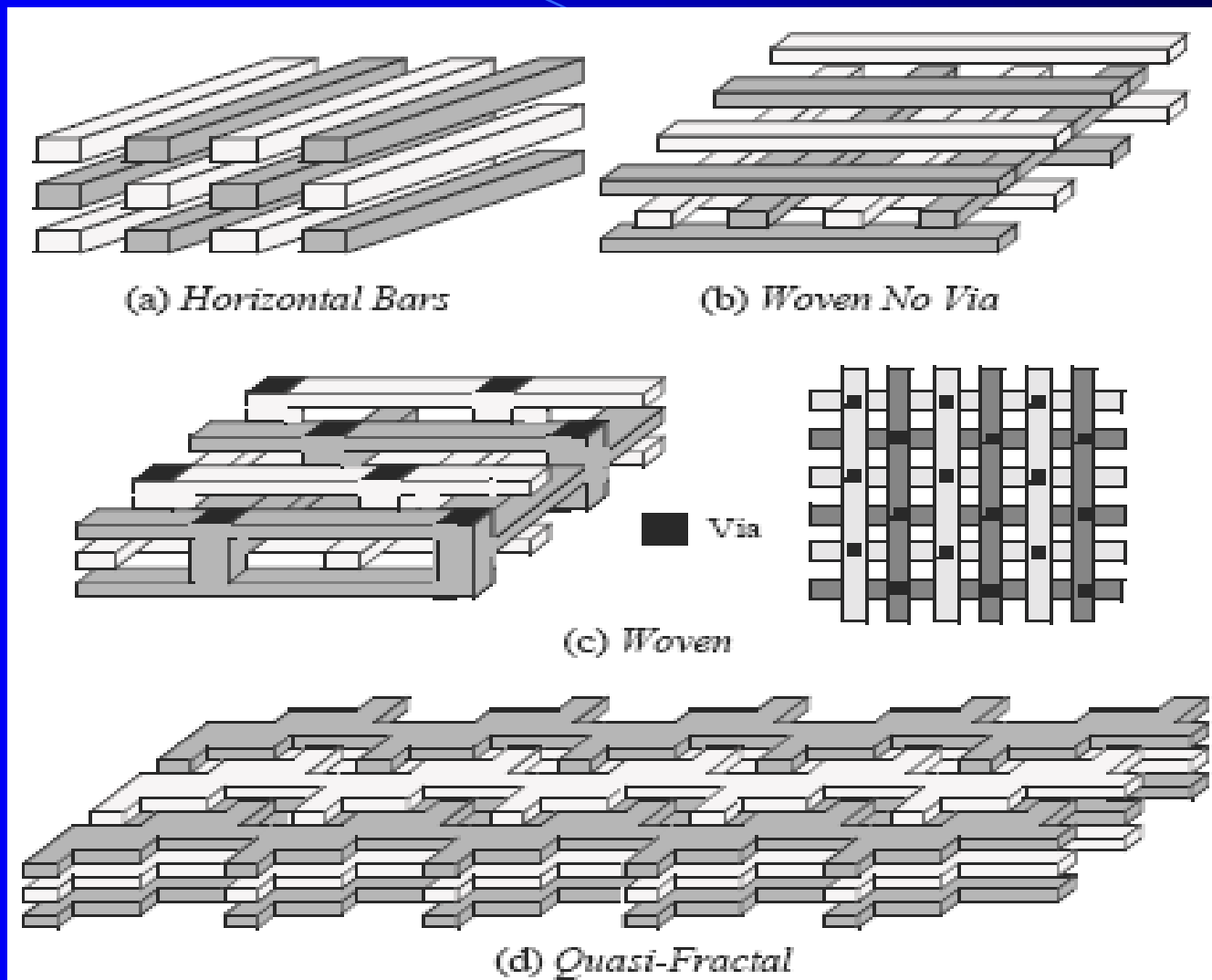
# Scaling

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- Unlike conventional parallel-plate structures, the capacitance per unit area increases as the process technologies scale.



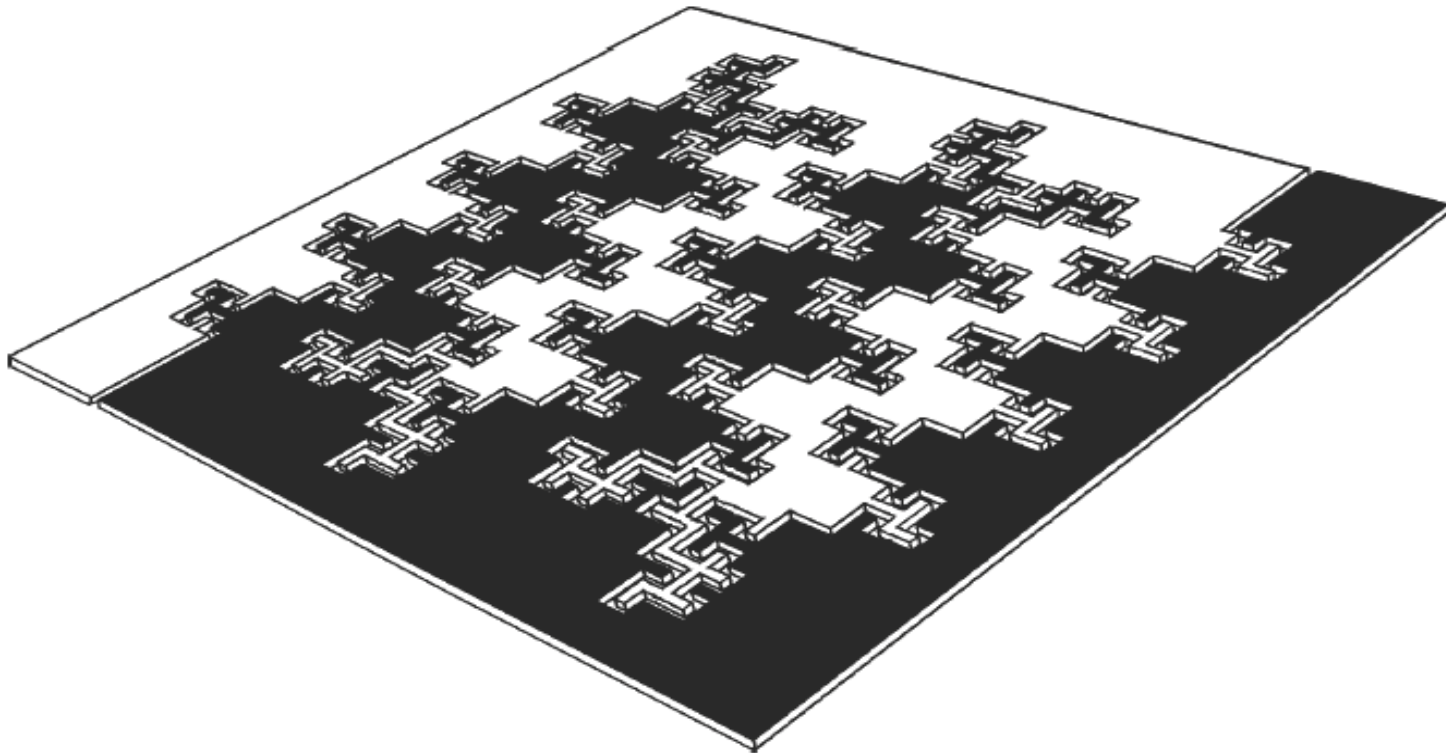
# Manhattan capacitor structures



# Fractal Capacitor

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- Quasi fractal geometries can be utilized to increase capacitance per unit area.



3-D representation of a fractal capacitor using a single metal layer.



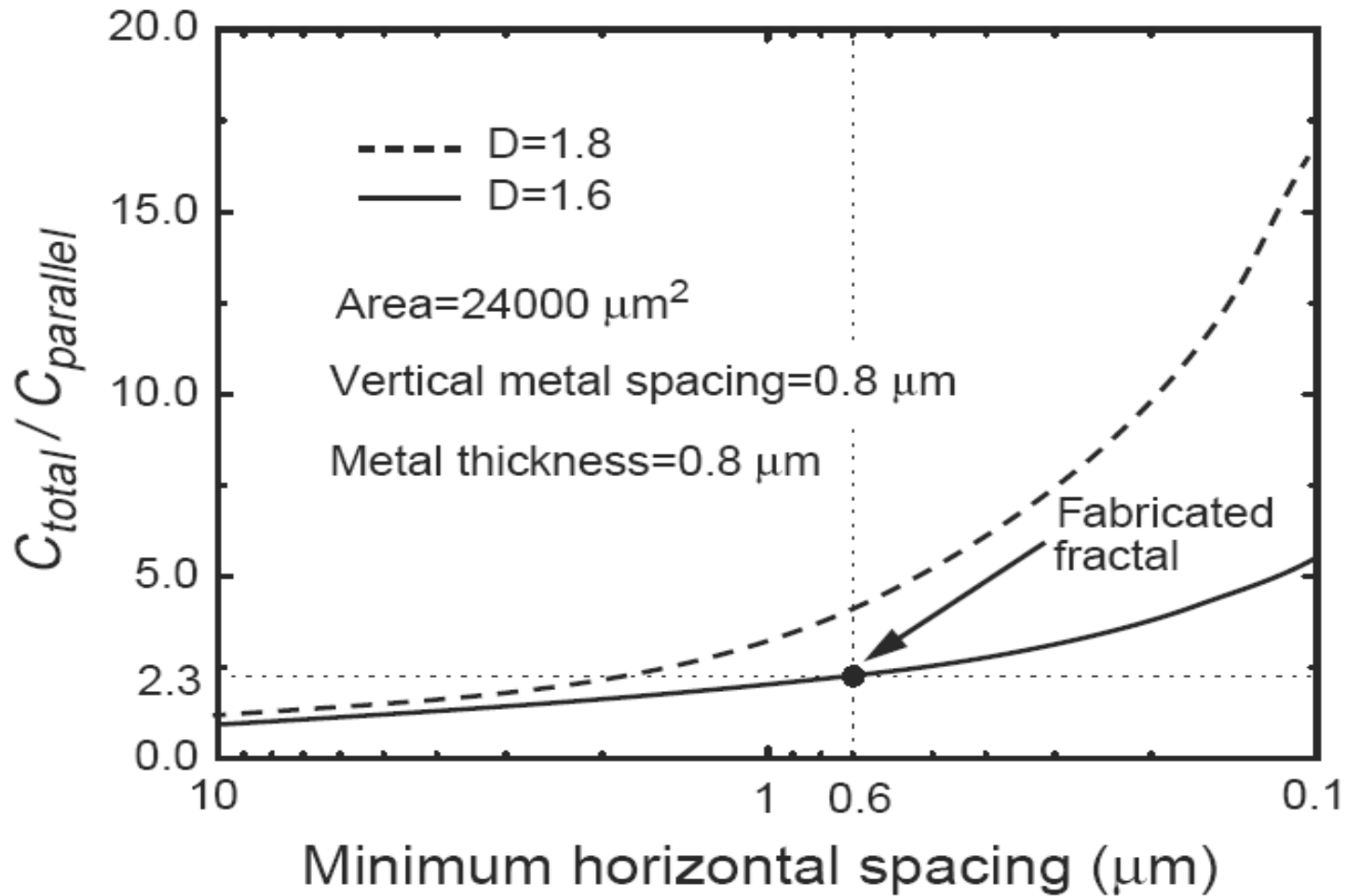
# Capacitance Estimation

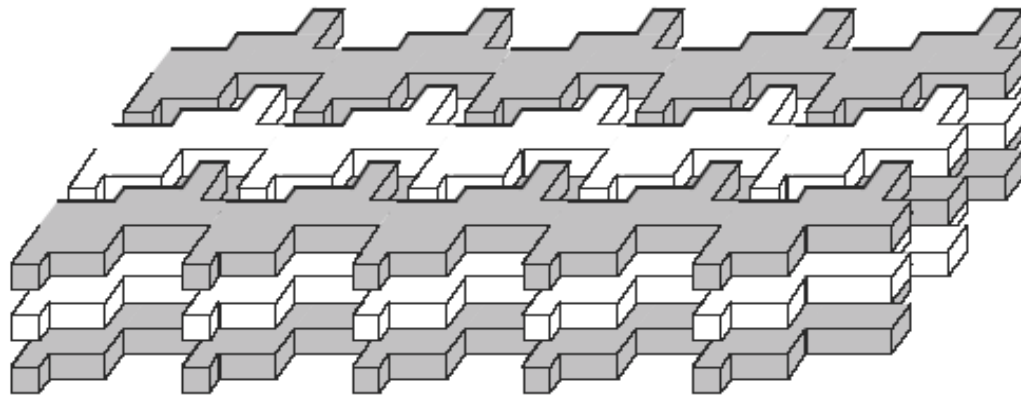
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$$C_{lateral} = K \frac{(\sqrt{A})^D}{(w + s)^{D-1}} \times t$$

- $w$ : Minimum width of the metal.
- $s$ : Minimum spacing between two adjacent strips.
- $A$ : Area of the fractal capacitance.
- $t$ : Thickness of the metal layers.
- $K$ : Proportionality factor that depends on the family of fractals being used.
- $D$ : Fractal dimension.

# Boost Factor vs. Lateral Spacing



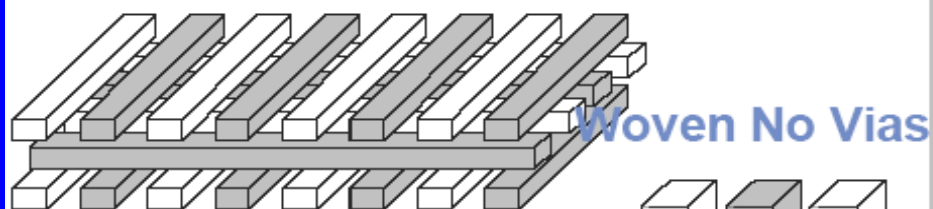
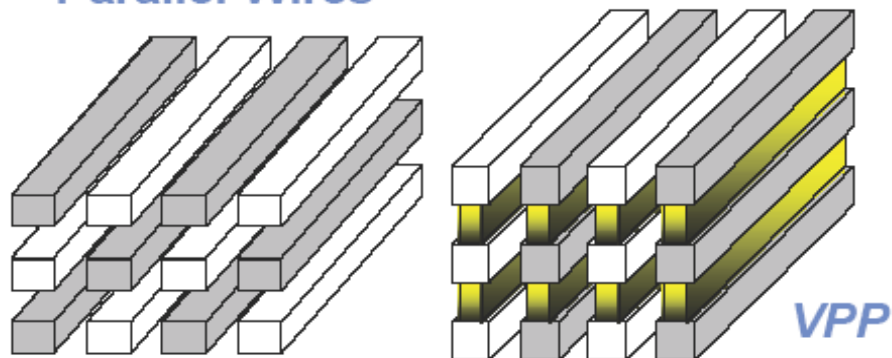


- Quasi-fractal structures maximize periphery to increase field usage,
- Have strong vertical *and* lateral components,
- Time consuming to generate and simulate,
- Look beautiful !

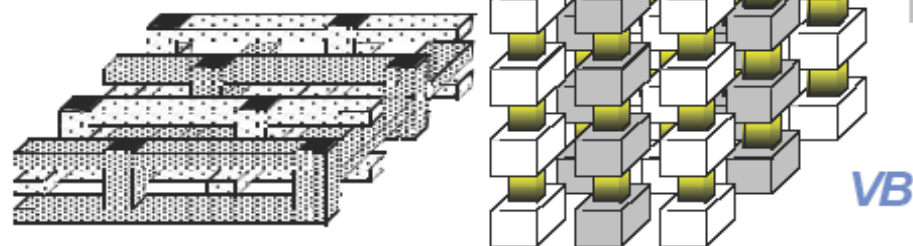
[Samavati, Hajimiri, Shahani, Nasserbakht, and Lee, ISSCC 1998]

# Capacitance density comparison

Parallel Wires

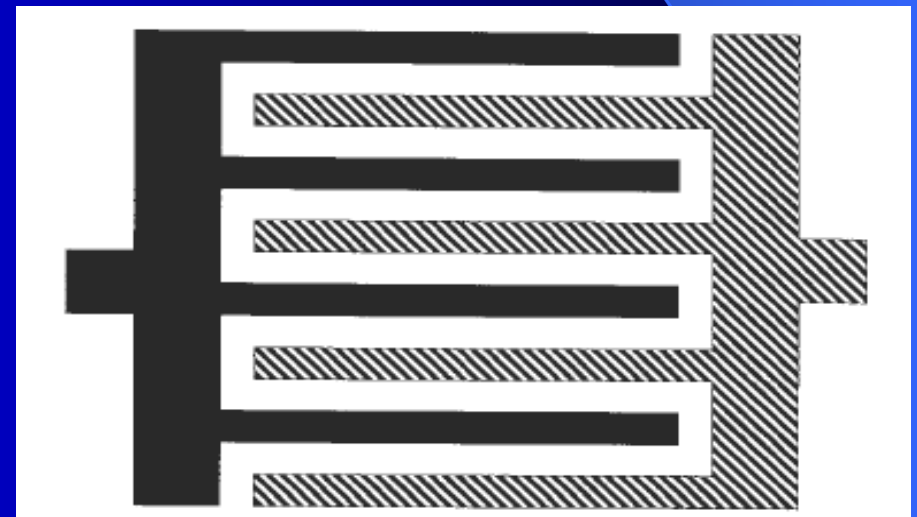
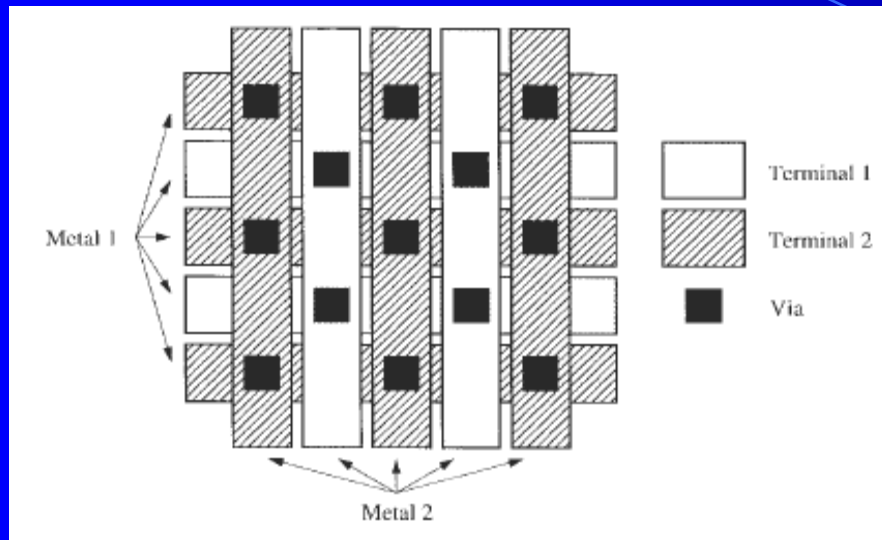


Woven



	% TL1	% TL2
Woven	<b>37.0%</b>	<b>52.7%</b>
Woven no Vias	<b>28.3%</b>	<b>40.3%</b>
Parallel Wires	<b>28.3%</b>	<b>40.3%</b>
Quasi-Fractal	<b>17.9%</b>	<b>25.5%</b>
Horizontal PP	<b>0.8%</b>	<b>1.1%</b>
Vertical PP	<b>49.6%</b>	<b>70.7%</b>
Vertical Bars	<b>63.7%</b>	<b>90.8%</b>

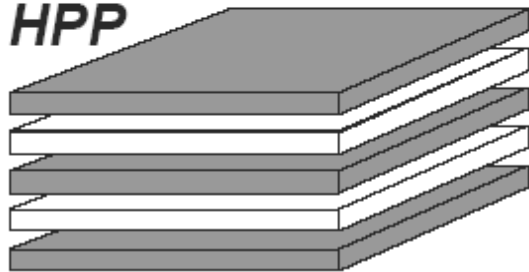
[Aparicio and Hajimiri, JSSC March 2002]



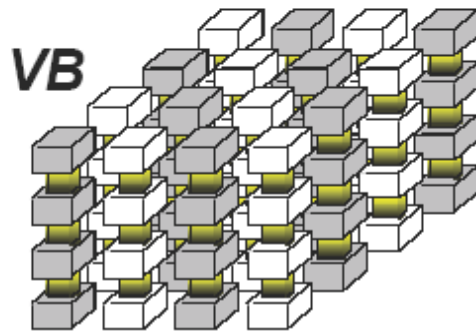


# Measurement Summary

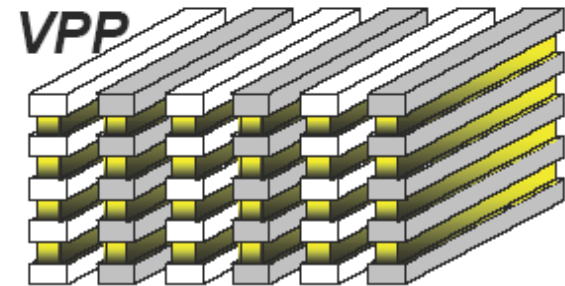
**HPP**



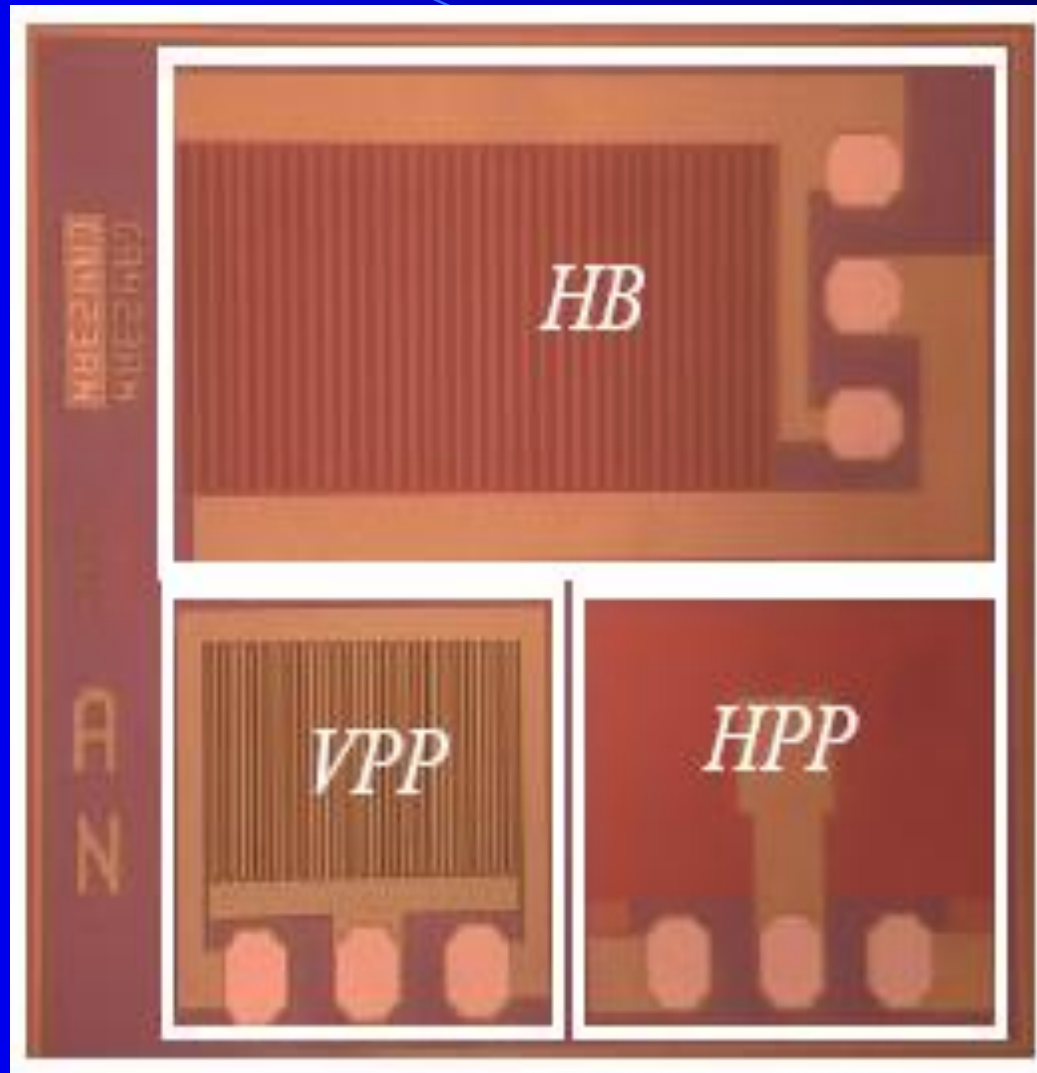
**VB**

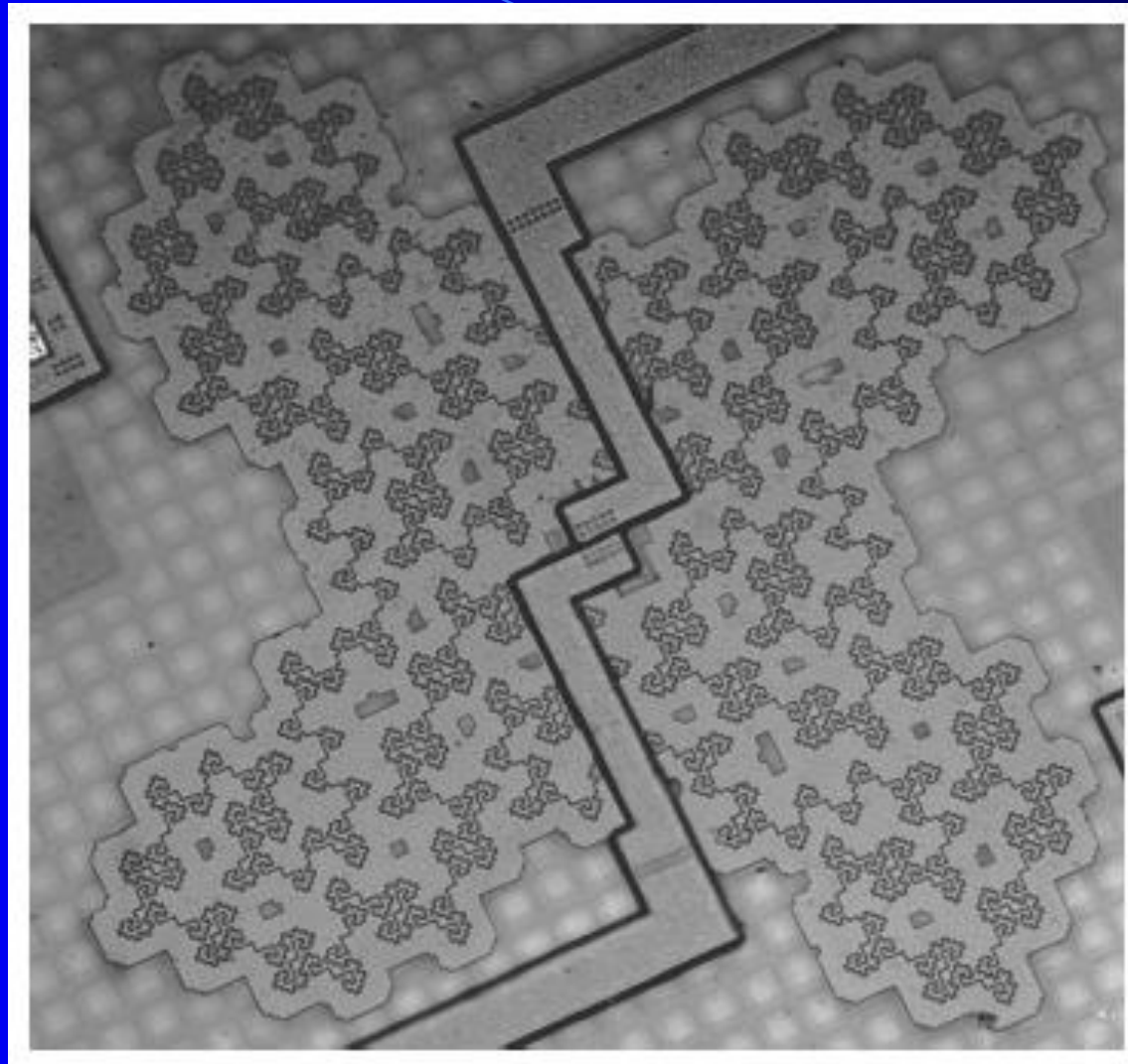


**VPP**



	<b>HPP</b>	<b>VB</b>	<b>VPP</b>	MIM 0.18 $\mu$
Average Cap. [pF]	1.095	1.076	1.013	1.057
Cap. Density [aF/ $\mu\text{m}^2$ ]	203.6	1281.3	1512.2	1100
Cap. Enhancement	1	<b>6.29</b>	<b>7.43</b>	<b>5.40</b>
$f_{\text{res}}$ [GHz]	21	<b>37.1</b>	<b>40 &lt;</b>	<b>11</b>
Q (Measured) @1GHz	63.8	48.7	83.2	95





Fractals and Applications - November 8th, 2013  
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